

Docket No.: P01580

FORM PTO-1585 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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P08/REV02

06-09-1998

HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings



To the Honorable Commissioner of

100730701

the attached original documents or copy thereof.

1. Name of conveying party(ies):

Yu-Chin Lai
Richard M. Ozark
Paul L. Valint, Jr.

2. Name and address of receiving party(ies):

Name: Bausch & Lomb Incorporated

Internal Address: _____

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Street Address: One Bausch & Lomb PlaceCity: RochesterState: NYZIP: 14604Execution Date: May 14, 1998Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: May 14, 1998

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bausch & Lomb IncorporatedInternal Address: Law DepartmentStreet Address: One Bausch & Lomb PlaceCity: RochesterState: NYZIP: 14604

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

02-1425

06/04/1998 SSNITH 00000118 021425 09079779

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John E. Thomas

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

PATENT

REEL: 9216 FRAME: 0700

ASSIGNMENT

WHEREAS,

YU-CHIN LAI
23 Copper Woods
Pittsford, New York 14534
USA

Citizenship: USA

RICHARD M. OZARK
626 Third Street
Solvay, New York 13209
USA

Citizenship: USA

PAUL L. VALINT, JR.
1 Farm Field Lane
Pittsford, New York 14534
USA

Citizenship: USA

(hereinafter referred to as ASSIGNOR), has made an invention and has executed on even date herein an application for Letters Patent of the United States therefor entitled:

HYDROXY OR AMINO TERMINATED HYDROPHILIC POLYMERS

WHEREAS,

Bausch & Lomb Incorporated, a corporation of the State of New York, having offices at One Bausch & Lomb Place, Rochester, New York 14604-2701, United States of America (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in and to said invention and under said Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00) and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention and in and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all convention rights.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or

PATENT

REEL: 9216 FRAME: 0701

affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, ASSIGNOR has hereunto set hand and seal.

5/14/98
Date

Yu-Chin Lai
Yu-Chin Lai

5/14/98
Date

Richard M. Ozark
Richard M. Ozark

5/14/98
Date

Paul L. Valint, Jr.
Paul L. Valint, Jr.

State of New York)
County of Monroe) ss.:

I, Barbara J. Buchiere, a Notary Public in and for the County and State aforesaid, do hereby certify that Yu-Chin Lai, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed and delivered the said instrument as his free and voluntary act for the uses and purposes therein set forth.

In Witness Whereof, I have hereunto set my hand and Notarial Seal this 14th day of May, 1998.

Barbara J. Buchiere
Notary Public
State of New York
County of Monroe
Commission Expires 9/2/99

Barbara J. Buchiere
Notary Public

State of New York)
County of Monroe) ss.:

I, Barbara J. B., a Notary Public in and for the County and State aforesaid, do hereby certify that Richard M. Ozark, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed and delivered the said instrument as his free and voluntary act for the uses and purposes therein set forth.

In Witness Whereof, I have hereunto set my hand and Notarial Seal this 14th day of May, 1998.

Barbara J. Buchiere
Notary Public
State of New York
County of Monroe
Commission Expires 9/2/99

Barbara J. Buchiere
Notary Public

State of New York)
County of Monroe) ss.:

I, Barbara J. Buchiere, a Notary Public in and for the County and State aforesaid, do hereby certify that Paul L. Valint, Jr., personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed and delivered the said instrument as his free and voluntary act for the uses and purposes therein set forth.

In Witness Whereof, I have hereunto set my hand and Notarial Seal this 14th day of May, 1998.

Barbara J. Buchiere
Notary Public

Barbara J. Buchiere
Notary Public
State of New York
County of Monroe
Commission Expires 9/2/99

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