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5-20-98

Attorney Docket No. 512425-2002

jc551 U.S. PTO  
09/082384  
05/20/98

Applicant(s) : DIETZ et al.  
Title of Invention : ORGANOPOLYSILOXANES COMPRISING  
POLYHYDROXYORGANYL RADICALS AND  
POLYOXYALKYLENE RADICALS

Corresponding  
Int'l. Appn. : German Appln. No. 19721353.7

International  
Filing Date : 22 May 1997

U.S. Filing Date : 20 May 1998

Honorable Assistant Commissioner of Patents and Trademarks

1. Name(s) of Conveying Party(ies) : DIETZ, Thomas; GRUNING, Burghard;  
LERSCH, Peter; and WEITEMEYER, Christian
2. Name and address of receiving party : Th. Goldschmidt AG  
D-45127 Essen  
Federal Republic of Germany.
3. Nature of Conveyance : Assignment.  
Date of Execution : May 8, 1998.
4. ☐ Application No. or Patent No.:  
☒ This document is being filed together with a new application, the execution date of the application  
is: May 8, 1998.
5. Name and address of party to whom the recorded assignment and any correspondence concerning  
the document should be mailed:

William F. Lawrence  
Registration No. 28,029  
FROMMER LAWRENCE & HAUG LLP  
745 Fifth Avenue  
New York, New York 10151.

6. Total number of applications and patents involved: 1.
7. Total Fee (37 CFR 3.41): \$40.00. €  
☒ Fee enclosed, Check No. 01717.
8. ☐ Charge Fee to Deposit Account No. 50-0320 (Attach duplicate copy of this page if paying by deposit account).  
☒ If any additional fee is required, authorization is hereby given to charge Deposit Account No.  
50-0320.
9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document.

William F. Lawrence (Reg. No. 28,029)  
Name of Person Signing

*William F. Lawrence*  
Signature

05/20/98  
Date

PATENT

REEL: 9190 FRAME: 0486

Frommer Lawrence & Haug LLP File No.: 512425-2002 Serial No.: N/A Filing Date:
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# ASSIGNMENT

We,

- 1) Dr. Thomas Dietz, BonnenbergstraÙe 18, D-45259 Essen, Germany
- 2) Dr. Burghard Grüning, Waldsaum 11, D-45134 Essen, Germany
- 3) Dr. Peter Lersch, 14703 Duck Cove Place, Midlothian, Virginia 23113 (USA)
- 4) Dr. Christian Weitemeyer, Sundernholz, D-45134 Essen, Germany

respectively, have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled: **ORGANOPOLYSILOXANES COMPRISING POLYHYDROXYORGANYL RADICALS AND POLYOXYALKYLENE RADICALS**, which application was executed by me and Th. **Goldschmidt AG**, whose address is **GoldschmidtstraÙe 100, D-45127 Essen, Germany**, and which, together with its successors and assigns is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits and privileges hereinafter recited, and of confirming the same or any part thereof heretofore acquired by Assignee.

Now, therefore, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservation:

1. Assign and convey to and confirm in Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or discoveries or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in

my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

3. Authorize and request the Commissioner of Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;

4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;

5. Bind my heirs and legal representatives, as well as myself to do, upon Assignee's request and at its expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me or my heirs or representatives if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in my control or in the control of my heirs or legal representatives and which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which I have affixed my signature.

1) Thomas Dietz 05/08/98  
Dr. Thomas Dietz Date

2) Burghard Grüning 05/08/98  
Dr. Burghard Grüning Date

3) Peter Lersch 05/08/98  
Dr. Peter Lersch Date

4) Christian Weitemeyer 05/08/98  
Dr. Christian Weitemeyer Date