

Tab settings



To the Honorable Commissioner o.

100690751

attached original documents or copy thereof.

MRD 4/13/98

1. Name of conveying party(ies):

KUN-CHEN WANG

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)

Name: I-HWA INDUSTRIAL CO., LTD.

Address: No. 11, Kung Yeh Road, Kung Tien Hsiang, Tainan Hsien, Taiwan, R.O.C.

City: Tainan Hsien Country: Taiwan, ROC

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment, Merger, Security Agreement, Change of Name, Other

Execution Date: 4/8/98

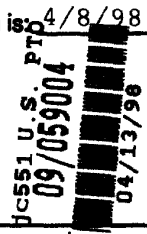
4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 4/8/98

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No



5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MORTON J. ROSENBERG, ESQ.

Internal Address: ROSENBERG, KLEIN & BILKER

Street Address: 3444 ELLICOTT CENTER DRIVE SUITE 105

City: ELLICOTT CITY State: MD ZIP: 21043

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed, Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

MORTON J. ROSENBERG

Name of Person Signing

Morton J. Rosenberg (Signature)

Signature

4/10/98

Date

04/20/1998 SCARNICH 00000032 09039004

Total number of pages including cover sheet, attachments, and document: 2

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40.00 UP

Main documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

**ASSIGNMENT DEED**

This Assignment agreement is applicable to an invention entitled (invention Title) LIQUID DISPERSED DYE OF AZO TYPE AND ANTERAQUINONE TYPE

The PATENT RIGHTS referred to in this agreement are:

- (Check one)  a Patent Application for this invention, executed by the ASSIGNOR(s) concurrently with this Assignment
- U.S. Patent Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_
- U.S. Patent No. \_\_\_\_\_, issued \_\_\_\_\_

The PATENT RIGHTS assigned under this agreement are:

- (Check One)  U.S. Patent rights only
- worldwide Patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. Patent Application identified above.

The ASSIGNOR(S) referred to in this agreement is (or are):

(Full name of sole or first inventor) KUN-CHEN WANG  
(Address) No. 28, Alley 6, Lane 545, Sec. 2, I An Road  
Tainan, Taiwan, R.O.C.

(Full name of second joint inventor, if any) \_\_\_\_\_  
(Address) \_\_\_\_\_

(Full name of third joint inventor, if any) \_\_\_\_\_  
(Address) \_\_\_\_\_

The First ASSIGNEE referred to in this agreement is:

(Name of Assignee) I-HWA INDUSTRIAL CO., LTD.  
(Address of Assignee) No. 11, Kung Yeh Road, Kuan Tien Hsiang,  
Tainan Hsien, Taiwan, R.O.C.

The Second ASSIGNEE referred to in this agreement is:

(Name of Assignee) \_\_\_\_\_  
(Address of Assignee) \_\_\_\_\_

The Third ASSIGNEE referred to in this agreement is:

(Name of Assignee) \_\_\_\_\_  
(Address of Assignee) \_\_\_\_\_

The First ASSIGNEE IS:

- (Check One)  an individual
- a partnership
- a Corporation of Taiwan, R.O.C.  
(State or Country)

The Second ASSIGNEE is:

- (Check One)  an individual
- a partnership
- a Corporation of \_\_\_\_\_  
(State or Country)

The Third Assignee is:

- (Check One)  an individual
- a partnership
- a Corporation of \_\_\_\_\_  
(State or Country)

The ASSIGNOR(S), in consideration of \$1.00 paid by each ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, have and do hereby assign the following to each ASSIGNEE; their successors and assigns:

the full and exclusive right to the invention;  
an equal interest in and to the entire right, title and interest in and to the PATENT RIGHTS; and  
the right to claim priority under 35 U.S.C. 119, based on any earlier foreign applications for this invention.

As to all U.S. Patent Applications assigned under this Agreement, the ASSIGNOR(S) hereby authorize(s) and requests the Commissioner of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE(s) as the ASSIGNEE(s) of an equal interest in the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE(s), their successors and assigns.

Further, the ASSIGNOR(s) agree(s) to communicate to said ASSIGNEE(s), or their representatives, any facts known to the ASSIGNOR(s) respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE(s), make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE(s), their successors and assigns, to obtain and enforced proper protection for said invention.

Kun-Chen Wang  
(Signature of sole or first inventor)

4/8/98  
(Date)

\_\_\_\_\_  
(Signature of second inventor, if any)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
(Signature of third inventor, if any)

\_\_\_\_\_  
(Date)

Applicant or Patentee: KUN-CHEN WANG

Serial or Patent No.: \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

For: LIQUID DISPERSED DYE OF AZO TYPE AND ANTERAQUINONE TYPE

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c))—SMALL BUSINESS CONCERN**

I hereby declare that I am

- the owner of the small business concern identified below:
- an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN I-HWA INDUSTRIAL CO., LTD.

ADDRESS OF CONCERN No. 11, Kung Yeh Road, Kuan Tien Hsiang, Tainan Hsien, Taiwan, R.O.C.

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed, to and remain with the small business concern identified above with regard to the invention, entitled LIQUID DISPERSED DYE OF AZO TYPE AND ANTERAQUINONE TYPE by inventor(s) Kun-Chen Wang

described in

- the specification filed herewith
- application serial no. \_\_\_\_\_, filed \_\_\_\_\_
- patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

- INDIVIDUAL       SMALL BUSINESS CONCERN       NONPROFIT ORGANIZATION

(Small Entity—Small Business [7-4]—page 1 of 2)

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

INDIVIDUAL       SMALL BUSINESS CONCERN       NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date of which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING CHIUN-PEI WONG

TITLE OF PERSON OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING No. 11, Kung Yeh Road, Kuan Tien  
Hsiang, Tainan Hsien, Taiwan, R.O.C.

SIGNATURE Chiun - pei Wong Date 4/8/98

(Small Entity—Small Business [7-4]—page 2 of 2)

PATENT

RECORDED: 04/13/1998

REEL: 9107 FRAME: 0912