

05-11-1998

Docket No. 52188/ARC

FORM PTO-1595 (Substitute)

(Rev. 8-96) 8-23-98

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks, U.S. Department of Commerce, Patent and Trademark Office, Washington, D.C. 20231. Original documents or copy thereof.

1. Name of conveying party(ies):

Jun Wang
PC Shaw
Fai Ngor Karenda Ngan
PPH But

2. Name and address of receiving party(ies):

Name: The Chinese University of Hong Kong

Internal Address: _____

Street Address: Shatin, New Territories

Hong Kong, China

City/State/Zip: _____

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date(s): 2/14/97; 2/14/97
2/14/97; 2/14/97Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are): _____

A. Patent Application No.(s) 08/778,912

filed January 3, 1997

B. Patent No.(s) _____

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Albert Wai-Kit Chan, Ph.D., Esq.

Internal Address: _____

Street Address: Cooper & Dunham LLP
1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR \$3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

03-3125

05/08/1998 DECATES 00000155 033125 08778912

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Albert Wai-Kit Chan

Name of Person Signing

Signature

Date

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Albert Wai-Kit Chan 4/20/98
 Albert Wai-Kit Chan Date
 Reg. No. 36,479

Total Number of pages including cover sheet, attachments and document: 2

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

PATENT

REEL: 9147 FRAME: 0488

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Jun WANG of 23B, Block 1, New Town Plaza 3, Shatin, N.T., Hong Kong; P C SHAW of C22 Chung Chi Staff Quaters, The Chinese University of Hong Kong, Shatin, N.T., Hong Kong; NGAN Fai Ngor Karenda of 19 Man Yuen Street, 5/F., Man Ying Bldg., Jordan, Kowloon, Hong Kong and P P H BUT of 4B, University Residence 1, The Chinese University of Hong Kong, Shatin, N.T., Hong Kong hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: POLYMERASE CHAIN REACTION - RESTRICTION FRAGMENT LENGTH POLYMORPHISM TEST FOR THE AUTHENTICATION OF TRADITIONAL CHINESE MEDICINES

Date(s) of execution of Declaration: 14 February 1997


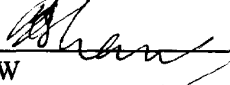
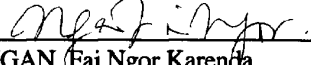

Filing date: Serial No.: ; and

WHEREAS, The Chinese University of Hong Kong, located at Shatin, New Territories, Hong Kong, hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNORS' interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Assignees, and Assignees' successors and assigns, all their right title and interest in and to the said invention and application, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: 14/2/97	 Jun WANG
Date: 14/2/97	 P C SHAW
Date: 14-2-97	 NGAN, Fai Ngor Karenda
Date: 14/2/97	 P P H BUT