06-03-1998

FORM PTO-1595

EET

U.S. DEPARTMENT OF COMMERCE

8	1/31/92 100726409		Patent and Trademark Office	
3	To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof			
2-1	Name of conveying party(ies): KENJI KATORI	Name and address of receiving Name: Sony Corporation		
MRD 5-1	NICOLAS NAGEL KOJI WATANABE MASAHIRO TANAKA	Internal Address:	MAY 19 1998	
	Additional name(s) of conveying party(ies) attached? □ Yes ⊠ No			
	3. Nature of conveyance: ☑ Assignment □ Merger □ Security Agreement □ Change of Name	Street Address: 7-35, Kitashina Shinagawa-Ku	gawa 6- Chome	
	□ OtherExecution DateApril 7, 16, May 1, 1998	City: Tokyo, Japan State Additional Name(s) & Address(es) at		
	Application (number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:			
	A. Patent Application No.(s)	B: Patent No.(s)		
	USSN 08/995,845 filed December 22, 1997 Additional numbers attached? □ Yes ☒ No			
	Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications a	and patents involved	
	Hill & Simpson, P.C.	7. Total Fee (37 CFR 3.41 ⊠ Enclosed	\$_40.00	
	85th Floor Sears Tower 233 S. Wacker Drive Chicago, IL 60606		o deposit account any	
) JS	MBAZZ 00000054 08995845	8. Deposit Account Number: 08-2290 (Attach duplicate copy of this page if p	paying by deposit account)	
	DO NOT USE THIS SPACE			
9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and contrue copy of the original document.			any attached copy is a	
	Melvin A. Robinson		14, 1998	
	Name of Person Signing Signature	Total number of pages cor	rate mprising cover sheet: 3	
	Mail documents to be recorded and required cover sheet information to: Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231			

Docket Number: P97, 2359

ASSIGNMENT WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in ELECTRONIC MATERIAL, ITS MANUFACTURING METHOD, DIELECTRIC CAPACITOR NONVOLATILE MEMORY AND SEMICONDUCTOR DEVICE for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address; AND WHEREAS, Sony Corporation, a Japanese corporation with offices at 7-35 Kitashinagawa 6-Chome, Shinagawa-Ku, Tokyo, Japan (hereinafter referenced as ASSIGNEE) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries; NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto; And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto; And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense; And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto; And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale. And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: 08/995.845 Filing Date: December 22, 1997 This assignment executed on the dates indicated below. April 7, 1998

Execution date of U.S. Patent Application KENJI KATORI Name of first or sole inventor KANAGAWA, JAPAN Residence of first or sole inventor Kenji Katori

> PATENT REEL: 9200 FRAME: 0319

NICOLAS NAGEL	Frequion date of U.S. Patent Application	
Name of second inventor	Execution date of U.S. Patent Application	
KANAGAWA, JAPAN		
Residence of second inventor		
Nicolas Nagel	Many 1 , 1998 Date of this assignment	
Signature of second inventor	Date of this assignmen	
	1000	
KOJI WATANABE	April 16, 1998	
Name of third inventor	Execution date of U.S. Patent Application	
KANAGAWA, JAPAN		
Residence of third inventor		
Koji Watanabe	April 16, 1998	
Signature of third inventor	Date of this assignment	
	·	
MASAHIRO TANAKA	April 7 1998	
Name of fourth inventor	April 7, 1998 Execution date of U.S. Patent Application	
KANAGAWA, JAPAN		
Residence of fourth inventor		
Marchine Tendo	April 7, 1998 Date of this assignment	
Signature of fourth inventor	Date of this assignment	
• .		
Name of fifth inventor	Execution date of U.S. Patent Application	
Residence of futb inventor		
Signature of Eth Investor	Date of this assignment	

PATENT REEL: 9200 FRAME: 0320