

06-02-1998



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To the Honorable Commissioner.

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ER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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MAY 6 1998

the attached original documents or copy thereof.

1. Name of conveying party(ies):

Denise L. Faustman
Takuma Hayashi

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: Mar. 9, 1998

2. Name and address of receiving party(ies)

Name: The General Hospital Corporation

Internal Address: _____

Street Address: Fruit Street

City: Boston State: MA ZIP: 02114

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
U.S. 09/031,269, filed Feb. 27, 1998

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kathleen M. Williams, Ph.D.

Internal Address: Banner & Witcoff, Ltd.

Street Address: One Financial Center

45th Floor

City: Boston, State: MA ZIP: 02111

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

19-0733

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathleen M. Williams

Name of Person Signing

Kathleen Williams
Signature

April 29, 1998
Date

Total number of pages including cover sheet, attachments, and document: 3

ASSIGNMENT

Case No.: 11275/73537

Serial No.: 09/031,269

Inventors: Faustman, Denise L. and Hayashi, Takuma

Filing Date: February 27, 1998

Date of Execution: March 9, 1998

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to: THE GENERAL HOSPITAL CORPORATION, a Massachusetts corporation, having a principal place of business at Fruit Street, Boston, MA, 02114, its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

Methods For Diagnosing and Treating Autoimmune Disease

and identified as:

U.S. Serial Number: 09/031,269

in the offices of Banner & Witcoff, Ltd. and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignees, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignees, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignees, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

Denise L. Faustman
Denise L. Faustman

Date: 3/9/98

Commonwealth of Massachusetts)
County of Suffolk) ss.
)

Subscribed and sworn to before me by the above-named Denise L. Faustman this 9 day
of March, 1998.

Arthur S. Quinones
Notary Public

My Commission Expires: 7-26-02

(Seal)

Takuma Hayashi
Takuma Hayashi

Date: 3/9/98

Commonwealth of Massachusetts)
County of Suffolk) ss.
)

Subscribed and sworn to before me by the above-named Takuma Hayashi this 9 day of
March, 1998.

Arthur S. Quinones
Notary Public

My Commission Expires: 7-26-02

(Seal)