

05-07-1998

FORM PTO-1595
1-31-92

MRD 4-27-98

Docket No. 233/191



100704088

SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Wayne W. Compton
Additional name(s) of conveying party(ies) attached? ☐ Yes
☒ No

2. Name and address of receiving party:

Name: Kim Lighting Inc.Internal Address: Wayne W. ComptonCity: City of Industry State: CA Zip: 91745Street Address: 16555 E. Gale AvenueCity: City of Industry State: CA Zip: 91745Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: April 2, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 2, 1998

A. Patent Application No(s).

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Samuel B. Stone

Internal Address: LYON & LYON LLP
633 West Fifth Street, Suite 4700
Los Angeles, CA 90071-2066

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed☐ Charge this Deposit Account if any additional fee is required8. Deposit Account Number: 12-2475

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9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document.

05/05/1998

02-FC:361

Samuel B. Stone - Reg No. 19,297

Date: April 27, 1998Total number of pages including cover sheet: 5

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover sheet information to:

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OC-883.1

Express Mail No.
EM424911869US

PATENT

REEL: 9140 FRAME: 0343

A S S I G N M E N T

WHEREAS, I, WAYNE W. COMPTON, a citizen of the United States, residing at 12903 Sundown Lane, Chino Hills, California 91709 (hereinafter referred to as "ASSIGNOR"), has invented and owns a certain invention entitled "LUMINAIRE WITH VARIABLE ENTABLATURES", for which application for Letters Patent of the United States of America have been executed on even date herewith;

WHEREAS, KIM LIGHTING INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware and having its principal place of business at 16555 East Gale Avenue, City of Industry, California 91745 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and

interest to said invention and to all Letters Patent or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent and any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon request of ASSIGNEE, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may

be known and accessible to ASSIGNOR and will testify as to the same in any interference or legal proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its designated legal representative any and all papers, instruments, declarations or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

WITNESS my hand at City of Industry, California, this 2nd day of April, 1998.

Wayne W. Compton
WAYNE W. COMPTON

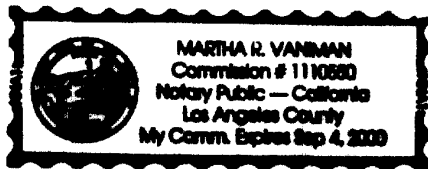
STATE OF CALIFORNIA)
COUNTY OF Los Angeles) ss.

On April 2, 1998 before me, Northa R. Variman,
Notary Public, personally appeared Wayne W. Compton
☒ personally known to me OR ☐ proved to me on the basis of
satisfactory evidence to be the person(~~s~~) whose name(~~s~~) is/~~are~~
subscribed to the within instrument and acknowledged to me that
he/~~she~~/~~they~~ executed the same in his/~~her~~/~~their~~ authorized

capacity(~~the~~), and that by his/~~her~~/~~their~~ signature(~~s~~) on the instrument the person(~~s~~), or the entity upon behalf of which the person(~~s~~) acted, executed the instrument.

WITNESS my hand and official seal.

Seal



Martha R. Vaniman
Notary Public in and for
said County and State