

06-05-1998



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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

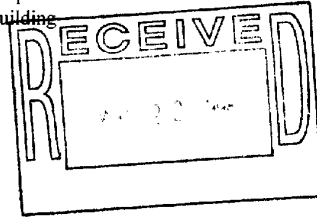
Scott M. Tyson
9312 Galaxia Way N.E.
Albuquerque, NM 87111

Richard Y. Kwor
1990 Chateau Point Court
Colorado Springs, CO 80919

Maryse D. Levenson
5750 Harbor Pines Point
Colorado Springs, CO 80919-3592

2. Name and address of receiving party(ies):

United Technologies Corporation
United Technologies Building
Hartford, CT 06101



Additional name(s) of conveying party(ies) attached?
☐ yes ☒ no

Additional name(s) & address(es) attached:
☐ yes ☒ no

3. Nature of conveyance:

☒ Assignment ☐ Change of Name

☐ Security Agreement ☐ Merger

☐ Other _____

Execution Date: April 14, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application,
the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

09/061,624

06/03/1998 DECATES 00000107 09061624

Additional numbers attached? ☐ yes ☒ no

01 FC:581

40.00 OP

5. Name and address of party to whom
correspondence concerning document
should be mailed:

Donald S. Holland, Esq.
HOLLAND & BONZAGNI, P.C.
Longmeadow Professional Park
171 Dwight Road
Longmeadow, MA 01106

6. Total number of applications and patents involved: 1

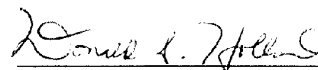
7. Total fee (37 CFR 1.21): \$40.00

☒ Enclosed
☐ Authorized to be charged to deposit account.

8. Deposit Account Number: 08-2622

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

 5/18/98
(Date)

Donald S. Holland, Esq.
Registration No. 29391
HOLLAND & BONZAGNI, P.C.
Longmeadow Professional Park
171 Dwight Road
Longmeadow, MA 01106

PATENT

Total number of pages including cover sheet, attachments and document: 4 REEL: 9205 FRAME: 0838

[98050P06]

ASSIGNMENT

WHEREAS, WE, SCOTT M. TYSON, RICHARD Y. KWOR and MRS. LEONARD L. LEVENSON, wife of deceased inventor Leonard L. Levenson, and executrix of the estate of Leonard L. Levenson, of 9312 Galaxia Way N.E., Albuquerque, NM 87111, 1990 Chateau Point Court, Colorado Springs, CO 80919 and 5750 Harbor Pines Point, Colorado Springs, CO 80919-3592, respectively, have invented certain improvements in HIGH REFRACTIVE INDEX FILM, identified as Attorney Docket No. 98050P and described in a patent application executed by the undersigned on the dates set after the signatures hereto, being owners of all right, title and interest in and to said application and in any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UNITED TECHNOLOGIES CORPORATION, of Hartford, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorizes and requests the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have hereunto set their hands and seals on the date after their signatures.

(L.S.) Scott M. Tyson April 10, 1998
SCOTT M. TYSON

State of Colorado)
County of Boulder)

On this 7 day of April, 1998, before me personally appeared SCOTT M. TYSON, to me personally known, and known to me to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

[Signature]
Notary Public
Commissioner of Deeds
Commissioner of the Superior Court

SEAL

My Commission Expires: 4-11-99

(L.S.) Richard Y. Kwor 4/14, 1998
RICHARD Y. KWOR

State of Colorado)
County of El Paso)

On this 14th day of April, 1998, before me personally appeared RICHARD Y. KWOR, to me personally known, and known to me to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

[Signature]
Notary Public
Commissioner of Deeds
Commissioner of the Superior Court

SEAL

My Commission Expires: 9/11/2001

(L.S.) Maryse D. Levenson April 14, 1998
MARYSE D. LEVENSON

State of Colorado)
County of El Paso)

On this 14 day of April, 1998, before me personally appeared MARYSE D. LEVENSON, wife of deceased inventor Leonard L. Levenson, and executrix of the estate of Leonard L. Levenson, to me personally known, and known to me to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

Sharon Drien
Notary Public
Commissioner of Deeds
Commissioner of the Superior Court

S E A L

My Commission Expires: 9/11/2001

The application for Letters Patent referred to hereinabove has been given Serial No. 09/061,624, and filing date of April 16, 1998, by the U.S. Patent and Trademark Office.

We authorize our attorney prosecuting this application to fill in all of the above blanks, with respect to the serial number and filing date of the application, as soon as this information is received from the U.S. Patent and Trademark Office.

Scott M. Tyson
SCOTT M. TYSON

Richard Y. Kwor
RICHARD Y. KWOR

Maryse D. Levenson
MARYSE D. LEVENSON

[98050P03]