

21 RECORDATION 01 JUN 1998

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06-16-1998



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RECORDATION FORM COVER SHEET  
PATENT APPLICATIONS & PATENTS ONLY

OFFICE OF PATENTS AND TRADEMARKS:  
SUBMITTED ORIGINAL DOCUMENTS OR COPY THEREOF.  
(IES) (ASSIGNORS(S)):

- |                        |                               |
|------------------------|-------------------------------|
| 1. Heidi Rolfes        | 2. Thilo Lothar Van Der Merwe |
| 3. Patricia-Ann Truter | 4.                            |
| 5.                     | 6.                            |
| 7.                     | 8.                            |

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: CSIR

ADDRESS: Scientia, Meiring Naude Street, Pretoria, 0002, South Africa

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)

☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST

EXEC. DATE: February 16, 1998

☐ CHANGE OF NAME ☐ VERIFIED TRANSLATION

☐ SECURITY ☐ MERGER ☐ OTHER:

EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) February 16, 1998

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NO

A. PAT. APP. NO.(S) series code/serial no	M#	1 <sup>st</sup> INVENTOR if not in Item 1	B. PATENT NO.(S)	M#	1 <sup>st</sup> INVENTOR if not in Item 1
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09/011,934 245151 ROLFES

**Pillsbury Madison & Sutro LLP**  
**Intellectual Property Group**  
1100 NEW YORK AVENUE, N.W.  
NINTH FLOOR, EAST TOWER  
WASHINGTON, D.C. 20005-3918

6. NUMBER INVOLVED:  
APPLNS 1 + PATS = TOTAL 1

7. AMOUNT OF FEE ENCLOSED: (Code 581)  
ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

PMS 245151

C937

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE  
INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

UNDER ORDER NO

61307

245151

MATTER NO.

CLIENT REF.

dup. sheet not required

CLIENT NO.

MATTER NO.

9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

*Paul N. Kokulis*

Signature

10. Total number of pages including this  
cover sheet, attachments and document  
(do not file dup. Cover sheet)

2

Attorney: Paul N. Kokulis

Reg. No. 16773

Date: June 1, 1998

Atty/Sec: PNK/mah

TEL: (202) 861-3503

FAX: (202) 822-0944

FILE WITH PTO RETURN RECEIPT (PAT-103A)

PATENT

REEL: 9216 FRAME: 0838

PAT-114X 3/98

# ASSIGNMENT

(1-4) insert  
Name(s) of  
Inventor(s)

- (1) HEIDI ROLFES  
(2) THILO LOTHAR VAN DER MERWE  
(3) PATRICIA-ANN TRUTER  
(4) \_\_\_\_\_

In consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the undersigned agree(s) to assign, and hereby do(es) assign, transfer and set over to

Insert name of  
Assignee  
Insert address  
of Assignee

- (5) CSIR  
(6) of SCIENTIA, MEIRING NAUDE STREET, PRETORIA, 0002,  
SOUTH AFRICA

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

Insert ident-  
ification of  
invention, such  
as title, case no.  
or foreign  
application no.

- (7) CONTROLLED RELEASE PRODUCTS  
(Case no.) C937

for which the undersigned has (have) executed an application for patent in the United States of America

Insert date of  
signing of  
application

- (8) 16 FEBRUARY, 1998

Alternative  
identification for  
filed applications

- (9) US Application Serial Number 09/011,934  
filed February 17, 1998

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorise(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s)

Date 16 FEBRUARY, 1998 Name of Inventor *H. Rolfes*  
HEIDI ROLFES  
Date 16 FEBRUARY, 1998 Name of Inventor *Thilo*  
THILO LOTHAR VAN DER MERWE  
Date 16 FEBRUARY, 1998 Name of Inventor *P. A. Truter*  
PATRICIA-ANN TRUTER  
Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_