

04-29-1998

Attorney Docket No. 05490H-0261-1US

FORM PTO-1595
(Rev. 6-93)U.S. DEPARTMENT OF COMMERCE
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100697155

To the Honorable Asst. Commissioner for Patents, Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Michael Digonnet
Alice Liu
Gordon KinoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: The Board of Trustees of the Leland Stanford
Junior University

Internal Address: Office of Technology Licensing

Street Address: 900 Welch Road, Suite 350

City: Palo Alto State: California ZIP: 94304

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: March 3, 1998

4. Application number(s) or patent number(s). 08/908,353

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Henry K. Woodward
TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(650) 326-2400

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):..... \$ 40.00

- ☐ Enclosed ☒ Charge Fees to Deposit Account
☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account

8. Deposit account number: 20-1430

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Henry K. Woodward
Name of Person Signing

Signature

April 7, 1998
Date04/14/1998 JART: 00000024 201430 08908353
03 FC:581 10.00 CH

Total number of pages including cover sheet, attachments, and document: 4

10. Change Correspondence Address to that of Part 5? ☐ Yes ☒ No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

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Asst. Commissioner for Patents
Box Assignments
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PATENT

REEL: 9121 FRAME: 0328

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Michel Digonnet, a citizen of France residing at 2090 Cornell Street, Palo Alto, CA 94306; Alice Liu, a citizen of the United States residing at 371 Everett, Palo Alto, CA 94301; and Gordon Kino, a citizen of the United States residing at 867 Cedro Way, Stanford, CA 94305, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **METHOD OF TREATING OPTICAL FIBERS ADN MATERIAL FOR USE AS PHASE MODULATORS AND SWITCHES**

Date(s) of execution of **Declaration**: March 3, 1998

Filing date: August 7, 1997 Application No.: 08/908,353; and

WHEREAS, **THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY** a nonprofit corporation, located at 900 Welch Road, Suite 350, Palo Alto, California 94304, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: 3/3/98

Michel Digonnet
Michel Digonnet

STATE OF California

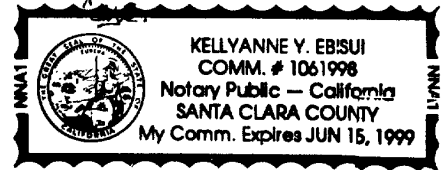
COUNTY OF Santa Clara

On March 3, 1998, before me, Kellyanne Y. Ebisui, Notary Public ~~(here insert name and title of the officer)~~, personally appeared Michel Digonnet, ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS my hand and official seal.

Signature Kellyanne Y. Ebisui (Seal)

Date: 3/3/98



Alice Liu
Alice Liu

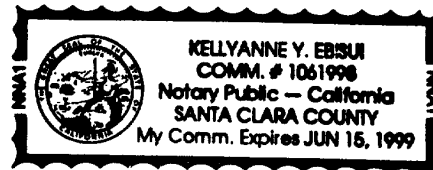
STATE OF California

COUNTY OF Santa Clara

On March 3, 1998, before me, Kellyanne Y. Ebisui, Notary Public ~~(here insert name and title of the officer)~~, personally appeared Alice Liu, ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS my hand and official seal.

Signature Kellyanne Y. Ebisui (Seal)



Date: 3/3/98

Gordon S. Kino
Gordon Kino

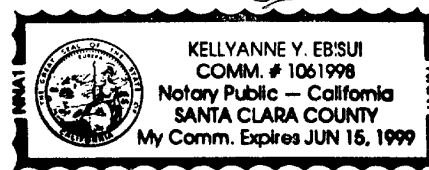
STATE OF California

COUNTY OF Santa Clara

On March 3, 1998, before me, Kellyanne Y. Ebisui, Notary Public ^(here insert name and title of the officer), personally appeared Gordon Kino, ~~personally known to me~~ ^{or} proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS my hand and official seal.

Signature Kellyanne Y. Ebisui (Seal)



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