

3-16-98

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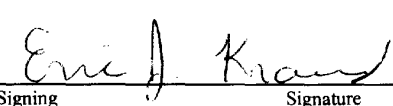
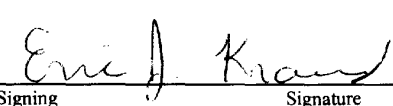
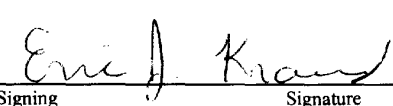
FORM PTO-1596
1-31-92

DOCKET NO.: 3123-011

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks, U.S. Department of Commerce
Enclosed original documents or copy thereto:

| | | | | | | | |
|--|--|--|--|---|--|-------------------------------|--|
| 1. Name of conveying party(ies): Pankaja K. KADABA, Zhaiwei LIN Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | 2. Name and address of receiving party(ies): Name: <u>K&K Biosci nces, Inc.</u> Internal Address: Street Address: <u>341 Brookhaven Drive</u> City: <u>Lexington</u> State/Country: <u>KY</u> ZIP: <u>40502</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | | |
| 3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other Execution Date: <u>February 19, 1998 & March 12, 1998</u> | | | | | | | |
| 4. Application number(s) or patent number(s): If the document is being filed together with a new application, the execution date of the application is: A. Patent Application No(s). <u>08/917,925</u> Filed August 27, 1997 B. Patent No(s). Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | | | | |
| 5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>McDERMOTT, WILL & EMERY</u> Internal Address: Street Address: <u>99 Canal Center Plaza, Suite 300</u> City: <u>Alexandria</u> State: <u>VA</u> ZIP: <u>22314</u> | | 6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41): <u>\$40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>500417</u> | | | | | |
| DO NOT USE THIS SPACE | | | | | | | |
| 9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <table border="0" style="width: 100%;"><tr><td style="width: 40%;"><u>Eric J. Kraus, 36,190</u> Name and Registration No. of Person Signing</td><td style="width: 30%; text-align: center;"> Signature</td><td style="width: 20%; text-align: center;"><u>March 16, 1998</u> Date</td><td style="width: 10%;"></td></tr></table> Total number of pages comprising cover sheet: <u>1</u> | | | | <u>Eric J. Kraus, 36,190</u> Name and Registration No. of Person Signing |  Signature | <u>March 16, 1998</u> Date | |
| <u>Eric J. Kraus, 36,190</u> Name and Registration No. of Person Signing |  Signature | <u>March 16, 1998</u> Date | | | | | |
| CMB No. 0851-0011 (exp. 4/94) | | | | | | | |

04/08/1998 SSMITH 00000180 500417 08917925
01 FC:581 40.00 CHPATENT
REEL: 9120 FRAME: 0925

Docket No.: 50182-011 (formerly 3123-011)

ASSIGNMENT

for
WHEREAS WE, Pankaja K. KADABA and Zhaiwei LIN of 1525 Winderemere Road, Apt. 104, West Chester, PA 19380 and 5400 Glenwood Road, Apt B, Bethesda, MD 20817, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled HYDRAZONES, HYDRAZINES, SEMICARBAZONES AND THIOSEMICARBAZONES DERIVED FROM PYRIDYL KETONES AS ANTICONVULSANT DRUGS AND EXCITATORY AMINO ACID ANTAGONISTS, for which an application for United States Letters Patent was filed on August 27, 1997, and identified by United States Serial No 08/917,925;

AND WHEREAS, K & K Biosciences, Inc., a corporation of the State of _____ and having an address of 3411 Brookhaven Drive, Lexington, KY 40502 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto K & K Biosciences, Inc., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said K & K Biosciences, Inc., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

Date

3/12/98

Date

Date

Date

Pankaja K. KADABA

Pankaja K. Kadaba
X Zhaiwei Lin
Zhaiwei LIN

STATE OF Pennsylvania
COUNTY OF Chester } SS:

On this 12 day of March, 1998, before me personally appeared Pankaja K. Kadaba, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

S E A L

Mary A. Mosley
Notary Public

My commission expires

NOTARIAL SEAL
MARY A. MOSLEY, Notary Public
West Chester Boro, Chester County
My Commission Expires Jan. 8, 2001

STATE OF Maryland
COUNTY OF Montgomery } SS:

On this 19th day of February, 1998, before me personally appeared Zhaiwei Lin, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

S E A L

Jo Ann Thompson
Notary Public

My commission expires

10-31-00

NOTARIAL SEAL
JO ANN THOMPSON
10-31-00