

06-09-1998

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**



100731010

**RECORDATION FORM COVER SHEET  
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**Submission Type**

- ☒ New
- ☐ Resubmission (Non-Recordation)  
Document ID#
- ☐ Correction of PTO Error  
Reel #  Frame #
- ☐ Corrective Document  
Reel #  Frame #

**Conveyance Type**

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other

**U.S. Government**  
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- ☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

- ☒ Mark if additional names of conveying parties attached
- Name (line 1)  Hurst, Barclay H. Execution Date Month Day Year 06 03 1998
- Name (line 2)

**Second Party**

- Name (line 1)  Huebner, Kerry D. Execution Date Month Day Year 06 03 1998
- Name (line 2)

**Receiving Party**

- ☐ Mark if additional names of receiving parties attached

- Name (line 1)  Yale Security Inc.
- Name (line 2)  a Corporation of Delaware
- Address (line 1)  1902 Airport Road
- Address (line 2)
- Address (line 3)  Monroe  North Carolina  28110  
City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

- Name
- Address (line 1)
- Address (line 2)
- Address (line 3)
- Address (line 4)

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06/08/1998 JMWATKINS 00000110 08937533

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40.00 OP

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Mail documents to be recorded with required cover sheet(s) information to:  
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REEL: 9212 FRAME: 0135

MRD 6-5-98

**Correspondent Name and Address**

Area Code and Telephone Number (919) 286-8000

Name Michael G. Johnston

Address (line 1) Moore & Van Allen, PLLC

Address (line 2) 2200 West Main Street, Suite 800

Address (line 3) Post Office Box 3843

Address (line 4) Durham, North Carolina 27702-3843

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 3

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

08937533

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 13-4365

Authorization to charge additional fees:

Yes ☒ No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Michael G. Johnston

Name of Person Signing

Signature

Date

**RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY**

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Gray, J. Steven

Execution Date  
Month Day Year

06 03 1998

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

**Receiving Party(ies)**

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

08937533

**Patent Number(s)**

PATENT

REEL: 9212 FRAME: 0137

## ASSIGNMENT OF THE INVENTION

WHEREAS, WE, BARCLAY H. HURST, residing at 7210 Ashburton Drive, Knoxville, Tennessee 37909; KERRY D. HUEBNER, residing at 941 Northview Drive, Lenoir City, Tennessee 37771; and J. STEVEN GRAY, residing at 917 Mountain View Avenue, Maryville, Tennessee 37803, have invented certain new and useful improvements for LATCH ASSEMBLY WITH KEYED ROSE PLATE FOR ADJUSTMENT TO DOORS OF DIFFERING THICKNESS, for which we have made application for Letters Patent of the United States, which patent application was filed with the United States Patent and Trademark Office on the 25th day of September 1997, as Serial No. 08/937,533;

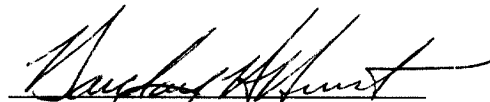
AND WHEREAS, Yale Security Inc., a corporation duly organized and existing under and by virtue of the laws of the State of Delaware, and having a place of business at 1902 Airport Road, Monroe, North Carolina 28110, hereinafter called the "Assignee," desires to acquire all right, title and interest in and to said invention and patent application within the United States and its territorial possessions and all foreign countries, and any United States or foreign Letters Patent that may be granted therefor.

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, and with the intention of being legally bound hereby, we confirm that we have sold, assigned and transferred, and do hereby sell, assign and transfer to said Assignee the entire right, title and interest in and to our invention and patent application within the United States of America and its territorial possessions and all foreign countries, and in and to any Letters Patent of the United States and all foreign countries that may be granted therefor, including all divisions, continuations, continuations-in-part, substitutes, patents of addition, reissues, reexaminations, renewals and extensions thereof, and the right to apply for Letters Patent in foreign countries with full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, together with the right to extend the protection of said United States Letters Patent to the various territorial possessions now owned or which may be hereafter acquired by the United States of America, all said rights to be held and enjoyed by the Assignee for its use and benefit and for the use and benefit of its successors or assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made. And we do hereby request and authorize the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on patent applications as aforesaid, to issue all said Letters Patent, when granted, in accordance with the terms of this assignment.

We further covenant and agree with the Assignee that we have full and unencumbered title to the invention and patent application herein assigned, which title we warrant unto the Assignee, and we further agree that, when requested, we will, without demanding any further consideration therefor but at the expense of Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining,

sustaining or reissuing United States or foreign Letters Patent for the said invention and patent application, and for maintaining and perfecting the Assignee's right to said invention, patent application and Letters Patent particularly in cases of interference and litigation.

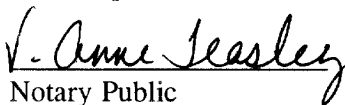
IN WITNESS WHEREOF, WE, the said BARCLAY H. HURST, KERRY D. HUEBNER, and J. STEVEN GRAY, have executed this Assignment under seal.

  
Barclay H. Hurst


6/3/98  
Date

STATE OF TENNESSEE       )  
                                          )  
COUNTY OF Laudon       )

Before me personally appeared the above-named Barclay H. Hurst, on this the 3rd day of June, 1998 to me known and known to be the inventor named and acknowledged that he executed the foregoing instrument on his behalf and pursuant to authority duly received.

(Seal)   
Notary Public

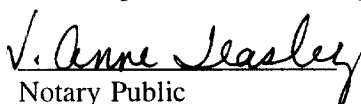
My Commission Expires ~~My Commission Expires June 30, 2001.~~

  
Kerry D. Huebner

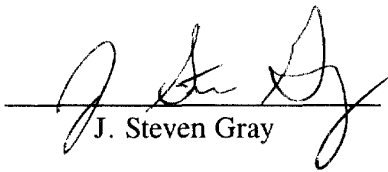
6/3/98  
Date

STATE OF TENNESSEE       )  
                                          )  
COUNTY OF Laudon       )

Before me personally appeared the above-named Kerry D. Huebner, on this the 3rd day of June, 1998 to me known and known to be the inventor named and acknowledged that he executed the foregoing instrument on his behalf and pursuant to authority duly received.

(Seal)   
Notary Public

My Commission Expires ~~My Commission Expires June 30, 2001~~


  
J. Steven Gray

6/3/98  
Date

STATE OF TENNESSEE       )  
                                          )  
COUNTY OF Landon       )

Before me personally appeared the above-named J. Steven Gray, on this the 3rd day of June, 1998 to me known and known to be the inventor named and acknowledged that he executed the foregoing instrument on his behalf and pursuant to authority duly received.

(Seal)

  
Notary Public

My Commission Expires ~~My Commission Expires~~ June 30, 2001.

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RECORDED: 06/04/1998

- 3 -

PATENT  
REEL: 9212 FRAME: 0140