

FORM PTO-1595
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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents a

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and original documents or copy thereof.

1. Name of conveying party(ies):

Norio YANAGISAWA Nobuko TSUZAKI
Toshikazu MURAYAMA
Yorozu YOKOMORI
Yoshiharu KIMURAAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: April 14 & 16, 1998

2. Name and address of receiving party(ies):

Name: Kyowa Hakko Kogyo Co., Ltd.

Internal Address:

Street Address: 6-1, Ohtemachi 1-chome, Chiyoda-ku

City: Tokyo 100 Country: Japan Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Serial No.: 08/948,300
Filed: October 10, 1997

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ANTONELLI, TERRY, STOUT & KRAUS, LLP

Internal Address:

Street Address: 1300 North Seventeenth Street
Suite 1800

City: Arlington State: VA

Zip: 22209

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41)\$40.00

- ☒ Enclosed
☒ Any deficiencies may be charged to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number: 01-2135

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William I. Solomon

Name of Person Signing

Attorney Registration No. 28,565

Signature

May 20, 1998

Date

Total number of pages including cover sheet, attachments, and document: 2

OMB No. 0651-0011 (exp. 4/94)

PATENT

REEL: 9193 FRAME: 0317

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03 FC:581

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CONTINUATION-IN-PART
ASSIGNMENT

For good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, as a below named inventor, I hereby sell and assign to Kyowa Hakko Kogyo Co., Ltd. of 6-1, Ohtemachi 1-chome, Chiyoda-ku, Tokyo 100, Japan its successors and assigns or other legal representatives all my rights, title and interest, in and for the United States of America, in and to

PROCESS FOR PRODUCING POLYHYDROXYCARBOXYLIC ACID

invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefor, Serial No. 08/948,300 filed October 10, 1997, and all United States Letters Patent which may be granted therefor, and all divisions, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said Kyowa Hakko Kogyo Co., Ltd.

, its successors, assigns or other legal representatives, to the full end of term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said Kyowa Hakko Kogyo Co., Ltd.

- | (Full Name) | (Signature) |
|---|---------------------------|
| 1) Date <u>April 14, 1998</u> Inventor <u>Norio YANAGISAWA</u>
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| 3) Date <u>April 16, 1998</u> Inventor <u>Yorozu YOKOMORI</u>
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Witness(es)

All) 2) Masanori Ikuta 4) Tomoko Fujiwara

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