

05-28-1998

PATENT

Date: May 13, 1998

Attorney Docket: 077-CP

FORM PTO-1595 RE
(Rev. 6-93)
OMB No. 0651-0011 (exp.



100721478

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Patent and Trademark Office



To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): 5-18-98</p> <p>John H. Stevens Richard B. Brewer Daniel C. Rosenman Hanson S. Gifford, III</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>Execution Date: April 14 and 16, 1998</p>	<p>2. Name and address of receiving party(ies)</p> <p>Name: HEARTPORT, INC.</p> <p>Internal Address: 200 Chesapeake Drive Redwood City, CA 94063</p> <p>Street Address: 200 Chesapeake Drive Redwood City, CA 94063</p> <p>Additional name(s) and address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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4. Application number(s) or patent number(s): 08/986,917

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s): 08/986,917 B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: HEARTPORT, INC. Internal Address: 200 Chesapeake Drive Redwood City, CA 94063</p> <p>Street Address: 200 Chesapeake Drive Redwood City, CA 94063</p>	<p>6. Total number of applications and patents involved: 1</p> <p>7. Total fee (37 CFR 3.41) \$40.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit Account No.: 08-1510 (duplicate attached)</p>
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jens E. Hoekendijk *[Signature]* May 13, 1998
Name of Person Signing Signature Date

Registration No.: 37,149

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Assistant Commissioner for Patents, Box Assignments, PATENT
Washington, D.C. 20531 TEL: 9184 FRAME: 0252

08/986917 00000232 081510 05/27/1998 110611 40.00 CH 01 FC:581

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, **JOHN H. STEVENS, RICHARD B. BREWER, DANIEL C. ROSENMAN,** and **HANSON S. GIFFORD, III**, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **METHOD AND APPARATUS FOR DELIVERY OF THERAPEUTIC AGENTS TO THE HEART**

Filing date: December 8, 1997


Serial No.: 08/986,917

WHEREAS, **HEARTPORT, INC.**, a Delaware corporation, located at 200 Chesapeake Drive, Redwood City, California 94063, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same:

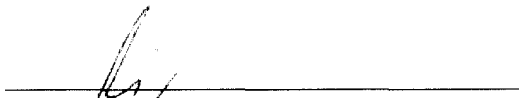
For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and all future improvements thereon, and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interests to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.


IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.


John H. Stevens

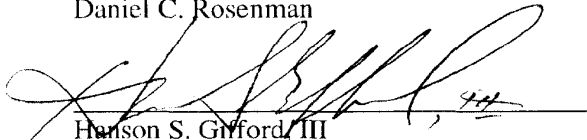
4/16/98
Date


Richard B. Brewer

4/14/98
Date


Daniel C. Rosenman

4/16/98
Date


Hanson S. Gifford, III

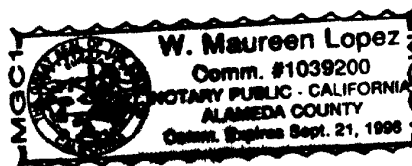
4/16/98
Date

STATE OF CALIFORNIA)
) ss
COUNTY OF SAN MATEO)

On April 16, 1998 before me, W. Maureen Lopez Notary Public,
personally appeared John H. Stevens, who proved to me on the basis of satisfactory evidence to be
the person whose name is subscribed to the within instrument and acknowledged to me that he
executed the same in his authorized capacity, and that by his signature on the instrument the person,
or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature W. Maureen Lopez
Notary Public—My Commission
Expires 9/21/98

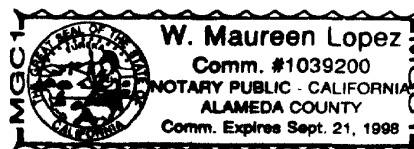


STATE OF CALIFORNIA)
) ss
COUNTY OF SAN MATEO)

On April 15, 1998 before me, W. Maureen Lopez, Notary Public, personally
appeared Richard B. Brewer, who proved to me on the basis of satisfactory evidence to be the person
whose name is subscribed to the within instrument and acknowledged to me that he executed the
same in his authorized capacity, and that by his signature on the instrument the person, or the entity
upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature W. Maureen Lopez
Notary Public—My Commission
Expires September 21, 1998

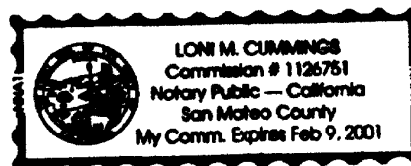


STATE OF CALIFORNIA)
) ss
COUNTY OF SAN MATEO)

On April 16, 1998 before me, Loni M. Cummings, Notary Public, personally
appeared Daniel C. Rosenman, who proved to me on the basis of satisfactory evidence to be the
person whose name is subscribed to the within instrument and acknowledged to me that he executed
the same in his authorized capacity, and that by his signature on the instrument the person, or the
entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Loni M. Cummings
Notary Public—My Commission
Expires February 9, 2001



STATE OF CALIFORNIA)
) ss
COUNTY OF SAN MATEO)

On April 16, 1998 before me, Loni M. Cummings, Notary Public, personally appeared Hanson S. Gifford, III, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Loni M. Cummings
Notary Public—My Commission
Expires February 9, 2001

