

05-28-1998



5-21-98

To the Honorable Commissioner of Patents and Tr.

100721517

Mail documents or copy thereof.

<p>1. Names of conveying parties: <b>Shinji KAMIHARA; Kazuaki KANAI; Shigeru NOGUCHI</b></p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving parties: Names: <b>DAIICHI PHARMACEUTICAL CO., LTD. and KABUSHIKIKAISHA YAKULT HONSHA</b></p>
<p>3. Nature of Conveyance: <input checked="" type="checkbox"/> <b>Assignment</b>                      <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement              <input type="checkbox"/> Change of Name <input type="checkbox"/> Other</p> <p>Execution Date: <u>28 JULY 1997</u></p>	<p>Addresses: <b>14-10, Nihonbashi 3-chome, Chuo-ku, Tokyo 103 Japan</b> <b>1-19, Higashi-shimbashi 1-chome, Minato-ku, Tokyo 105 Japan</b></p> <p>Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

<p>A. Patent Application No. <b>08/894,230</b></p>	<p>B. Patent No.(s) <b>100721517</b></p>
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Additional numbers attached?  Yes  No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p><b>OBLON, SPIVAK, McCLELLAND, MAIER &amp; NEUSTADT, P.C.</b> Attorneys at Law Fourth Floor 1755 Jefferson Davis Highway Arlington, Virginia 22202</p>	<p>6. Total number of applications and patents involved: <u>ONE</u></p> <p>7. Total fee (37 CFR 3.41): <u>\$40.00</u> <i>6-</i></p> <p><input checked="" type="checkbox"/> <b>Enclosed</b> <input type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>15-0030</u></p> <p>(Attach duplicate copy of this page if paying by deposit account)</p>
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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Norman F. Oblon  
Attorney of Record

Name of Person Signing \_\_\_\_\_ Signature \_\_\_\_\_ Date 5/26/98

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# Assignment Of Application

Page 1 of 2

WHEREAS, I (WE) Shinji KAMIHARA, Kazuaki KANAI and Shigeru NOGUCHI,

all with address of c/o DAIICHI PHARMACEUTICAL CO., LTD. TOKYO R&D

CENTER, 16-13, Kitakasai 1-chome, Edogawa-ku, Tokyo 134 Japan

INSERT NAMES  
AND RESIDENCE  
ADDRESSES OF  
THE INVENTORS:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_, respectively,

have invented certain new and useful improvements in: \_\_\_\_\_  
"AMINOTETRALONE DERIVATIVES AND PREPARATION PROCESS THEREOF"

INSERT TITLE  
OF INVENTION:

for which an application for Letters Patent was executed on July 28, 1997

INSERT DATE IN-  
VENTORS SIGNED  
DECLARATION:

(Application No. 08/894,230, filed August 21, 1997), and

DAIICHI PHARMACEUTICAL CO., LTD. and  
WHEREAS, KABUSHIKIKAI SHA YAKULT HONSHA

INSERT NAME  
AND ADDRESS OF  
COMPANY OR  
OTHER ASSIGNEE:

(hereinafter referred to as "ASSIGNEE") having a place of business at: \_\_\_\_\_  
14-10, Nihonbashi 3-chome, Chuo-ku, Tokyo 103 Japan and  
1-19, Higashi-shimbashi 1-chome, Minato-ku, Tokyo 105 Japan

is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of Oblon, Spivak, McClelland, Maier & Neustadt, P.C. of Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: July 28, 1997 \_\_\_\_\_  
 (Signature of Inventor) *Shinji Kamihara*  
 Shinji KAMIHARA

Date: July 28, 1997 \_\_\_\_\_  
 (Signature of Inventor) *Kazuaki Kanai*  
 Kazuaki KANAI

Date: July 28, 1997 \_\_\_\_\_  
 (Signature of Inventor) *Shigeru Noguchi*  
 Shigeru NOGUCHI

Date: \_\_\_\_\_  
 (Signature of Inventor) \_\_\_\_\_

Date: \_\_\_\_\_  
 (Signature of Inventor) \_\_\_\_\_

Date: \_\_\_\_\_  
 (Signature of Inventor) \_\_\_\_\_

Date: \_\_\_\_\_  
 (Signature of Inventor) \_\_\_\_\_

Date: \_\_\_\_\_  
 (Signature of Inventor) \_\_\_\_\_

**OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.**  
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