

05-26-1998

LD-120A (CT-2412A)

Rev. FORM PTO-1595

1-31-92

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*5-1-98*



100718444

COVER SHEET

LYNCH

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents and a copy thereof.

1. Name of conveying party(ies):

Harold Mastalerz and John F. Kadow

Additional name(s) of conveying party(ies) attached?

Yes  No

2. Names and address of receiving party(ies):

**BRISTOL-MYERS SQUIBB COMPANY**  
**Lawrenceville-Princeton Road**  
**Princeton, NJ 08543-4000**

3. Nature of conveyance:

Assignment

Other \_\_\_\_\_

Additional name(s) and address(es) attached?  Yes  No

Execution Date: April 14, 1998

4. Application number(s) or Patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

09/059,824 filed April 14, 1998

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Samuel J. DuBoff, Esq.

Address: Bristol-Myers Squibb Co.

Patent Department - 851

5 Research Parkway, PO Box 5100

Wallingford CT 06492-7660

City State Zip

6. Total number of applications and patents involved:

7. Total fee(37 CFR 3.41) involved: **\$40.00**

Authorized to be charged to deposit account

8. Deposit account number: **02.3850**

(Attached duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and the attached is a true copy of the original document.

Samuel J. DuBoff, Esq.

*Samuel J. DuBoff*

4/28/98

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document:

05/22/1998 SSMITH 00000008 023850 09059824

01 FC:581 40.00 CH

PATENT  
REEL: 9181 FRAME: 0246

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged and intending to become legally bound thereby, we

Harold Mastalerz of 70 Robin Lane  
Guilford, CT 06437

John F. Kadow of 9 Quarry Run  
Wallingford, CT 06492

do hereby sell, assign and set over unto BRISTOL-MYERS SQUIBB COMPANY, a corporation organized and existing under the laws of the State of Delaware, having a place of business at Lawrenceville-Princeton Road, Princeton, New Jersey 08543-4000 (hereinafter called "BRISTOL"), the entire right, title and interest in the United States and in all foreign countries of the world in and to any and all of our inventions and discoveries in 7-SULFUR SUBSTITUTED PACLITAXELS as described and/or claimed in our application for Letters Patent of the United States of America executed April 14, 1998, by us, respectively, identified as Serial Number 09/059,824 filed on April 14, 1998, in and to the above identified patent application, in and to the right to file patent applications in the name of BRISTOL or in our names, at its election, on the aforesaid invention and discovery in the United States and in all foreign countries of the world, together with all rights of priority in the aforesaid foreign countries deriving from the above-identified United States patent application under the International Convention for the Protection of Industrial Property, under the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models, and under any other international arrangement to which the United States of America now is or hereafter becomes a signatory, in and to any and all Letters Patent that issue on any of the aforesaid patent applications, and in and to any reissues, renewals, and extensions thereof of any of said Letters Patent, the same to be held and enjoyed by said BRISTOL, its successors, assigns, or other legal representatives to the full ends of the terms for which all LETTERS Patent therefor may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

And, we hereby Covenant and Agree that we will, at any time, upon the request and at the expense of BRISTOL execute and deliver any and all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, and Letters Patent and reissues, renewals, and extensions thereof in BRISTOL, its successors, assigns, or other legal representatives, including the execution and procurement of any and all further documents evidencing this assignment and sale as may be necessary or desirable for recording the same in the Patent Office of any country concerned, and that we will, at any time, upon the request and at the expense of BRISTOL, execute any additional or divisional applications for patents for said inventions and discoveries, or any part or parts thereof, and for applications for patents of confirmation, registration, and importation based on said Letters Patent and on Letters Patent issuing from said additional or divisional applications, reissues, renewals, and extensions of any Letters Patent to be granted therefor, and will make all rightful oaths and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of BRISTOL, its successors, assigns, or other legal representatives.

This document has been prepared in the English language at the request of the assignor.

We hereby authorize BRISTOL to insert in this instrument the serial or application number and the filing date of said application.

Executed this 14 day of April,  
1998.

Harold Mastalerz L.S.  
Harold Mastalerz

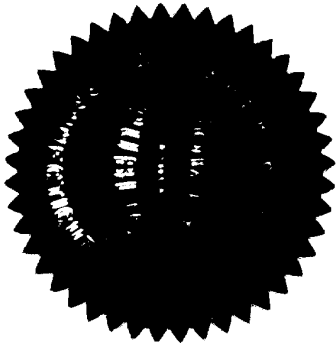
Executed this 14th day of April,  
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John F. Kadow L.S.  
John F. Kadow

**Acknowledgement**

STATE OF Connecticut )  
 ) ss.Wallingford  
COUNTY OF New Haven )

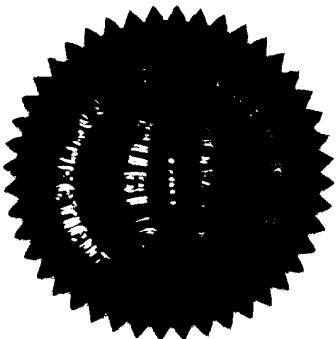
On the 14 day of April, 1998, before me came  
Harold Mastalerz, to me known to be the person of that name  
mentioned in, and who executed the foregoing Assignment and  
acknowledged that he executed it.



Janet C. Sudol  
-----  
Notary Public  
JANET C. SUDOL  
NOTARY PUBLIC  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants:** Harold Mastalerz, et al

**Serial No.:** 09/059,824 (Non-Provisional)

**Filed:** April 14, 1998

**Title:** 7-Sulfur Substituted Paclitaxels

Honorable Commissioner of  
Patents and Trademarks  
Washington, D. C. 20231  
Attention: Box Assignment

Dear Sir:

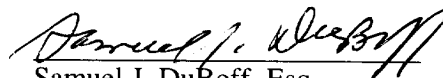
Enclosed is a document submitted in connection with the above-identified non-provisional application, USSN 09/059,824 filed April 14, 1998. The Assignment form has been duly executed by the inventors. Please proceed to record the enclosed Assignment.

Assignment Recordation Form Cover Sheet  
Non-Provisional Assignment

The Commissioner is hereby authorized to deduct the necessary fees, if any, from our Deposit Account No. 02.3850. A duplicate copy of this letter is enclosed for use by the Finance Division.

Respectfully submitted,

Dated: 4/28/98



Samuel J. DuBoff, Esq.  
Attorney for Applicants  
Reg. No. 25,969  
Bristol-Myers Squibb Company  
5 Research Parkway  
P. O. Box 5100  
Wallingford, CT 06492  
(203) 284-7787

Enclosures

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date indicated below.

BY: Annmarie Prodicin

(Signature)

4/28/98

(Date)

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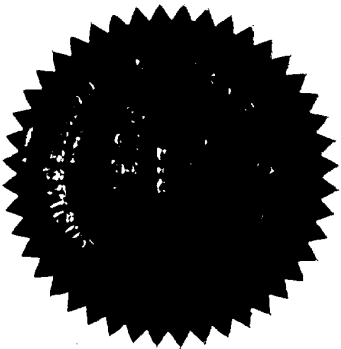
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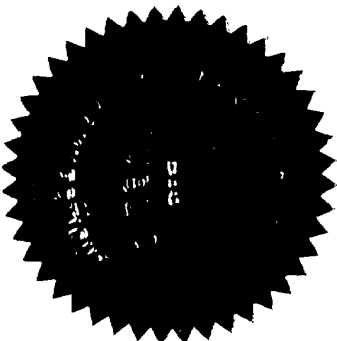


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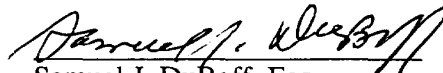
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BY: Annmarie Prud'homme 4/28/98  
(Signature) (Date)