

03-25-1998

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SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

REC'D

MAR 16 1998

1. NAME OF CONVEYING PARTY(IES) (ASSIGNOR(S)):

1. The Victoria University of Manchester

3.

5.

MRD 3-16-98

MAR 16 1998

7.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: NeuTec Pharma plc

ADDRESS: St. James's Court, Brown Street, Manchester, M2 2JF, United Kingdom

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)☒ ASSIGNMENT OF☒ WHOLE☐ PART INTEREST

EXEC. DATE: November 25, 1997

☐ CHANGE OF NAME☐ VERIFIED TRANSLATION☐ SECURITY☐ MERGER ☐ OTHER:

EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!)

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NO

A. PAT. APP. NO.(S) series code/serial no.	M#	1 <sup>st</sup> INVENTOR if not in item 1	B. PATENT NO(S) M#	1 <sup>st</sup> INVENTOR if not in item 1
08/409,901	213888			

5. Name & Address of Party to Whom Correspondence Concerning Document Should be Mailed:  
**Pillsbury Madison & Sutro LLP**  
**Intellectual Property Group**  
1100 NEW YORK AVENUE, N.W.  
NINTH FLOOR, EAST TOWER  
WASHINGTON, D.C. 20005-3918

5.5 ATTY DKT:

PMS 213888	M192/0305US	6. NUMBER INVOLVED: APPLNS 1 + PATS: = TOTAL 1
MATTER NO.	CLIENT REF.	7. AMOUNT OF FEE ENCLOSED: (Code 581) ABOVE TOTAL x \$40 = \$40

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

UNDER ORDER NO	50885	213888
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9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

10. Total number of pages including this cover sheet, attachments and document (do not file dup. Cover sheet)

3

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Signature

Attorney: Ann S. Hobbs

Reg. No. 36830

Atty/Sec: ASH/maf

TEL: (202) 861-3063

Date: March 16, 1998

FAX: (202) 822-0944

FILE WITH PTO RETURN RECEIPT (PAT-103A)

PAT-114X 11/1987

PATENT

REEL: 9051 FRAME: 0115

Please return signed/recorded to:  
Cushman Darby & Cushman  
Intellectual Property Group of  
Pillsbury Madison & Suto LLP  
Ninth Floor, East Tower  
1100 New York Avenue, N.W.  
Washington, D.C. 20005-3918

CDC/M# 50885/213888  
Client Ref.

#### ASSIGNMENT

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to

THE VICTORIA UNIVERSITY OF MANCHESTER, located at Oxford Road, Manchester,  
M13 9PL, United Kingdom, (hereinafter designated ASSIGNOR);

the receipt and sufficiency of which are hereby acknowledged by the undersigned, who hereby acknowledges the sale, assignment and transfer unto

NeuTec Pharma plc, a British Company, having a place of business at  
St. James's Court, Brown Street, Manchester, M2 2JF  
United Kingdom

(hereinafter designated ASSIGNEE);

the entire right title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof, and all resulting patents, known as

#### CORYNEBACTERIAL STRESS PROTEINS

for which an application for Letters Patent was filed on April 10, 1995, U.S. Application No. 08/409,901.

AND the undersigned hereby authorizes and requests the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, its successors, assigns and legal representatives; the undersigned agrees that the attorney of record shall hereinafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agrees to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

The undersigned hereby authorizes Cushman Darby & Cushman, Intellectual Property Group of Pillsbury Madison & Suto, LLP, Ninth Floor, East Tower, 1100 New York Avenue, N.W.,

Washington, D.C., 20005, USA to insert hereon any further identification necessary or desirable for recordation of this document.

H Jenkins  
Signature

25 Nov 1997  
Date

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Title

DR HELEN JENKINS  
HEAD OF RESEARCH &  
GRADUATE SUPPORT  
THE VICTORIA UNIVERSITY OF MANCHESTER

For and on behalf of  
THE VICTORIA UNIVERSITY OF MANCHESTER

In the presence of:

Witness E. J. [Signature]