

MRD 4-20-98

04-29-1998

Attorney Docket No. 17965-000210US

FORM PTO-1595  
(Rev. 6-93)U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

100697746

To the Honorable Asst. Commissioner for \_\_\_\_\_, \_\_\_\_\_ original documents or copy thereof.

## 1. Name of conveying party(ies):

Michael L. Weiner  
John Jacob Kolb V  
Todd ChronisAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: Manning and Napier Information Services, LLC

Internal Address:

Street Address: 1100 Chase Square

City: Rochester State: NY ZIP: 14604

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other:

Execution Date:

Michael L. Weiner (03/09/98)  
John Jacob Kolb V (03/17/98)  
Todd Chronis (03/05/98)Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 08/989,832

B. Patent No.(s)

Additional numbers attached?

☐ Yes☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul A. Durdik  
TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
(650) 326-24006. Total number of applications and patents involved: 

7. Total fee (37 CFR 3.41):..... \$ 40.00



Enclosed



Charge Fees to Deposit Account



Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account

8. Deposit account number: 20-1430

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Paul A. Durdik

Name of Person Signing

Signature

4/15/98

Date

Atty. Reg. No. 37,819

Total number of pages including cover sheet, attachments, and document: 10. Change Correspondence Address to that of Part 5? ☐ Yes ☒ No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

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PATENT

REEL: 9122 FRAME: 0732

**ASSIGNMENT OF PATENT APPLICATION**

**JOINT**

**WHEREAS, Michael L. Weiner of 693 Summit Drive, Webster, New York 14580-1325, John Jacob Kolb V of 708 Wethersfield Street, Rowley, Massachusetts 01969, and Todd Chronis of 63 Crosman Terrace, Rochester, New York 14620, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:**

**Title of the Invention:     AUTOMATIC EXTRACTION AND GRAPHIC VISUALIZATION SYSTEM AND METHOD**

**Date(s) of execution of Declaration: \_\_\_\_\_**

**Filing date:     December 12, 1997     Application No.: 08/989,832     ; and**

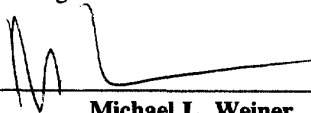
**WHEREAS, Manning & Napier Information Services, LLC, is a company organized and existing under the laws of the state of New York, located at 1100 Chase Square, Rochester, New York 14604, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;**

**For good and valuable consideration, receipt of which is hereby acknowledged by Assignors Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs) and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.**

**Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.**

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: MARCH 9-1998

  
\_\_\_\_\_  
Michael L. Weiner

STATE OF New York  
COUNTY OF Monroe

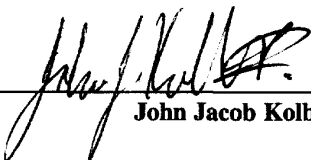
On 9<sup>th</sup> day of March before me, Cheryl A. Rotoli a Notary Public personally appeared Michael L. Weiner, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS my hand and official seal.

**CHERYL A. ROTOLI**  
Notary Public, State of New York  
Monroe County  
Commission Expires August 7, 1999

Signature Cheryl A. Rotoli (Seal)

Date: March 9, 1998

  
\_\_\_\_\_  
John Jacob Kolb V

STATE OF MA  
COUNTY OF Essex

On 3-17-98, before me, John Jacob Kolb V a Notary Public personally appeared John Jacob Kolb V, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS my hand and official seal.

JE 12/4/98

Signature \_\_\_\_\_ (Seal)

Date: \_\_\_\_\_

Todd Chronis

Todd Chronis

STATE OF

New York

COUNTY OF

Monroe

On Friday of March 1998 before me, Cheryl A. Rotoli a Notary Public personally appeared Todd Chronis, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS my hand and official seal.

Signature Cheryl A. Rotoli (Seal)

2-1.ASN

**CHERYL A. ROTOLI**  
Notary Public, State of New York  
Monroe County  
Commission Expires August 7, 1999