

06-09-1998

Form PTO-1595
1-31-92

JET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

100730300

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Li Zhong Sun
Stanley M. Smith
Curtis W. Fouyer

2. Name and address of receiving party(ies):

Name: Komag, Incorporated
Address: 1704 Automation Parkway
San Jose, CA 95131
tel. (408) 576-2000
fax (408) 944-0170

3. Nature of conveyance:

Assignment

Execution Date: May 28, 1998

4a. This document is being filed together with a new application, the execution date of the application is: May 28, 1998

4b. This document relates to previously filed application or patent:

Application number(s): N/A

Patent number(s): N/A

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Keith G. Askoff
Address: Komag, Incorporated
1704 Automation Parkway
San Jose, CA 95131
tel. (408) 576-2968
fax (408) 944-0170

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):\$ 40.00

Which, by signature below, is authorized to be charged to deposit account number:

11-1544

The original plus two copies of this page are attached

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8. Total number of pages, including cover sheet: 2

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document. This constitutes authority for the deduction from the above deposit account of the amount specified herein for the recordation of the attached assignment(s).

Person signing: Keith G. Askoff

Signature

May 28, 1998

Date

06/05/1998 DMUYEN 00000049 111544 09885764

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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail" in accordance with 37 C.F.R. 1.10 on the date indicated below, addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231.

Express Mail Label No.: IB565141973 US

Marie E. Rubio

Date

PATENT

REEL: 9216 FRAME: 0431



ASSIGNMENT

PATENT APPLICATION

Attorney Docket No. K9801

For one dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, we

Li Zhong Sun
Stanley M. Smith
Curtis W. Fouyer

who have created a certain invention for which an application for United States Letters Patent has been executed on December 4, 1997 and is entitled:

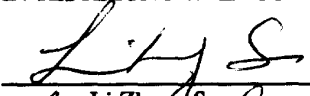
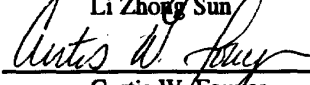
Slurry Comprising a Ligand or Chelating Agent for Polishing a Surface

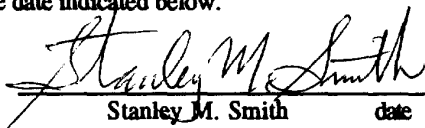
Do hereby sell, assign, and transfer to Komag, Incorporated, a corporation of the State of Delaware having a place of business at 1704 Automation Parkway, San Jose, CA 95131, its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possessions and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, acts, and treaties, including all future conventions, unions, agreements, acts, and treaties; and

Agree that Komag, Incorporated, hereinafter referred to as Assignee, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in its own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignee, its successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignee, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all applications for patents on said invention in said Assignee, successors, assigns, or legal representatives; and

Covenant with said Assignee, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

IN TESTIMONY WHEREOF I have hereunto set MY signature on the date indicated below.

 05/28/98
Li Zhong Sun date
 05/28/98
Curtis W. Fouyer date

 5/28/98
Stanley M. Smith date