N 2-17-98 03-12-1998 RŁ FORM PTO-1595 T U.S. DEPARTMENT OF COMMERCE 1/31/92 Patent and Trademark Office 100660150 To the Honorable Commissioner of Hund 1, Name of conveying party(ies): 2. Name and address of receiving party(ies): HIDEHARU MIYAGAKI Name: Sony Corporation KOICHI ISE Internal Address Additional name(s) of conveying party(ies) attached? □ Yes 🛛 No 3. Nature of conveyance: Street Chome Ø Assignment Merger Shinagaw Security Agreement Change of Name Other City: Tokyo.Japan State Zip: Execution Date December 15, 1997 Additional Name(s) & Address(es) attached □ Yes ☑ No 4. Application (number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: B: Patent No.(s) Patent Application No.(s) Α. USSN 08/ 947,941 filed October 9, 1997 Additional numbers attached?

□ Yes

No 6. Total number of applications and patents involved 5. Name and address of party to whom correspondence concerning document should be mailed: Hill & Simpson, P.C. 7. Total Fee (37 CFR 3.41 \$____ 40.00 Enclosed 85th Floor Sears Tower Authorized to be charged to deposit account any 233 S. Wacker Drive Additional fees Chicago, IL 60606 8. Deposit Account Number: 08-2290 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE 9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. February 24, 1998 John D. Simpson Date Name of Person Signing Signature Total number of pages comprising cover sheet: Mail documents to be recorded and required cover sheet information to: **Commissioner of Patents and Trademarks Box Assignments** Washington, D.C. 20231

PATENT REEL: 9053 FRAME: 0642

S97P894US00 Docket Number: <u>P97, 1761</u>

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in OPTICAL UNIT AND PRODUCTION THEREOF

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, Sony Corporation, a Japanese corporation with offices at 7-35 Kitashinagawa 6-Chome, Shinagawa-Ku, Tokyo, Japan (hereinafter referenced as ASSIGNEE) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: <u>08/947941</u>, Filing Date: <u>October 9</u>, <u>199</u>7

This assignment executed on the dates indicated below.

HIDEHARU MIYAGAKI

Name of first or sole inventor

CHIBA, JAPAN

Residence of first or sole inventor

dideharn Miyagaki

Signature of first or sole inventor

Execution date of U.S. Patent Application

Dec. 15. 1997

Date of this assignment

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KOICHI ISE	Dec. 15. 1997
Name of second investor	Execution date of U.S. Patent Application
CHIBA, JAPAN	
Residence of second inventor	
Roichi Ise	Dec. 15. 1997 Date of this assignment
Signature of second investor	Date of this assignmen
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	Execution date of U.S. Patent Application
Name of Effe inventor Residence of Effe inventor Signature of Effe inventor	Execution date of U.S. Patent Application Date of this assignment

PATENT REEL: 9053 FRAME: 0644

RECORDED: 02/17/1998

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