

05-20-1998



Tab settings

To the Honorable Commissioner of Patents

100715342

attached original documents or copy thereof.

1. Name of conveying party(ies):

Lap K. CHENG
Peter E. Powers
Wayne S. Pelouch
Chung L. Tang

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance: MRD 5-7-98

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other April 14, 17, and 22, 1998

Execution Date: February 12, 1998

2. Name and address of receiving party(ies):

Name: CORNELL RESEARCH FOUNDATION, INC.

Internal Address: Cornell Business & Technology Park

20 Thornwood Drive

Suite 105

Street Address: (Same as above)

City: Ithaca State: NY ZIP: 14850

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

5,371,752

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: George M. Cooper

Internal Address: JONES, TULLAR & COOPER, P.C.

P.O. Box 2266, Eads Station

Arlington, VA 22202

Street Address: JONES, TULLAR & COOPER, P.C.

2001 Jefferson Davis Highway Suite 1002

City: Arlington State: VA ZIP: 22202

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George M. Cooper

Name of Person Signing

Signature

5/7/98
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

(Form PTO -1595--Recordation Form Cover Sheet, page 1 of 3)

REEL: 9168 FRAME: 0423

01 FC:581

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, I , the undersigned,

Lap K. CHENG, 66 Cypress Bridge Place, Bear, Delaware 19701-1014

Hereby sell, assign and transfer to

CORNELL RESEARCH FOUNDATION, INC.

a corporation of New York
having a place of business at Cornell Business & Technology Park,
20 Thornwood Drive, Suite 105, Ithaca, New York 14850

his successors, assigns and legal representatives, the entire right, title and interest for the United States Letters Patent No. 5,371,752 which issued December 6, 1994, and is entitled:

OPTICAL PARAMETRIC OSCILLATION USING KTA NONLINEAR CRYSTALS

and in and to any and all reissues thereof which have been or shall be issued in the United States;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

..... 2/12/98 (L.S.)
Date (Lap K. Cheng)

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, (I) (we) the undersigned,

Peter E. Powers
Wayne S. Pelouch
Chung L. Tang

Hereby sell, assign and transfer to:

CORNELL RESEARCH FOUNDATION, INC.

a corporation of The State of New York, having a place of business at Cornell Business & Technology Park, 20 Thornwood Drive, Suite 105, Ithaca, New York, 14850 its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in U.S. Patent 5,371,752 which issued on December 6, 1994 and entitled

OPTICAL PARAMETRIC OSCILLATION USING KTA NONLINEAR CRYSTALS

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements, execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date _____

Peter E. Powers

(L.S.)

Date 04/22/98

Wayne S. Pelouch
Wayne S. Pelouch

(L.S.)

Date _____

Chung L. Tang

(L.S.)

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, (I) (we) the undersigned,

Peter E. Powers
Wayne S. Pelouch
Chung L. Tang

Hereby sell, assign and transfer to:

CORNELL RESEARCH FOUNDATION, INC.

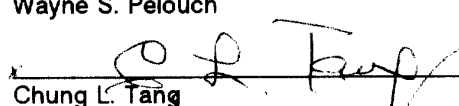
a corporation of The State of New York, having a place of business at Cornell Business & Technology Park, 20 Thornwood Drive, Suite 105, Ithaca, New York, 14850 its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in U.S. Patent 5,371,752 which issued on December 6, 1994 and entitled

OPTICAL PARAMETRIC OSCILLATION USING KTA NONLINEAR CRYSTALS

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements, execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date _____	_____ (L.S.) Peter E. Powers
Date _____	_____ (L.S.) Wayne S. Pelouch
Date <u>4-14-98</u>	<u></u> (L.S.) Chung L. Tang

PATENT
REEL: 9168 FRAME: 0427

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, (I) (we) the undersigned,

Peter E. Powers
Wayne S. Pelouch
Chung L. Tang

Hereby sell, assign and transfer to:

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a corporation of The State of New York, having a place of business at Cornell Business & Technology Park, 20 Thornwood Drive, Suite 105, Ithaca, New York, 14850 its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in U.S. Patent 5,371,752 which issued on December 6, 1994 and entitled

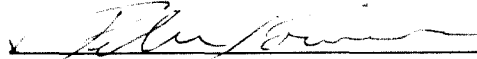
OPTICAL PARAMETRIC OSCILLATION USING KTA NONLINEAR CRYSTALS

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements, execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date x 4/17/98

 (L.S.)
Peter E. Powers

Date _____

Wayne S. Pelouch (L.S.)

Date _____

Chung L. Tang (L.S.)