

05-12-1998

FORM PTO-1595

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To The Honorable Commissioner of Patents and Trademarks:

100706759

or copy thereof.

1. Name of conveying parties: **MRD 4/17/98**  
  
John D. Vert  
Sunita Shrivastava  
  
Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)  
  
Name: Microsoft Corporation  
  
Internal Address: \_\_\_\_\_  
  
\_\_\_\_\_  
  
Street Address: One Microsoft Way  
  
City: Redmond State: Washington Zip:98052  
  
Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:  
  
 Assignment  Merger  
  
 Security Agreement  Change of Name  
  
 Other \_\_\_\_\_  
  
Execution Date: April 6, 1998

4. Application number(s) or patent number(s):  
  
If this document is being filed together with a new application, the execution date of the application is: Declaration executed on April 6, 1998;  
Title: "Method and System for Transparently Failing Over Application Configuration Information in a Server Cluster"; Express Mail No. EE506162889US; inventors John D. Vert and Sunita Shrivastava, filed on April 17, 1998.  
  
A. Patent Application No.(s) **09/06/857**  
  
New Application Filed Herewith -  
Express Mail No. EE506162889US  
  
Additional numbers attached?  Yes  No

B. Patent No.(s)  
  
Additional numbers attached?  Yes  No



5. Name and address of party to whom correspondence concerning document should be mailed:  
  
Name: Albert S. Michalik  
  
Internal Address: 1330  
  
Company Name: The Law Offices of Albert S. Michalik  
  
Street Address: 704 - 228th Ave NE  
Suite 193  
  
City: Redmond State: WA ZIP: 98053

6. Total number of applications and patents involved: 1  
  
7. Total Fee (37 CFR 3.41) .....\$40.00  
  
 Enclosed with filing fee  
 Authorized to be charged to deposit account  
  
8. Deposit account number:  
  
500463

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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
  
Albert S. Michalik Albert S. Michalik Date: April 17, 1998  
Printed Name of Person Signing Signature  
05/07/1998 DCOATES 00000187 500463 09061587  
01 FC:581 40.00 CH Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments

Washington, D.C. 20231

PATENT

REEL: 9149 FRAME: 0737

The Law Offices of  
Albert S. Michalik  
704 - 228<sup>th</sup> Avenue NE  
Suite # 193  
Redmond, WA 98053

## ASSIGNMENT

WHEREAS, we, John D. Vert and Sunita Shrivastava, (hereinafter referred to as ASSIGNORS), having post office addresses of 1928 - 11th Ave. W, Seattle, Washington 98119 and 14924 - 76th Court NE, Redmond, Washington 98052, respectively, are the joint inventors of an invention entitled "**Method and System for Transparently Failing Over Application Configuration Information in a Server Cluster,**" as described and claimed in the specification forming part of an application for United States letters patent executed herewith;

WHEREAS Microsoft (hereinafter referred to as ASSIGNEE), a corporation of the State of Washington having a place of business at One Microsoft Way, Redmond, Washington 98052, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

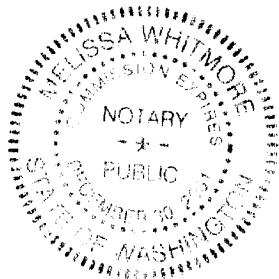
In witness whereof, we have set our hands on the dates shown below.

Date 4/6/98 John D. Vert  
John D. Vert

STATE OF Washington )  
 ) SS:  
COUNTY OF King )

On this 4<sup>th</sup> day of April, 1998, before me, a Notary Public in and for said county, appeared John D. Vert, who is personally known to me to be the same person whose name is subscribed to the foregoing assignment document, and acknowledged that he signed and delivered the document as his free and voluntary act for the uses and purposes therein set forth.

{SEAL}



Melissa Whitmore  
Notary Public

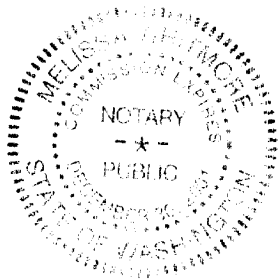
My Commission Expires: December 30, 2001

Date 4/6/98 Sunita Shrivastava  
Sunita Shrivastava

STATE OF Washington )  
 ) SS:  
COUNTY OF King )

On this 4<sup>th</sup> day of April, 1998, before me, a Notary Public in and for said county, appeared Sunita Shrivastava, who is personally known to me to be the same person whose name is subscribed to the foregoing assignment document, and acknowledged that she signed and delivered the document as her free and voluntary act for the uses and purposes therein set forth.

{SEAL}



Melissa Whitmore  
Notary Public

My Commission Expires: December 30, 2001

1330 assignment