

05-12-1998

U 011537-6

PATENT



STATES PATENT AND TRADEMARK OFFICE

100707687

Box Assignments
Commissioner of Patents and Trademarks
Washington, D.C. 20231



NOTE: "Documents and cover sheets to be recorded should be addressed to Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231, unless they are filed together with new applications or with a petition under § 3.81(b)." 37 CFR 3.27.

ASSIGNMENT (DOCUMENT) COVER SHEET (37 CFR 3.31)

NOTE: "A cover sheet may not refer to both patents and trademarks." 37 CFR 3.31(b).

Attached please find an assignment (document) for recordal.

MRD 4-30-98

CERTIFICATION 37 CFR 1.8(a) and 1.10

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

deposited with the United States Postal Service in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

37 CFR 1.8(a)

37 CFR 1.10

with sufficient postage as first class mail.

as "Express Mail Post Office to Addressee"
Mailing Label No. _____

TRANSMISSION

transmitted by facsimile to the Patent and Trademark Office.

Signature

JANET I. CORD

(type or print name of person certifying)

Date: April 27, 1998

(Assignment (Document) Cover Sheet [16-6] —page 1 of 6)

05/12/1998 SSMITH 00000027 08984253

01 FC:581

40.00 UF

**IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S)
FOR ASSIGNMENT (DOCUMENT) RECORDAL
(37 CFR 3.21 and 37 CFR 3.31(a)(4))**

NOTE: "An assignment relating to a patent must identify the patent by the patent number. An assignment relating to a national patent application must identify the national patent application by the application number (consisting of the series code and the serial number, e.g., 07/123,456) or the serial number and the filing date. An assignment relating to an international patent application which designates the United States of America must identify the international application by the international application number (e.g., PCT/US90/01234)." 37 CFR 3.21.

NOTE: § 3.21 does not apply to documents other than assignment. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

1. This assignment is for the following patent application and/or issued patent:

National application: SN: 0 8 / 984,253 filed on December 3, 1997
Provisional application: / filed on
International application: PCT/ /
Patent No: issued:

(complete if applicable) which was previously assigned and recorded

Date _____ Reel _____
Frame _____

(also complete the following, if applicable)

- and also for the applications and/or patents shown on the attached list of FURTHER APPLICATION(S) and/or PATENT(S) BEING ASSIGNED

Number of pages added _____

NOTE: "Where there is a listing of properties contained within a document, any listing may be copied and attached to the cover sheet to reduce the amount of typing necessary. A notation of this attachment can be made in lieu of entering every property identification number on the cover sheet. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

**TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS
AND TOTAL FEE (37 CFR 3.28(a)(6))**

2. A. The total number of applications and/or patents identified in this cover sheet is
1

B. The total fee is (37 CFR 1.21(h)):

1 x \$40.00 = \$ 40.00
Total number of applications
and/or patents

(Assignment (Document) Cover Sheet [16-6]—page 2 of 6)

C. Payment of fee is made by:

- the attached check for \$ 40.00
 Please charge Account _____
the sum of \$ _____

A duplicate of this cover sheet is attached.

Please charge Account 12-0425 for any fee deficiency or credit to account any overpayment.

**NAME OF PARTY(IES) CONVEYING INTEREST
(37 CFR 3.31(a)(1))**

NOTE: "The term 'party' as used in this rule [5 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65).

3. The party(ies) conveying interest is (are):

Name 1: Kameswaran NEELAKANTAN

Name 2:

Name 3:

**NAME AND ADDRESS OF PARTY(IES) RECEIVING
INTEREST (37 CFR 3.31(a)(2))**

4. The rights are being conveyed to:

Name: GEM ENERGY INDUSTRY LIMITED

Address: 58, Cathedral Road, Chennai
600086, India

(Assignment Document) Cover Sheet [16-6]—page 3 of 6

**DESCRIPTION OF INTEREST CONVEYED OR
TRANSACTION RECORDED (37 CFR 3.31(a)(3))**

5. The accompanying document intends to accomplish:

- an assignment.
- a security agreement.
- a merger.
- a license.
- a change of name.
- a change of address.
- other:

**NAME AND ADDRESS OF PARTY TO WHOM
CORRESPONDENCE SHOULD BE MAILED (37 CFR 3.31(a)(5))**

6. Please address correspondence to:

Name: JANET J. CORD
Address: ed LADAS & PARRY
26 WEST 61 STREET
Telephone No.: (NEW YORK, N.Y. 10023
Reg. No. 33,778 (212) 708-1935

**DATE ASSIGNMENT (DOCUMENT) EXECUTED
(37 CFR 3.31(a)(7))**

7. The attached assignment (document) was executed on February 18, 1998
(date)

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26.

8. The attached document:

- is in the English language.
- is not in the English language and a verified English translation signed by the individual making the translation is attached.

(Assignment (Document) Cover Sheet [18-5]—page 4 of 6)

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8 1/2 x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.

9. Submitted herewith is:

- the original document.
 a true copy of the original document.

NOTE: "If the original (assignment) document is two-sided or the wrong size, the practitioner can comply with the requirement (set out in 37 C.F.R. § 3.24) by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67

ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS

(check item, if applicable)

10. Because the purpose of the attached documents is to record a change of address of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME

(check item, if applicable)

11. Because the purpose of the attached documents is to record a change of name of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

CHANGE OF PATENT MAINTENANCE FEE ADDRESS

(check item, if applicable)

12. A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent to the Office separately.

(Assignment (Document) Cover Sheet [16-6]—page 5 of 6)

**STATEMENT (37 CFR 3.31(a)(9)) AND
SIGNATURE (37 CFR 3.31(a)(10))**

13. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer whose name appears on the document." Notice of June 24, 1982, 1140 O.G. 63-76, at 65.

Date: April 23, 1998

27

Name of party submitting document

Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)

Janet I. Cord
SIGNATURE OF ATTORNEY

Reg. No.

(type or print name of Attorney)

Tel. No. ()

JANET I. CORD
of LADAS & PARRY.
26 WEST 61 STREET
P.O. Address NEW YORK, N.Y. 10023
Reg. No. 33,778 (212) 708-1935

TOTAL NUMBER OF PAGES BEING SUBMITTED

14. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

NINE (9)

Total number of pages submitted

Attorney's Docket No. U 011537-6

PATENT

For: U.S. and/or Foreign Rights
For: U.S. Application or U.S. Patent
For: PCT Application
By: Inventor(s) or Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

(inventor(s) or person(s) or
entry(ies) who own the
invention)

Kameswaran NEELAKANTAN

(type or print name(s) of ASSIGNOR(S))

25/26, BBC Homes, 18 South Boag

(Address)

Road, T. Nagar, Chennai 600 017,

India

Indian

(Nationality)

*(if assignment is by person or entity to whom invention was previously assigned and
this was recorded in PTO, add the following)*

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

GEM ENERGY INDUSTRY LIMITED

(type or print name(s) of ASSIGNOR(S))

58, Cathedral Road, Chennai

(Address)

600086, India

Indian Company

(Nationality)

and the successors, assigns and legal representatives of the ASSIGNEE

(Assignment of Invention [16-3]—page 1 of 3)

PATENT

REEL: 9150 FRAME: 0641

check
one
11/17/98
6/9/98

(complete one of the following)

- the entire right, title and interest
- an undivided one hundred percent (100 %) interest for the United States and its territorial possessions

11/17/98
6/9/98

(check the following box if foreign rights are also to be assigned)

- and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled: HERBAL ANTI-DIABETIC THERAPEUTIC PRODUCT

(check and complete (a), (b), (c) or (d))

and which is found in

- (a) U.S. patent application executed on even date herewith
- (b) U.S. patent application executed on _____
- To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney, as named in the Declaration and Power of Attorney I executed for this invention on the execution date stated above, to insert below the filing date and application number when it becomes known.
- (c) U.S. application serial no. 08,984,253 filed on December 3, 1997
- (d) International application no. PCT/_____/_____
- (e) U.S. patent no. _____ issued _____
- A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (e) if foreign application(s) is also being assigned)

- (f) and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

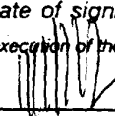
ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

(Assignment of Invention [16-3]—page 2 of 3)

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

19th day of February, 1998 (Date of signing).

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.



Signature of ASSIGNOR(S)

(KAMESWARAN NEELAKANTAN)

If ASSIGNOR is a legal entity complete the following information

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

Notarization or Legalization Page Added.

(Assignment of Invention [16-3]—page 3 of 3)