05-21-1998 FORM PTO-1595 OF COMMERCE (Rev. 6/93) Patent and Trademark Office 7 1998 100717541 Attorney Docket No. 1049-1-002 CIP To the Assistant Commissioner for Rate 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): Mark A. Guthridge, Claudio Basilico and Paola Bellosta Name: New York University Address: 70 Washington Square South Additional name(s) of conveying party(ies) attached? [] Yes [x] No New York, New York 10012 3. Nature of conveyance: [x] Assignment [] Merger | | Security Agreement [ ] Change of Name Additional name(s) & address(es) attached? | ] Yes [x] No Other: \_\_ Execution Date: April 30, 1998 (respectively) April 26, 1998 (respectively) April 26, 1998 (respectively) 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) 08/935,855 Additional numbers attached? [] Yes [x] No 5. Name and address of party to whom correspondence concerning 6. Total number of applications and patents involved: 1 document should be mailed: Name: <u>David A. Jackson</u> 7. Total fee (37 CFR 3.41): \$40.00 Address: KLAUBER & JACKSON [x] Enclosed 411 Hackensack Avenue, 4th Floor [x] Authorized to be charged to deposit account, if necessary for overages or underpayments only Hackensack, New Jersey 07601 8. Deposit account number:

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Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William C. Coppola, Reg. No. P41,686 Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 9

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**Assistant Commissioner for Patents** Box Assignments Washington, D.C. 20231

> **PATENT** REEL: 9172 FRAME: 0665

## ASSIGNMENT

WHEREAS, we, the undersigned

MARK A. GUTHRIDGE, a citizen of Australia

residing at #6/86 7th Avenue

St. Peters South Australia Australia 5069

CLAUDIO BASILICO, a citizen of the United States

residing at 110 Bleeker Street

New York, New York 10012; and

PAOLA BELLOSTA, a citizen of Italy

residing at 1 Washington Square Village, Apt. 8G

New York, New York 10012

ASSIGNORS, have invented certain new and useful improvements in

# GROWTH FACTOR INDUCIBLE SERINE/THREONINE PHOSPHATASE, FIN 13

for which we have filed an Application for Letters Patent in the United States on September 23, 1997 as U.S. Serial No. 08/935,855; and

WHEREAS,

## **NEW YORK UNIVERSITY**

a corporation of the State of New York having a place of business at 70 Washington Square South, New York, New York 10012

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, FOR other good and valuable consideration, the receipt of which is hereby acknowledged, we the said

## ASSIGNORS.

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to the said

PATENT REEL: 9172 FRAME: 0666

## ASSIGNEE.

its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

#### ASSIGNEE.

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

#### ASSIGNEE.

its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

# ASSIGNEE,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

opposite our signatures.			
	1944	Mark A. Guthridge	L.S.
State of Europe Missian County of Alexander	: : : SS.		
County of ACKMANA	:		
personally known to be the	e person who is described to me that he execu	appeared Mark A. Guthridge, to me d in and who executed the above ted the same of his own free will for Notary Public	
IN & FOR THE STATE OF S.A. (Affix Seal Here)		Notary Public	
Date,	19	Claudio Basilico	L.S.
State of	: : ss.		
County of	:		
personally known to be th	e person who is described dged to me that he executed to the executed the described described and the described described and the described descri	y appeared Claudio Basilico, to me ed in and who executed the above uted the same of his own free will for	the
		Notary Public	
(Affix Seal Here)			

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set

3

Date	, 19	L.S.
		Paola Bellosta
State of	:	
	: ss.	
County of	:	
	person who is described	personally appeared Paola Bellosta, to me personally in and who executed the above instrument, and e same of his own free will for the purposes therein se
		Notary Public
(Affix Seal Here)	)	

## **ASSIGNMENT**

WHEREAS, we, the undersigned

MARK A. GUTHRIDGE, a citizen of Australia

residing at #6/86 7th Avenue

St. Peters South Australia Australia 5069

CLAUDIO BASILICO, a citizen of the United States

residing at 110 Bleeker Street

New York, New York 10012; and

PAOLA BELLOSTA, a citizen of Italy

residing at 1 Washington Square Village, Apt. 8G

New York, New York 10012

ASSIGNORS, have invented certain new and useful improvements in

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for which we have filed an Application for Letters Patent in the United States on September 23, 1997 as U.S. Serial No. 08/935,855; and

WHEREAS,

**NEW YORK UNIVERSITY** 

a corporation of the State of New York having a place of business at 70 Washington Square South, New York, New York 10012

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, FOR other good and valuable consideration, the receipt of which is hereby acknowledged, we the said

# ASSIGNORS,

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to the said

PATENT REEL: 9172 FRAME: 0670

#### ASSIGNEE.

its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

#### ASSIGNEE,

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

# ASSIGNEE,

its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

# ASSIGNEE,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

Date, 19	Mark A. Guthridge
State of : ss.	
County of :	
personally known to be the person who is d	rsonally appeared Mark A. Guthridge, to me described in and who executed the above the executed the same of his own free will for the
(Affix Seal Here)	Notary Public
Date 4/24, 1998  State of New York:  County of New York:	Claudio Basilico
Before me this and day of April 1998, per personally known to be the person who is dinstrument, and acknowledged to me that he purposes therein set forth.	resonally appeared Claudio Basilico, to me described in and who executed the above e executed the same of his own free will for the Notary Public
(Affix Seal Here)	MAUREEN VALENTI Notary Public, State of New York No. 01VA5014085 Qualified in Kings County Commission Expires July 15, 19

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set

opposite our signatures.

Date 64/24, 1998

State of New York:

County of New York:

Before me this 24 day of April 1998, personally appeared Paola Bellosta, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

(Affix Seal Here)

MAUREEN VALENTI Notary Public, State of New York No. 01VA5014085 Qualified in Kings County 99 Commission Expires July 15, 1911