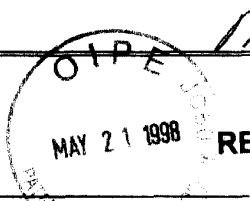


FORM PTO-1595  
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MRD 5-21-98

06-01-1998

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



EET

Lyon & Lyon Docket No. 228/181

100723755

To the Honorable Commissioner of Patents and

documents or copy thereof.

1. Name of conveying party(ies):

Ross S. Tsugita  
Tracy D. Maahs

2. Name and address of receiving party(ies):

Name: Embol-X, Inc.

Internal Address:

Additional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Street Address: 645 Clyde Avenue. (formerly, 3110 Cronado Drive)

Execution Date: February 27, 1998

City: Mountain View State: CA ZIP 94043  
(formerly, Cupertino) CA (formerly, 95054)  
Additional name(s) & address(es) attached? Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

B. Patent No(s).

08/993,202

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: LYON & LYON

Internal Address: John Kappos

Street Address: 633 West Fifth Street, Suite 4700

City: Los Angeles State: California ZIP 90071-2206

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed

Authorized to be charged to deposit account

8. Deposit Account Number:

12-2475

(Attach duplicate copy of this page if paying by deposit account.)

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9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

John Kappos, Reg. No. 37,861  
Name of Person Signing & Reg. No.

Signature

5/19/98  
Date

OMB No. 0651-0011 (exp. 4/94)

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LA-29581

PATENT  
REEL: 9191 FRAME: 0484

## ASSIGNMENT

WHEREAS, we, Ross S. Tsugita, residing at 1653 Gretel Lane, Mountain View, California 94040; and Tracy D. Maahs, residing at 312 Sequoia Avenue, Redwood City, California 94061, each citizens of the United States (hereinafter referred to as "ASSIGNOR"), have invented and own a certain invention entitled "Cardioplegia Occluder," for which application for Letters Patent of the United States of America has been filed on December 18, 1997, having Serial No. 08/993,202; and

WHEREAS, Embol-X, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware and having its principal place of business at 3110 Coronado Drive, Santa Clara, California, 95054 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or similar legal protection, not only in the United States

and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent and any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

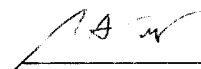
ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon request of ASSIGNEE, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or legal proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its designated legal representative any and all papers, instruments, declarations or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

228/181  
Patent

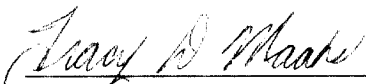
IN WITNESS WHEREOF the ASSIGNORS have executed this Assignment at:

Santa Clara, CA  
(City)

  
Ross S. Tsugita

2/27/98  
Date

Santa Clara, CA  
(City)

  
Tracy D. Maahs

2 27 98  
Date