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|---|---------------------------------|--|--|------------------|--|
| To the Honorable Commissioner of  | 100675469                       | the attache  | d original documents or cop                                      |                  |  |
| Name of conveying party(les):   |                                 |  | of receiving party(les):   |                  |  |
| ERNEST EISENHARDT BERGMANN  |                                 | Name: Lucent Technologies Inc.                     |  |                  |  |
|   |                                 | Internal Address:                                  |  |                  |  |
|   |                                 | WWW. 7 100 1000                                    |  | ·                |  |
| Additional name(s) of conveying party(les) a  |                                 |  |  |                  |  |
| 3. Nature of conveyance: MPC  | > 3-6-98                        |  |  | <del></del>      |  |
| CXXAssignment   | ☐ Merger                        | Street Address:                                    | 600 Mountain Aver  | nue              |  |
| ☐ Security Agreement  | Change of Name                  | ***************************************            | P.O. Box 636   |                  |  |
| Other   |                                 | CMy:Murray Hi                                      | .11 State:NJ ZI  | P: <u>07974-</u> |  |
| Execution Date: February 21, 1998   |                                 | Additional name(s) & address(se) attached? Type No |  |                  |  |
| 4. Application number(s) or patent n  |                                 |  |  |                  |  |
| If this document is being filed togeth  | er with a new application, the  | execution date of the a                            | pplication is:   |                  |  |
| A. Patent Application No.(s)  | 1                               | B. Patent No.(s)                                   |  |                  |  |
| 20/21/5   | a                               | , ,  |  |                  |  |
| 09/03665  | 7                               |  |  |                  |  |
|   |                                 |  |  | •                |  |
|   | Additional numbers attack       | hed? CIYee CINo                                    |  |                  |  |
| 5. Name and address of party to wit concerning document should be                                     | nom correspondence mailed:      | 6. Total number of a                               | ppfications and patents invol                                    | ved: 1           |  |
| Name: Wendy W. Koba   |                                 |  |  |                  |  |
| internal Address: Joseph W  | . Molasky &                     | 7. Total fee (37 CF                                | R 3.41):\$ <u>40</u>   | .00              |  |
| Associates  |                                 | _  |  |                  |  |
|   |                                 | ☐ Enclosed   |  |                  |  |
|   |                                 | · 🖸 Authorized to                                  | be charged to deposit acc  | ount             |  |
| Street Address: 4 S. Lim  | ekiln Pike                      | 8. Deposit account                                 | number   |                  |  |
|   | ٠                               | •  | echnologies 12-2   | 325              |  |
| Chy: Chalfont Side: PA ZIP: 18914   |                                 |  | (Attach duplicate copy of this page if paying by deposit account |                  |  |
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| 03/27/1998 GHINTON 00000097 DAW:122325<br>Sale Ref: 00000110 DAW: 122325 090366<br>01 FC:581 40.00 CH | 59 09036659                     |  |  |                  |  |
| 9. Statement and signature.   |                                 |  |  |                  |  |
| To the best of my knowledge an of the original document.  | id belief, the foregoing inforr | mation is true and con                             | rect and any attached copy                                       | r is a true copy |  |
| Wendy W. Koba   | / Danne                         | Will Kalin   | 3/6/   | 78               |  |
| Name of Person Signing  |                                 | / Signature  | ——————————————————————————————————————                           | 10 3             |  |
|   |                                 |  | r of pages comprising cover she                                  | <b>et</b> [ 3    |  |
| CMB No. 0051-0011 (mm. 4/94)  |                                 | REEL: 9054 F                                       | RAME: 0933   |                  |  |

## ASSIGNMENT AND AGREEMENT

For value received, I, Ernest Eisenhardt Bergmann, of Bethlehem, in the County of Lehigh and the State of Pennsylvania, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office to 600 Mountain Avenue, P.O. Box 636, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Optical Power Monitoring Tap** described in an application for Letters Patent of the United States, executed by me of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and I also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from me, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

PATENT REEL: 9054 FRAME: 0934

I agree that, when requested, I shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Ernest Eisenhardt Bergmann

Date: February 27, 1998

United States of America

State of Penna ) ss.:
County of Lehigh ) County of Lehigh

RECORDED: 03/06/1998

On this 27th day of 7ebrusy, 1999, before me personally came Ernest Eisenhardt Bergmann, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

NOTARIAL SEAL DOREEN M. MICHELETTI, Notary Public Breinigsville, Lehigh County
My Commission Expires Oct. 30, 2000