

05/07/98  
1587  
1595  
P10

05-18-1998



100714126

ET

Jorney Docket No.: Lakshmikumar - 14

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

**TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:**

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Kadaba R. Lakshmikumar**

Additional name(s) of conveying party(ies) attached?

☐ yes

☒ no

2. Name and address of receiving party(ies):

Name: Lucent Technologies Inc.

Internal Address: P.O. Box 636

3. Nature of Conveyance

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Street Address: 600 Mountain Avenue

City: Murray Hill State: New Jersey Zip: 07974-0636

Additional names and addresses attached? ☐ yes ☒ no

Execution Date:

February 9, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_

A. Patent Application No.(s)

**08/997,084**

B. Patent No.(s):

**N/A**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph Sofer

Internal Address: SOFER & HAROUN, LLP.

Street Address: 342 Madison Avenue, Suite 1921

City: New York State: NY ZIP: 10173

6. Total number of applications and patents involved:

**[1]**

7. Total fee (37 C.F.R. 3.41) ..... \$ **40.00**

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Joseph Sofer, Esq.  
Name of Person Signing

[Signature]  
Signature

May 4, 1998  
Date

Total number of pages including cover sheet, attachments and document **[3]**

OMB No. 0651-0011 (exp. 4/84)

do not detach this portion  
Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

05/12/1998 STNORWTO 00000017 122325 08997084

02 FC:581

40.00 CH

PATENT  
REEL: 9161 FRAME: 0285

## **ASSIGNMENT AND AGREEMENT**

For value received, I **Kadaba R. Lakshmikumar.**, of Basking Ridge in the County of Somerset and State of New Jersey, hereby sell, assign and transfer to **Lucent Technologies Inc.**, a corporation of the State of New Jersey, having an office at 600 Mountain Avenue, P.O. Box 0636, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, hereinafter collectively Lucent Technologies, the entire right, title and interest, for the United States of America, in and to certain inventions related to

### **A PHASE ALIGNER SYSTEM AND METHOD**

described in an application for Letters Patent of the United States filed on December 23, 1997 and identified as serial no. 08/997,084, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and I also concurrently hereby sell, assign and transfer to Lucent Technologies the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Lucent Technologies to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from me, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Lucent Technologies in the United States and to Lucent Technologies in all countries foreign to the United States, or to such nominees as they may designate.

I agree that, when requested, I shall, without charge to Lucent Technologies but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

*Kadaba R. Lakshmikumar*

**KADABA R. LAKSHMIKUMAR**

Date: Feb 9, 98

United States of America )

State of New Jersey )ss.:

County of Union )

On this 9th day of February, 1998, before me personally came **KADABA R. LAKSHMIKUMAR**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

*Patricia M. Lott*

Notary Public

PATRICIA M. LOTT  
NOTARY PUBLIC OF NEW JERSEY  
Registered in Somerset County  
My Commission Expires March 24, 1999