

05-27-1998

Attorney Docket No.: 05542/203001

R SHEET



Assistant Commissioner for Patents

100719590

Document.

MAY 5-15-98

1. Name of conveying party(ies):  
**Steven M. Zuniga and Hung Chih Chen**

Additional name(s) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other:

Execution Date: **5/4/98**

2. Name and address of receiving party(ies):

**Applied Materials, Inc.  
 3050 Bowers Avenue  
 Santa Clara, CA 95052**

Additional names/addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: **5/4/98**

A. Patent Application No.(s):

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

**Patent Counsel  
 APPLIED MATERIALS, INC.  
 Legal Affairs Department  
 P.O. Box 450A  
 Santa Clara, CA 95052**

6. Total number of applications/patents involved: **1**

7. Total fee (37 CFR 3.41): **\$40**

- ☒ Enclosed  
☐ Authorized to charge deposit account

8. Deposit account number: **06-1050**

If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.



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9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.*

**David J. Goren** Reg. No. **34,609**

Name of Person Signing

*David J. Goren*  
 Signature

**5/15/98**  
 Date

Total number of pages including cover sheet, attachments, and document: **3**

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Date of Deposit **May 15, 1998**

I hereby certify under 37 CFR 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office To Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Andrew E. Hutchinson*  
 Signature

Andrew E. Hutchinson 82 FRAME: 0894

**ASSIGNMENT FOR APPLICATION FOR PATENT**

WHEREAS:

- 1) Steven M. Zuniga  
351 Los Robles Road  
Soquel, California 95073
- 2) Hung Chih Chen  
1530 Oyama Place  
San Jose, California 95131

(hereinafter referred to as Assignors), have invented a certain invention  
entitled:

**SUBSTRATE RETAINER**

for which application for Letters Patent in the United States is  
executed on even date herewith; and

WHEREAS, Applied Materials, Inc., a Delaware corporation, having a place of  
business at 3050 Bowers Avenue, Santa Clara, California (hereinafter referred to as Assignee), is  
desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to  
as Application), and the invention disclosed therein (hereinafter referred to as Invention), and in and to  
all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in  
and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to  
as Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration  
acknowledged by said Assignors to have been received in full from said Assignee:

1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full  
and exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all  
rights to apply for patents on said Invention in any and all countries pursuant to the International  
Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications  
filed and any and all Patents granted on said Invention in any and all countries and groups of  
countries, including each and every Application filed and each and every Patent granted on any  
application which is a division, substitution, or continuation of said Application; and (d) in and to each  
and every reissue or extension of any of said Patents.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee  
to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein  
conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall  
include prompt production of pertinent facts and documents, giving testimony, execution of petitions,  
oaths, specifications, declarations or other papers, and other assistance all to the extent deemed  
necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest  
herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute,  
divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting

applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefor and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.

4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

1) <u>May 4,</u>	1998	<u>Steven M. Zuniga</u> STEVEN M. ZUNIGA
2) <u>May 4</u>	1998	<u>Hung Chih Chen</u> HUNG CHIH CHEN

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