
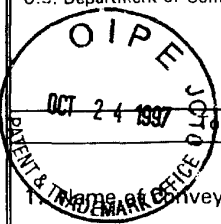
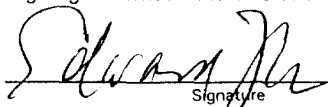


Form PTO-1595 U.S. Department of Commerce		05-15-1998  100710660		Patent and Trademark Office	
<div style="display: flex; align-items: center;">  <div style="margin-left: 20px;"> RECORD P. </div> </div>		the Assistant Commissioner for Patents:			
1. Name of conveying party(ies): Alan K. Schaer			2. Name and address of receiving party(ies): Name: Duane Dickens Company: CARDIMA, INC. Street Address: 47266 Benecia Street City/State/Zip: Fremont, CA 94538		
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of conveyance: <input checked="" type="checkbox"/> ASSIGNMENT Execution Date: <u>October 14, 1997</u>					
4. Application number(s) or patent number(s) Attorney Docket No. <u>22963-1230</u> If this document is being filed together with a new application, the execution date of the application is _____ A. Patent Application No.(s): <u>08/813,505</u> B. Patent No.(s): _____ <div style="text-align: center; font-size: small;">Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</div>					
5. Name and address of party to whom correspondence concerning this document should be mailed: Name: Edward J. Lynch Company: HELLER EHRMAN WHITE & McAULIFFE Street Address: 525 University Ave. City/State/Zip: Palo Alto, CA 94301-1900			6. Total number of applications and patents involved: <div style="text-align: center; font-size: large;">1</div>		
			7. Total fee (37 CFR 3.41) \$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to Deposit Account		
			8. Deposit Account Number: <u>08-1641</u>		
DO NOT USE THIS SPACE					
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <div style="display: flex; justify-content: space-between;"> <div> <u>Edward J. Lynch</u> Name of Person Signing </div> <div> <u>24,422</u> Reg. No. </div> <div style="text-align: center;">  Signature </div> <div style="text-align: right;"> <u>10/20/97</u> Date </div> </div> Total number of pages including cover sheet, attachments and document: <u>3</u>					

OMB No. 0651-0011 (exp. 4/94)



Alan K. Schaer

08/813,505

Filed: March 7, 1997

For: OVER-THE-WIRE EP CATHETER

Group Art Unit: 3311

Examiner: Not yet assigned

TRANSMITTAL

Docket No. 22963-1230

1-5
02-23-1998

100639894

CERTIFICATE OF MAILING PURSUANT TO 37 CFR 1.8

I hereby certify that this papers is being deposited in the United States mail as first class mail with postage prepaid, and is addressed to: The Assistant Commissioner of Patents, U.S. Patent and Trademark Office, Washington D.C. 20231, on October 20, 1997, in Palo Alto, CA.

nda g miller

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

1. Transmitted herewith for filing in the above identified patent application is a Response to Notice to File Missing Parts of Application.

Also enclosed are:

- ☒ A copy of the Notice to File Missing Parts of Application;
☒ Declaration and Power of Attorney;
☒ Assignment and PTO-1595 Form;
☒ Verified Statement Claiming Small Entity Status - Small Business Concern;
☒ Return Postcard.

2. Claim Fee Calculation

CLAIM FEE CALCULATION

	Claims Filed	Total Extra	Rate	Filing Fee \$385.00
Total Claims	66 - 20 =	46 x	\$11.00 =	\$506.00
Independent Claims	5 - 3 =	2 x	\$41.00 =	\$82.00

* If less than zero, enter "0".

Additional Claim Fee \$588.00
TOTAL FILING FEES \$973.00

3. Applicant is

- ☐ Other than a small entity
☒ A small entity
☐ Verified statements previously filed
☐ Verified statements previously attached

11/20/1997 SBLIZZAR 00000071 DAH 081641 00413505
06 FC:581 10.00 CH 30.00 UP

4. ☒ Applicant hereby petitions for an Extension of Time of two months, pursuant to Rule 1.136(a). Fee required \$200.00 \$200.00

5. Other: Assignment Recordation Fee \$40.00 \$40.00
Specify: Surcharge \$65.00 \$65.00
Total Fees Due \$1,278.00

6. Payment of Fees

- ☒ Check(s) in the amount of \$1,278.00 is enclosed.
☐ Charge Deposit Account No. 08-1641 in the amount of \$_____. A duplicate of this transmittal is attached.

7. Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication and which may be required under 37 CFR §1.16 or §1.17 to Account No. 08-1641, with reference to Docket No. 22963-1230. A duplicate of this transmittal is attached.

By: *Edward J. Lynch*

Edward J. Lynch
Registration No. 24,422

PATENT

REEL: 9159 FRAME: 0585

ASSIGNMENT

This Assignment is made by Alan K. Schaer, Assignor, of Cupertino, CA, to CaRDiMa, Inc., Assignee, of Fremont, CA, a California Corporation.

WHEREAS, Assignor has invented a new and useful **OVER-THE-WIRE EP CATHETER**, for which an application for United States Letters Patent was filed in the United States Patent and Trademark Office on March 7, 1997 under Serial No. 08/813,505; and

WHEREAS, Assignor believes self to be the original, first and sole inventor of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, he and legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to the interest assigned herein to said invention, said application and any Letters Patent granted for said invention in the United States and throughout the world.

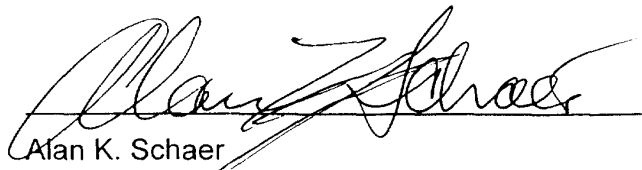
Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the assignment herein.

Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the dates written herein below.

Assignor

Oct 14, 1997
Date


Alan K. Schaer