

05-13-1998

Form PTO-1595

April 13, 1998



SHEET

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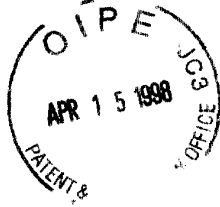
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TO THE COMMISSIONER OF PATENTS AND TRADEMARKS.
Please record the attached original documents or copy thereof.

Attorney Docket No.: AKSYUK 5-11-18-47

1. Name of conveying party(ies):

Vladimir A. AKSYUK
David J. BISHOP
Joseph E. FORD
James A. WALKER



2. Name and address of receiving party(ies):

Name: Lucent Technologies IncStreet Address: 600 Mountain Avenue

P. O. Box 636

City: Murray Hill State: New Jersey Zip: 07974-0636Additional names and addresses attached? ☐ yes ☒ no

Additional name(s) of conveying party(ies) attached?
☐ yes ☒ no

3. Nature of Conveyance

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: April 1 and April 2, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is __;

A. Patent Application No.(s)

08/968,935

B. Patent No.(s):

N/A

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lance J. Lieberman, Esq.

Internal Address: _____

Street Address: 551 Fifth Avenue, Suite 1210City: New York State: NY ZIP: 10176

6. Total number of applications and patents involved:

[1]7. Total fee (37 C.F.R. 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Edward M. Weisz, Esq.

Name of Person Signing
Reg. No. 37,257

Signature

April 13, 1998

Date

Total number of pages including cover sheet, attachments and document [6]

OMB No. 0651-0011 (exp. 4/84)

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Mail documents to be recorded with required cover sheet information to:
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Washington, D.C. 20231

PATENT

REEL: 9155 FRAME: 0087

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ASSIGNMENT AND AGREEMENT

For value received, we, **Vladimir A. AKSYUK**, of **Piscataway** in the County of **Middlesex** and State of **New Jersey**; **David J. BISHOP**, of **Summit** in the County of **Union** and State of **New Jersey**; **Joseph E. FORD**, of **Oakhurst** in the County of **Monmouth** and State of **New Jersey** and **James A. WALKER**, of **Howell** in the County of **Monmouth** and State of **New Jersey** hereby sell, assign and transfer to the **Lucent Technologies Inc.**, a corporation of the State of **Delaware**, having an office at **600 Mountain Avenue - P.O. Box 636, Murray Hill, New Jersey, 07974-0636**, U.S.A., and its successors, assigns and legal representatives, hereinafter collectively **Lucent Technologies Inc.**, the entire right, title and interest, for the United States of America, in and to certain inventions related to

Reconfigurable Wavelength Division Multiplex Add/Drop Device Using Micromirrors,

described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to **Lucent Technologies Inc.** the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize **Lucent Technologies Inc.** to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of **Lucent Technologies Inc.** to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to **Lucent Technologies Inc.** in the United States and to **Lucent Technologies Inc.** in all countries foreign to the United States, or to such nominees as they may designate.

We agree that, when requested, we shall, without charge to **Lucent Technologies Inc.** but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

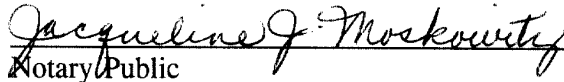


Vladimir A. AKSYUK

Date: 4/1/98

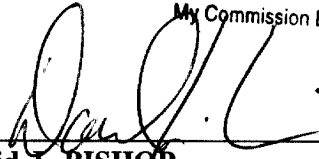
United States of America)
State of New Jersey) ss.:
County of Union)

On this 1st day of April, 1998, before me personally came **Vladimir A. AKSYUK**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

JACQUELINE J. MOSKOWITZ
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 01/22/2003



David J. BISHOP

Date: 4/1/98

United States of America)
State of New Jersey) ss.:
County of Union)

On this 1st day of April, 1998, before me personally came **David J. BISHOP**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

JACQUELINE J. MOSKOWITZ
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 01/22/2003

Date: 4/2/98 Joseph E. Ford
Joseph E. FORD

United States of America)
State of new Jersey) ss.:
County of monmouth)

On this 2 day of April, 1998, before me personally came **Joseph E. FORD**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

J Petruzzelli
Notary Public
JOYCE PETRUZZELLI
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES JULY 23, 2001

Date: 4/2/98 James A. Walker
James A. WALKER

United States of America)
State of new Jersey) ss.:
County of monmouth)

On this 2 day of April, 1998, before me personally came **James A. WALKER**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

J Petruzzelli
Notary Public
JOYCE PETRUZZELLI
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES JULY 23, 2001