	Form PTO-1595 Recc 03-25-	
	To the Honorable Commissioner of 100671	
	<ol> <li>Name of conveying party(ies): Matthew LaVail Roy H. Steinberg</li> </ol>	<ol> <li>Name and address of receiving party(ies):</li> <li>Name: <u>The Regents of the University of California</u> Internal Address:</li> </ol>
	Additional name(s) of conveying party(ies) attached?  Yes  No <b>MRD 3-30-98</b>	Street Address: <u>300 Lakeside Drive</u> , 22nd Fl.
	<ul> <li>3. Nature of conveyance:</li> <li>Assignment  Merger</li> <li>Security Agreement  Change of Name</li> <li>Other</li> <li>Execution Date: March 2, 1998</li> </ul>	City: <u>Oakland</u> , State: <u>CA</u> Zip: <u>94612-3550</u> Additional name(s) & address(es) attached?
	4. Application number(s) or patent number(s):	I
	If this document is being filed together with a new application A. Patent Application No.(s)	on, the execution date of the application is: B. Patent No.(s)
	08/897.390	
	Additional numbers attached? 🖵 Yes 🗷 No	
	5. Name and address of party to whom corre- spondence concerning document should be mailed: Name: Robert J. Cobert	6. Total number of applications and patents involved:
	Name:	<ul> <li>7. Total fee (37 CFR 3.41): \$ <u>40.00</u></li> <li>☑ Enclosed</li> <li>☑ Authorized to be charged to deposit account</li> </ul>
	Street Address:777 Old Saw Mill River Road	<ol> <li>Deposit account number: 18-0650</li> </ol>
	City: <u>Tarrytown</u> , State: <u>NY</u> Zip: 10591	
03/25/1998 TUN11 00000036 08897399 01 FC:581 40.00 DP DO NOT USE THIS SPACE		
	9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.	
	Robert J. Cobert Robert	March 17. 1998 Signature Date PATENT
		RELELaun 20501 p. E.R. Aur Miking 0.44 Sheet:

## ASSIGNMENT

THIS ASSIGNMENT, by Matthew LaVail, residing at 5 Perego Terrace #4, San Francisco, California 94131, and by Roy H. Steinberg (deceased) formerly of 75 Woodland Avenue, San Francisco, California 94117 (hereinafter referred to as the assignors), witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in PREVENTION OF RETINAL INJURY AND DEGENERATION BY SPECIFIC FACTORS set forth in the following application for Letters Patent of the United States:

08/897,390 filed July 21, 1997

WHEREAS, THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a corporation duly organized under and pursuant to the laws of CALIFORNIA, and having its principal place of business at 300 Lakeside Drive, 22nd Floor, Oakland, California 94612-3550 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and in consideration of the benefits stipulated in the "University of California Policy Regarding Patents", as revised and effective as of April 16, 1990, which document is made by reference part hereof, and in fulfillment of my Patent Agreement with the University of California, and other good and valuable consideration, the receipt of which is hereby acknowledged, the said ASSIGNORS have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors, legal representatives, and assigns, the entire right, title and interest in, to and under the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said applications, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successor, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that the said assignor will, whenever counsel of the said assignees, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of USSN 08/897.390 Filed July 21, 1997 Assignment Page 2

Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

AND the said assignors hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

IN TESTIMONY HEREOF, we hereunto set out hands and seals the day and year set opposite our respective signatures

Dated: 2/9/98

State of CALIFORNIA County of SAN TRANCISCO

Matel



On this 974 day FEBRUARY, 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared Matthew LaVail, to me known, and to me known to be the person of that name, who signed and sealed the foregoing instrument, and she acknowledged the same to be ker free act and deed.

Roland Tomerleau Notary Public

Dated: 2-09-98

L.S. Legal Representative of the Deceased Inventor Roy H. Steinberg

State of

County of

, 1998, before me, a Notary Public in and for the State day On this to me known, and County aforesaid, personally appeared and to me known to be the person of that name, who signed and sealed the foregoing instrument, and she acknowledged the same to be her free act and deed.

S.S.

Notary Public

USSN 08/897,390 Filed July 21, 1997 Assignment Page 2

Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

AND the said assignors hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

IN TESTIMONY HEREOF, we hereunto set out hands and seals the day and year set opposite our respective signatures

Dated:\_\_\_\_\_

\_\_\_\_\_L.S. Matthew LaVail

State of

S.S.

County of

On this day , 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared Matthew LaVail, to me known, and to me known to be the person of that name, who signed and sealed the foregoing instrument, and she acknowledged the same to be her free act and deed.

Dated: 3298

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Notary Public

Legal Representative of the Deceased Inventor

187-38-87-81

Roy H. Steinberg

State of California

County of San Francisco

On this 2<sup>D</sup> day MARCH, 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared **ANEMARIE GITSCHIER** to me known and to me known to be the person of that name, who signed and sealed the foregoing instrument, and she acknowledged the same to be her free act and deed.

S.S.



RECORDED: 03/20/1998

Notary Public

PATENT REEL: 9051 FRAME: 0446

EVIDENCE