

05-29-1998

MRD 5-15-98

100723119

Docket # PBAER:42787

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

GIRISH S. KSHIRSAGAR,  
JAMES C. CANNON, &  
YUNCHANG ZHANGAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of Conveyance:

X Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: APRIL 2, 1998 and APRIL 8, 1998

## 2. Name and address of receiving party(ies):

Name: NELLCOR PURITAN BENNETT INCORPORATED,  
a Delaware Corporation

Internal Address:

Street Address: 4280 HACIENDA DRIVE

City: PLEASANTON State: CA ZIP 92588

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## . Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## . Patent Application No.(s)

SERIAL NO. 09/017,014

## B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: FULWIDER PATTON LEE &amp; UTECHT, LLP

Internal Address: ATTN: JAMES W. PAUL, ESQ.

Street Address: 10877 WILSHIRE BLVD.  
10TH FLOOR

City: LOS ANGELES State: CA ZIP 90024

## 6. Total No. of applications and patents involved 1

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

X Enclosed

X Authorized to be charged to deposit account

X Any deficiencies in enclosed fees

## 8. Deposit account number: 06-2425

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James W. Paul - Reg. # 29,967

Name of Person Signing

Signature

Date

Total number of pages including cover sheet: 6

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

05/27/1998 JWA7KJMS 00000221 09/17014

01 FEB 98

40.00 00

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

ASSIGNMENT

This Assignment is made by GIRISH S. KSHIRSAGAR of Lenexa, Kansas, JAMES C. CANNON of Overland Park, Kansas, and Yunchang Zhang of Overland Park, Kansas, Assignors, to NELLCOR PURITAN BENNETT INCORPORATED, a Delaware corporation, Assignee, having a place of business at 4280 Hacienda Drive, Pleasanton, California 94588.

WHEREAS, Assignors have invented a new and useful OXYGEN GENERATING COMPOSITIONS, for which an application for United States Letters Patent was filed in the U. S. Patent and Trademark Office on February 2, 1998, as Serial No. 09/017,014; and

WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in said application Serial No. 09/017,014 for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application Serial No. 09/017,014 and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention,

said application Serial No. 09/017,014 and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application Serial No. 09/017,014 or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its

successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates written hereinbelow.

Assignors:

Dated: April 02, 1998

Girish S. Kshirsagar  
Girish S. Kshirsagar

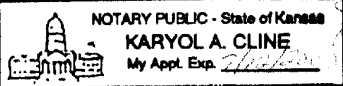
Dated: April 8, 1998

James C. Cannon  
James C. Cannon

Dated: April 2, 98

Yunchang Zhang  
Yunchang Zhang

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

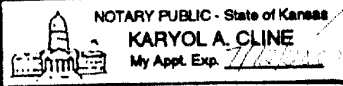
State of <u>KANSAS</u> County of <u>JAWA</u>		<b>OPTIONAL SECTION</b> <b>CAPACITY CLAIMED BY SIGNER</b> Though statute does not require the Notary to fill in the date below, doing so may prove invaluable to persons relying on the document.	
On <u>4/6/98</u> before me, <u>KARYOL A. CLINE</u> <small>DATE NAME, TITLE OF OFFICER - E.G., "JANE DOE, NOTARY PUBLIC"</small> personally appeared <u>GIRISH S. KSHIRSAGAR</u>		<input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> CORPORATE OFFICER(S)	
<input checked="" type="checkbox"/> personally known to me -OR- <input type="checkbox"/> proved to me on the basis of satisfactory evidence to be the person(s) whose names(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument is the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.		TITLE(S) <input type="checkbox"/> PARTNER(S) <input type="checkbox"/> LIMITED <input type="checkbox"/> GENERAL <input type="checkbox"/> ATTORNEY-IN-FACT <input type="checkbox"/> TRUSTEE(S) <input type="checkbox"/> GUARDIAN/CONSERVATOR <input type="checkbox"/> OTHER _____	
WITNESS my hand and official seal.		<b>SIGNER IS REPRESENTING:</b> NAME OF PERSON(S) OR ENTITY(IES) _____ _____ _____	
		SIGNATURE OF NOTARY <u>Karyol A. Cline</u>	
<b>OPTIONAL SECTION</b>			
THIS CERTIFICATE MUST BE ATTACHED TO THE DOCUMENT DESCRIBED AT RIGHT:		TITLE OR TYPE OF DOCUMENT _____	
Though the date requested is not required by law, it could prevent fraudulent reattachment of this form.		NUMBER OF PAGES _____ DATE OF DOCUMENT _____	
		SIGNER(S) OTHER THAN NAMED ABOVE _____	

## CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of <u>KANSAS</u> County of <u>SEDGWICK</u> On <u>4/14/99</u> before me, <u>Karyol A. Cline</u> , <small>DATE NAME, TITLE OF OFFICER - E.G., "JANE DOE, NOTARY PUBLIC"</small> personally appeared <u>Karyol A. Cline</u> , <input checked="" type="checkbox"/> personally known to me -OR- <input type="checkbox"/> proved to me on the basis of satisfactory evidence to be the person(s) whose names(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument is the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. <p style="text-align: center;">WITNESS my hand and official seal.</p> <div style="display: flex; align-items: center; justify-content: center; margin-top: 20px;"> <div style="border: 1px solid black; padding: 5px; text-align: center; margin-right: 10px;"> <small>NOTARY PUBLIC - State of Kansas</small>  <b>KARYOL A. CLINE</b>  <small>My Appt. Exp. 7/14/2002</small> </div> <div style="text-align: center;">   <small>SIGNATURE OF NOTARY</small> </div> </div>	<div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black; margin-bottom: 5px;"> <small>OPTIONAL SECTION</small>  <b>CAPACITY CLAIMED BY SIGNER</b> </div> <p><small>Though statute does not require the Notary to fill in the date below, doing so may prove invaluable to persons relying on the document.</small></p> <p><input type="checkbox"/> INDIVIDUAL  <input type="checkbox"/> CORPORATE OFFICER(S)</p> <hr/> <p><small>TITLE(S)</small></p> <p><input type="checkbox"/> PARTNER(S) <input type="checkbox"/> LIMITED  <input type="checkbox"/> GENERAL  <input type="checkbox"/> ATTORNEY-IN-FACT  <input type="checkbox"/> TRUSTEE(S)  <input type="checkbox"/> GUARDIAN/CONSERVATOR  <input type="checkbox"/> OTHER _____</p> <hr/> <p><b>SIGNER IS REPRESENTING:</b>  <small>NAME OF PERSON(S) OR ENTITY(IES)</small>          _____          _____          _____</p>
--	--

THIS CERTIFICATE MUST BE ATTACHED TO THE DOCUMENT DESCRIBED AT RIGHT: <small>Though the data requested is not required by law, it could prevent fraudulent reattachment of this form.</small>	<div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black; margin-bottom: 5px;"> <small>OPTIONAL SECTION</small> </div> <p><small>TITLE OR TYPE OF DOCUMENT</small> _____</p> <p><small>NUMBER OF PAGES</small> _____ <small>DATE OF DOCUMENT</small> _____</p> <p><small>SIGNER(S) OTHER THAN NAMED ABOVE</small> _____</p>
--	--

## CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of <u>KANSAS</u> County of <u>SEDGEMAN</u> On <u>4/15/98</u> before me, <u>Karyol A. Cline</u> , <small>DATE NAME, TITLE OF OFFICER - E.G., "JANE DOE, NOTARY PUBLIC"</small> personally appeared <u>James A. Cline</u> , <input type="checkbox"/> personally known to me -OR- <input type="checkbox"/> proved to me on the basis of satisfactory evidence to be the person(s) whose names(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument is the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. <p style="text-align: center;">WITNESS my hand and official seal.</p> <div style="display: flex; align-items: center; justify-content: center; margin-top: 20px;">  <div style="margin-left: 20px;"> <u>Karyol A. Cline</u>  <small>SIGNATURE OF NOTARY</small> </div> </div>	<div style="border: 1px solid black; padding: 5px; margin-bottom: 10px;"> <b>OPTIONAL SECTION</b>  <b>CAPACITY CLAIMED BY SIGNER</b>  <small>Though statute does not require the Notary to fill in the date below, doing so may prove invaluable to persons relying on the document.</small>  <input type="checkbox"/> INDIVIDUAL  <input type="checkbox"/> CORPORATE OFFICER(S)  <hr/> <small>TITLE(S)</small>  <input type="checkbox"/> PARTNER(S) <input type="checkbox"/> LIMITED  <input type="checkbox"/> GENERAL  <input type="checkbox"/> ATTORNEY-IN-FACT  <input type="checkbox"/> TRUSTEE(S)  <input type="checkbox"/> GUARDIAN/CONSERVATOR  <input type="checkbox"/> OTHER _____         </div> <b>SIGNER IS REPRESENTING:</b> <small>NAME OF PERSON(S) OR ENTITY(IES)</small> _____ _____ _____
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><small>THIS CERTIFICATE MUST BE ATTACHED TO THE DOCUMENT DESCRIBED AT RIGHT:</small></p> <p><small>Though the data requested is not required by law, it could prevent fraudulent reattachment of this form.</small></p> </div> <div style="width: 50%;"> <p><b>OPTIONAL SECTION</b></p> <p><small>TITLE OR TYPE OF DOCUMENT</small> _____</p> <p><small>NUMBER OF PAGES</small> _____ <small>DATE OF DOCUMENT</small> _____</p> <p><small>SIGNER(S) OTHER THAN NAMED ABOVE</small> _____</p> </div> </div>	

98315.1