

05-14-1998

Attorney Docket No. 13011-006500

FORM PTO-1595  
(Rev. 6-93)



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

100709559

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof.

MRD 4-20-98

1. Name of conveying party(ies):

MING ZHOU

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies):

Name: E-TEK DYNAMICS, INC.

Internal Address:

Street Address: 1885 Lundy Avenue

City: San Jose State: California ZIP: 95131

Additional name(s) & address(es) attached?  Yes  No

100709559  
05/12/1998  
09/063147  
04/20/98

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other:

Execution Date: April 16, 1998, April 16, 1998 and April 16, 1998, respectively

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: April 16, 1998, April 16, 1998, and April 16, 1998, respectively

A. Patent Application No.(s) --Not Yet Assigned--

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gary T. Aka  
TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
(650) 326-2400

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41):..... \$ 40.00

- Enclosed  Charge Fees to Deposit Account
- Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account

8. Deposit account number: 20-1430

05/12/1998 JSN00ZZ 00000060 201430 09063147  
Sale Ref: 00000060 DN: 201430 09063147  
01 FC:581 40.00 CH

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gary T. Aka  
Name of Person Signing

Signature

April 20, 1998  
Date

Atty. Reg. No. 29,038

Total number of pages including cover sheet, attachments, and document:

10. Change Correspondence Address to that of Part 5?  Yes  No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to: Do not detach this portion  
Asst. Commissioner for Patents  
Box Assignments  
Washington, D.C. 20231

PATENT

REEL: 9158 FRAME: 0032

1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

FENG QING ZHOU  
JING-JONG PAN

2. Additional name(s) and address(es) of receiving party(ies):  
(Continued from Page 1)

4. Additional application number(s) or patent number(s):  
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

**ASSIGNMENT OF PATENT APPLICATION**

**JOINT**

WHEREAS, **MING ZHOU** of **1478 Amber Grove Drive, San Jose, California, 95131**, **FENG QING ZHOU** of **1845 Bexley Landing, San Jose, California, 95132** and **JING-JONG PAN** of **978 Westridge Drive, Milpitas, California, 95035**, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **IMPROVED PRECISION THICKNESS OPTICAL COATING SYSTEM AND METHOD OF OPERATION THEREOF**

Date(s) of execution of Declaration: April 16, 1998, April 16, 1998 and April 16, 1998, respectively

Filing date: April 16, 1998 Application No.: --Not Yet Assigned--; and

WHEREAS, **E-TEK DYNAMICS, INC.** a California corporation, located at 1885 Lundy Avenue, San Jose, California, 95131, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: 04/16/98

Ming Zhou  
Ming Zhou

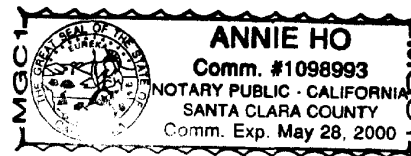
STATE OF California

COUNTY OF Santa Clara

On April 16th, 1998, before me, Annie Ho, Notary Public (here insert name and title of the officer), personally appeared **Ming Zhou**, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/her/their authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature Annie Ho (Seal)



Date: 4/16/98

Feng Qing Zhou  
Feng Qing Zhou

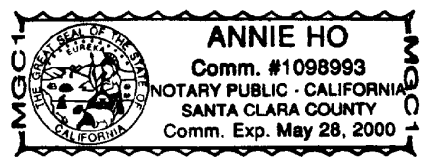
STATE OF California

COUNTY OF Santa Clara

On April 16th, 1998, before me, ANNIE HO, Notary Public (here insert name and title of the officer), personally appeared **Feng Qing Zhou**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature Annie Ho (Seal)



Date: April 16, 1998

Jing-Jong Pan  
Jing-Jong Pan

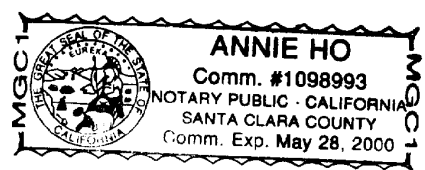
STATE OF California

COUNTY OF Santa Clara

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WITNESS my hand and official seal.

Signature Annie Ho (Seal)



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ASSIGN.MRG 9/96