

05-14-1998



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name(s) of conveying party:

Robert Frederic Kern, Ronald Maynard Kern, William Frank Micka, Mark Anthony Sovik

2. Name and address of receiving party:

International Business Machines Corporation  
Old Orchard Road  
Armonk, NY 10504

09/073375

3. Nature of conveyance: Assignment

Execution Date: 4-28-98, 4-21-98, 4-21-98, and 4-21-98, respectively

4. Application number:

Docket No.: TU9-97-038

If this Assignment is being filed together with a new application, enter the execution date of the application (date Declaration signed): 4-28-98, 4-21-98, 4-21-98, and 4-21-98, respectively

5. Name and address of party to whom correspondence concerning Assignment should be mailed:

Dan Hubert  
3111 Camino Del Rio North  
4th Floor  
San Diego, CA 92108

6. Total number of applications involved: one

7. Total fee (37 CFR 3.41) = \$40.00

8. Charge fee to: Recordation Fee is included in enclosed Check #101

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dan Hubert (#33,906)  
Attorney Name

*Dan Hubert*  
Signature of Attorney

5-5-98  
Date

# ASSIGNMENT

**Whereas, we,**

(1) Robert Frederic Kern  
City of Tucson  
County of Pima  
State of Arizona

(2) Ronald Maynard Kern  
City of Tucson  
County of Pima  
State of Arizona

(3) William Frank Micka  
City of Tucson  
County of Pima  
State of Arizona

(4) Mark Anthony Sovik  
City of Tucson  
County of Pima  
State of Arizona

have invented certain improvements in:

**"OUTBOARD DATA TRANSFER SYSTEM"**

and executed a Declaration and Power of Attorney for a United States patent application therefor on

(1) 4/28/98

(2) 4-21-98

(3) 4/21/98

(4) 4-21-98

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

**Execution by Inventors**

(1) Inventor ROBERT FREDERIC KERN

City/State TUCSON, ARIZONA

Signature Robert Frederic Kern

Date 4/28/98

(2) Inventor RONALD MAYNARD KERN

City/State TUCSON, ARIZONA

Signature Ronald Maynard Kern

Date 4/28/98

(3) Inventor WILLIAM FRANK MICKA

City/State TUCSON, ARIZONA

Signature William Frank Micka

Date 4/21/98

(4) Inventor MARK ANTHONY SOVIK

City/State TUCSON, ARIZONA

Signature Mark Anthony Sovik

Date 4/21/98

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