



04-06-1998



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Docket No.: 102-290

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Volker Niermann
Donald J. Carano
Steven R. Savitz
Robert Gottlieb**

2. Name and address of receiving party(ies):

Name: **Becton, Dickinson and Company**

Internal Address: _____

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance: **MD 3-27-98**

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Street Address: **One Becton Drive**

City: **Franklin Lakes** State: **NJ** ZIP: **07417**

Execution Date: **2/11/98; 2/13/98 and 3/2/98**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/928,060

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Kirk M. Miles**

Internal Address: **Hoffmann & Baron, LLP**

Street Address: **350 Jericho Turnpike**

City: **Jericho** State: **NY** ZIP: **11753**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kirk M. Miles

March 24, 1998

Name of Person Signing

Signature

PATENT

Date

Total number of pages including cover sheet, attachments, and documents: **10113**

ASSIGNMENT

Serial No.: 08/928,060
Filed: September 12, 1997

WHEREAS, Volker Niermann, residing at 196B Main Street, Little Falls, NJ 07424, citizen of the United States of America, Donald J. Carano, residing at 5 Kennedy Drive, Flanders, NJ 07836, citizen of the United States of America, Steven R. Savitz, residing at 1300 Alicia Avenue, Teaneck, NJ, citizen of the United States of America and Robert Gottlieb, residing at 1 Surrey Lane, Mahwah, NJ 07430, citizen of the United States of America (hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to:

**BALL AND SOCKET CLOSURE FOR SPECIMEN COLLECTION CONTAINER
INCORPORATING AN INTEGRAL FLEXIBLE SEAL**

for which they have executed a Declaration for an application for Letters Patent of the United States of America; and

WHEREAS, BECTON, DICKINSON AND COMPANY,

a corporation of the State of New Jersey, (hereinafter called "Assignee"), is desirous of acquiring the entire right, title and interest therein.

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the premises and in acknowledgment, confirmation and performance of obligations which arose out of the terms and conditions of Assignors' employment by Assignee at the time the invention was made, and other valuable considerations to them, the receipt and sufficiency of which are hereby acknowledged, Assignors have assigned, and transferred, and do hereby assign, and transfer unto said Assignee the entire right, title, and interest in and to all said inventions and discoveries disclosed in said application, whose identification above by serial number and filing date, when available, is hereby authorized, and in and to said application, all substitutions, divisions and continuations or continuations-in-part, thereof, and in and to all Letters Patents, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made; and

Assignors hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue said Letters Patent in accordance with this Agreement; and

For the consideration aforesaid, Assignors covenant and agree with said Assignee that they have a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns; and

For the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection of the inventions and discoveries or that may be necessary to vest in Assignee the complete title to the inventions and discoveries and patents hereby conveyed and to enable it to record title.


IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals on the dates subscribed below.


Volker Niermann

DATE: FEB 11, 1998

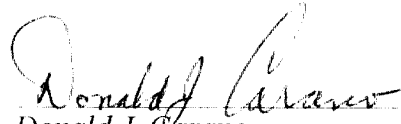
STATE OF New Jersey
COUNTY OF Bergen

BE IT REMEMBERED, That on this 11 day of February 1998, before me, a Notary Public, personally appeared Volker Niermann, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his voluntary act and deed for the uses and purposes therein expressed.


Notary Public
My Commission
Expires: _____

Michelle T. LePre
Notary Public of New Jersey
My Commission Expires Jan. 17, 2000

IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals on the dates subscribed below.


Donald J. Carano

DATE: Feb 13, 1998

STATE OF New Jersey
COUNTY OF Bergen

BE IT REMEMBERED, that on this 13 day of February 1998, before me, a Notary Public, personally appeared Donald J. Carano, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, I and having first made known to him the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his voluntary act and deed for the uses and purposes therein expressed.



Notary Public

My Commission Michelle T. LePre

Notary Public of New Jersey

Expires: My Commission Expires Jan. 17, 2000

Assignment
102-290
(P-3825/6)

IN TESTIMONY WHEREOF, Assignors have hereunto set their hands
and seals on the dates subscribed below.

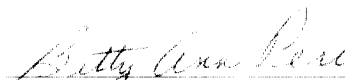

Steven R. Savitz

DATE: Feb 13, 1998

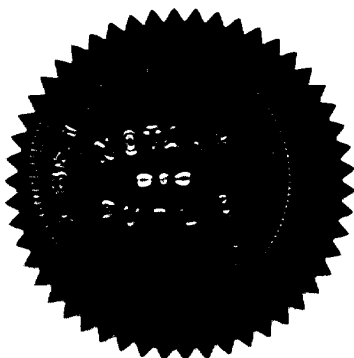
STATE OF
COUNTY OF

New Jersey
Bergen

BE IT REMEMBERED, that on this 13th day of Feb, 1998, before me, a
Notary Public, personally appeared Steven R. Savitz, who I am satisfied is the person
named in and who executed the foregoing instrument in my presence, I and having first
made known to him the contents thereof, he did acknowledge that he signed, sealed, and
delivered the same as his voluntary act and deed for the uses and purposes therein
expressed.


Notary Public
My Commission
Expires: _____

BETTY ANN PERO
Notary Public of New Jersey
My Commission Expires Jan. 20, 2003



Assignment
102-290
(P-3825/6)


IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals on the dates subscribed below.


Robert Gottlieb

DATE: 3-2-98

STATE OF) New Jersey
COUNTY OF) Bergen

BE IT REMEMBERED, that on this 2nd day of March, 1998, before me, a Notary Public, personally appeared Robert Gottlieb, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, I and having first made known to him the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his voluntary act and deed for the uses and purposes therein expressed.


Notary Public
My Commission **SOPHIA A. MAKRIS**
NOTARY PUBLIC OF NEW JERSEY
Expires: **MY COMMISSION EXPIRES 04/01/2000**

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RECORDED: 03/27/1998

PATENT
REEL: 9057 FRAME: 0118