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RECORDATION

Atty. Docket: 5.1141 PCT

To the Commissioner of

attached original documents or copy thereof.

1. Names of conveying parties:

(1) DAISUKE MACHII, (2) HARUKI TAKAI,
(3) NOBUO KOSAKA, (4) HISAKATSU SEO,
(5) TOMOMI SUGIYAMA, (6) JOJI NAKAMURA,
(7) HIROYUKI ISHIDA, (8) KATSUSHIGE GOMI,
(9) SOICHIRO SATO, (10) MASAKO UCHII and
(11) KOJI SUZUKI

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: (1), (2), (3), (4), (5), (9), (10) and (11):

June 26, 1996; (6) and (8): June 27, 1996;

(7): June 17, 1996.

Name: KYOWA HAKKO KOGYO CO., LTD.

Foreign Address: 6-1, Ohtemachi, 1-chome, Chiyoda-ku

Tokyo 100, Japan

Domestic Address:

City: State ZIP

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: (1), (2), (3), (4), (5),
(9), (10) and (11): June 26, 1996; (6) and (8): June 27, 1996; (7): June 17, 1996.

A. Patent Application Number: Not Yet Assigned

Filing Date: Concurrently Herewith

B. Title of Invention:

INDOLE DERIVATIVES

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Fitzpatrick, Cella, Harper & Scinto

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New York, New York 10172-0194

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6. Number of applications and patents involved:

One

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number (for deficiency or excess)

06-1205

(Attach duplicate copy of this page if paying by deposit account):

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and the attached is the original document or is a true copy of the original document.

LAWRENCE S. PERRY

Name of Person Signing

Signature

July 12, 1996

Date

Total number of pages including cover sheet, attachments, and documents: 4

REEL: 9122 FRAME: 0210

ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to Daisuke Machii residing at 1188, Shimotogari, Nagaizumi-cho, Sunto-gun, Shizuoka 411 Japan; Haruki Takai residing at 4-28-3, Aizawa, Seya-ku, Yokohama-shi, Kanagawa 246 Japan; Nobuo Kosaka residing at 904-31, Nakatogari, Nagaizumi-cho, Sunto-gun, Shizuoka 411 Japan; Hisakatsu Seo residing at 355-2, Nameri, Nagaizumi-cho, Sunto-gun, Shizuoka 411 Japan; Tomomi Sugiyama residing at 2-15-9-307, Tokura, Mishima-shi, Shizuoka 411 Japan; Joji Nakamura residing at 260-1, Takehara, Nagaizumi-cho, Sunto-gun, Shizuoka 411 Japan; Hiroyuki Ishida residing at 555 West Middlefield Road, Mountain View, CA 94043 U.S.A.; Katsushige Gomi residing at 541-12, Izu-shimada, Susono-shi, Shizuoka 410-11 Japan; Soichiro Sato residing at 10-2, Fujimidai, Mishima-shi, Shizuoka 411 Japan; Masako Uchii residing at 76-10, Nagabuse, Mishima-shi, Shizuoka 411 Japan; and Koji Suzuki residing at 1-8-4, Sanomiharashidai, Mishima-shi, Shizuoka 411 Japan; subjects of Japan, by Kyowa Hakko Kogyo Co., Ltd., a corporation organized under the laws of Japan, located at 6-1, Ohtemachi 1-chome, Chiyoda-ku, Tokyo 100 Japan, receipt of which is hereby acknowledged, we the said Daisuke Machii, Haruki Takai, Nobuo Kosaka, Hisakatsu Seo, Tomomi Sugiyama, Joji Nakamura, Hiroyuki Ishida, Katsushige Gomi, Soichiro Sato, Masako Uchii, and Koji Suzuki do hereby sell and assign to said Kyowa Hakko Kogyo Co., Ltd., its successors and assigns, all our right, title and interest in and for the United States of America, in and to the invention relating to

"INDOLE DERIVATIVES"

invented by us and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, reissues, ownership of the said Letters Patent when granted, to be held and enjoyed by said Kyowa Hakko Kogyo Co., Ltd., its successors, assigns

PATENT

REEL: 9122 FRAME: 0211

or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made;

And we hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of said above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Kyowa Hakko Kogyo Co., Ltd.

Signed this 26th day of June, 1996

Daisuke Machii
Daisuke Machii

Haruki Takai
Haruki Takai

Nobuo Kosaka
Nobuo Kosaka

Hisakatsu Seo
Hisakatsu Seo

Tomomi Sugiyama
Tomomi Sugiyama

Soichiro Sato
Soichiro Sato

Masako Uchii
Masako Uchii

Koji Suzuki
Koji Suzuki

Hirokazu Koshimura
Witness

Koji Idagahara
Witness

Signed this 27th day of June, 1996

Joji Nakamura
Joji Nakamura

Katsushige Gomi
Katsushige Gomi

Hiroaki Hayashi
Witness

Mie Itoku
Witness

Signed this 17th day of June, 1996

Hiroyuki Ishida
Hiroyuki Ishida

Shinji McKenney
Witness

Sy-Shi Wang
Witness