

03-25-1998

MAR 20 1998

To the Honorable Commiss



d the attached original documents or copy thereof.

ATTORNEY DOCKET NO. GOW-001 (4191/3)

100671116

1. Name of conveying party(ies).

Pierre Fobert, Venkatram N. Iyer, Brian Miki, and Jiro Hattori

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other **MRD 3-20-98**

Execution Date: February 25, 1998; February 20, 1998; February 18, 1998; and February 18, 1998.

2. Name and address of receiving party(ies):

Name: Carleton University  
Street Address: 1125 Colonel By Drive

City: Ottawa Province: Ontario

Country: Canada Zip: K1S 5B6

Additional name(s) and address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No. (s)

08/593,121

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patent Administrator  
Testa, Hurwitz & Thibeault, LLP  
Street Address: High Street Tower  
125 High Street  
City: Boston State: MA Zip: 02110

6. Total number of application and patents involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit Account Number: 20-0531

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement of signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael J. Twomey  
Name of Person Signing

Michael J. Twomey  
Signature

March 17, 1998  
Date

Total number of pages comprising cover sheet, attachments and document: 7

03/25/1998 110M11 0000059 08593121 40.00 OP  
01 FC:581

## ASSIGNMENT OF APPLICATION

WHEREAS, WE: **Pierre FOBERT** and **Venkatra<sup>m</sup>n N. IYER** of 878 Kingsmere Blvd., Saskatoon, Saskatchewan S7J 4J7 Canada, and 2595 Maquinna Road, Kelowna, British Columbia, Canada, V1W 2R9, respectively, together with **Brian MIKI and Jiro HATTORI**, have invented certain new and useful improvements in: **CONSTITUTIVE PROMOTER**, for which applications for Letters Patents have been made.

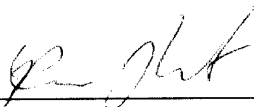
WHEREAS, **CARLETON UNIVERSITY**, hereinafter referred to as "ASSIGNEE") having a place of business at Ottawa, Ontario K1S 5B6, Canada, is desirous of acquiring the joint right, title and interest in and to said invention and in and to any Letters Patents that may be granted therefor in the United States and its territorial possessions and in any and all foreign countries;

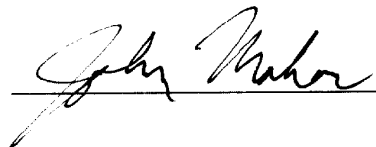
NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, WE, by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patents which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

WE hereby authorize and request the Patent Office Officials in all foreign countries to issue any and all of said Letters Patents, when granted, to said ASSIGNEE as the assignee of our entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its successors and assigns, to the full end of the term for which said Letters Patents may be granted, as fully and entirely as the same would have been held by us had this Assignment and sale not been made.

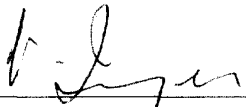
Further, WE agree that WE will communicate to said ASSIGNEE or its representatives any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patents to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

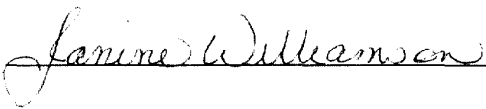
SIGNED at Seaskatoon this 25  
day of February, 1998.

Inventor:   
**Pierre FOBERT**

Witness: 

SIGNED at Kelowna, B.C. this 20<sup>th</sup>  
day of February, 1998.

Inventor:   
**Venkatram N. IYER**

Witness: 

## ASSIGNMENT OF APPLICATION

WHEREAS, WE: **Brian MIKI and Jiro HATTORI** of 1876 Dorset Drive, Ottawa, Ontario K1H 5V1 Canada and 763 Halstead Street, Ottawa, Ontario K1G 1M5 Canada, respectively, together with **Pierre FOBERT and Venkatran N. IYER**, have invented certain new and useful improvements in: **CONSTITUTIVE PROMOTER**, for which applications for Letters Patents have been made.

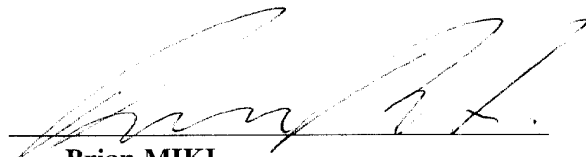
WHEREAS, **HER MAJESTY IN RIGHT OF CANADA AS REPRESENTED BY THE MINISTER OF AGRICULTURE AND AGRI-FOOD CANADA**, hereinafter referred to as "ASSIGNEE") having a place of business at Central Experimental Farm, Ottawa, Ontario K1A 0C6, CANADA, is desirous of acquiring the joint right, title and interest in and to said invention and in and to any Letters Patents that may be granted therefor in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, WE, by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patents which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

WE hereby authorize and request the Patent Office Officials in all foreign countries to issue any and all of said Letters Patents, when granted, to said ASSIGNEE as the assignee of our entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its successors and assigns, to the full end of the term for which said Letters Patents may be granted, as fully and entirely as the same would have been held by us had this Assignment and sale not been made.

Further, WE agree that WE will communicate to said ASSIGNEE or its representatives any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patents to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

SIGNED at Oahu this 18<sup>th</sup>  
day of February, 1998.

Inventor:   
**Brian MIKI**

Witness: A. K. Butcher.

SIGNED at Ottawa this 18th  
day of February, 1998.

Inventor: Jiro Hattori  
**Jiro HATTORI**

Witness: Jean Mahé