

04-29-1998



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Docket No.: 97.46US

SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Amit R. Shah
Carl C. Orr
Nicole B. Huggins

2. Name and address of receiving party(ies):

Name: E-L Management Corp.

Internal Address:

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Street Address: 767 Fifth Avenue

City: New York State: NY ZIP: 10153

Execution Date: November 14, 1997 respectively

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

08/957,827

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Karen A. Lowney, Esq.

Internal Address: Estee Lauder, Inc.

Street Address: 125 Pinelawn Road

City: Melville State: NY ZIP: 11747

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

No. 05-1320

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karen A. Lowney, Esq. (Reg. #31,274)

Name of Person Signing

Signature

April 14, 1998

PATENT 6

Total number of pages including cover sheet, attachments, and document:

JOINT

ASSIGNMENT

WHEREAS, WE, **AMIT R. SHAH, CARL C. ORR, and NICOLE B. HUGGINS** ASSIGNORS, citizens of the United States of America, residing at **10 ORCHID LANE, COMMACK, NEW YORK 11725, 2 HILDRETH AVENUE, HUNTINGTON, NEW YORK 11743, 175-184 WESTWOOD DRIVE, WESTBURY, NEW YORK 11590** respectively, are the inventors of the invention in **METHOD FOR MANUFACTURE OF PIGMENT-CONTAINING COSMETIC COMPOSITIONS** for which we have executed an application for a Patent of the United States concurrently herewith which is identified by **Estee Lauder Docket No. 97.46US**, and

WHEREAS, **E-L MANAGEMENT CORP.**, a New York corporation having an office at 767 Fifth Avenue, New York, New York 10153, ASSIGNEE is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreements in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date NOV-14, 1997 Amit R. Shah L.S.
AMIT R. SHAH

State of **State of New York ss:**
County of **County of Suffolk**

On this 14 day of November, 1997, before me, a Notary Public in and for the State and County aforesaid, personally appeared **AMIT R. SHAH**, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Nancy Lutz
Notary Public, State of New York
No. 4718519
Qualified in Nassau County
Commission Expires May 31, 19 98
Notary Public.

Date Nov 14, 1997 Carl C. Orr L.S.
CARL C. ORR

NEW YORK V/S
State of **SUFFOLK**)
County of **SUFFOLK**) SS.:

On this 14TH day of NOVEMBER, 1997, before me, a Notary Public in and for the State and County aforesaid, personally appeared **CARL C. ORR**, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Veena Shah
Notary Public.

VEENA SHAH
Notary Public, State of New York
No. 4958791
Qualified in Suffolk County
Commission Expires Nov. 13, 199 97

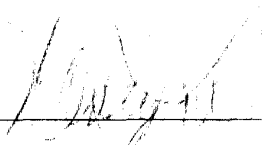
Date 11/14/97, 1997


NICOLE B. HUGGINS

L.S.

State of **State of New York ss:**
County of Suffolk
County of) SS.:
)

On this 14 day of November, 1997, before me, a Notary Public in and for the State and County aforesaid, personally appeared **NICOLE B. HUGGINS**, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be her free act and deed.


Notary Public.

NANCY LUTZ
Notary Public, State of New York
No. 4716519
Qualified in Nassau County
Commission Expires May 31, 19 98