

06-10-1998



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RECORDATION FORM

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeFOR APTO-1596  
1-31-92

DOCKET NO.: 2980-004

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of conveying party(ies):

ROSLIN INSTITUTE (EDINBURGH)

2. Name and address of receiving party(ies):

Name: Roslin Institute (Edinburgh)

Internal Address:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherStreet Address: RoslinCity: Midlothian EH25 9PS State/Country: GREAT BRITAIN ZIP:Execution Date: March 26, 1998 and April 7, 1998Additional name(s) & address(es) attached? ☒ Yes ☐ No

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s). 08/803,282, filed February 19, 1997

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gilberto M. Villacorta, PEPPER HAMILTON LLP

Internal Address:

Street Address: 1300 Nineteenth St., N.W.City: Washington, D.C. State:  ZIP: 200366. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) ..... \$40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0436

06/08/1998 DN BUYEN 00000167 500436 08003202

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Gilberto M. Villacorta, 34,038

Name and Registration No. of Person Signing

Signature

May 29, 1998

Date

Total number of pages comprising cover sheet: 2

CI 4B No. 0851-0011 (exp. 4/94)

Document # 71087

PATENT  
REEL: 9219 FRAME: 0945

**DOCKET NO.: 2980-004**

**Continuation of Recordation Cover Sheet, Item # 2:**

The Minister of Agriculture, Fisheries and Food  
Notel House  
17 Smith Square  
London, SW1P 3JR

Bio technology and Biological Sciences Research Council  
Polaris House  
North Star Avenue  
Swindon, SN2 1UH

**PATENT ASSIGNATION**

by

**(1) ROSLIN INSTITUTE (EDINBURGH),**

in favour of

**ROSLIN INSTITUTE (EDINBURGH); THE MINISTER OF AGRICULTURE,  
FISHERIES AND FOOD; and BIOTECHNOLOGY and BIOLOGICAL SCIENCES  
RESEARCH COUNCIL**

1998

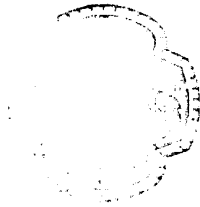
Wright Johnston & Mackenzie  
Solicitors  
12 St Vincent Place  
Glasgow G1 2EQ

(Ref: A00020016/KMC/JCH)

**PATENT  
REEL: 9219 FRAME: 0947**

## PATENT ASSIGNATION

by



- (1) **ROSLIN INSTITUTE (EDINBURGH)**, incorporated under the Companies Acts as a company limited by guarantee with registered number 157100 and having its registered office at Roslin, Midlothian, EH25 9PS ("the Assignor")

in favour of

- (2) **ROSLIN INSTITUTE (EDINBURGH)**, incorporated under the Companies Acts as a company limited by guarantee with registered number 157100 and having its registered office at Roslin, Midlothian, EH25 9PS and **THE MINISTER OF AGRICULTURE, FISHERIES AND FOOD** of Nobel House, 17 Smith Square, London, SW1P 3JR acting through the Ministry of Agriculture, Fisheries and Food; and **BIOTECHNOLOGY and BIOLOGICAL SCIENCES RESEARCH COUNCIL**, whose principal office is at Polaris House, North Star Avenue, Swindon, SN2 1UH (collectively referred to as "the Assignees")

### WHEREAS

- (A) The Assignor is the proprietor of the Patent Applications set out in the Schedule annexed and executed as relative hereto (the "Patent Applications");
- (B) The Assignor has agreed to assign the Patent Applications to the Assignees; and
- (C) The Assignees have agreed to hold the Patent Applications and any granted patents issuing from such applications subject to a Co-Ownership Agreement to be entered into of even date herewith by the parties to this Agreement.

NOW IT IS HEREBY AGREED as follows:-

#### 1. Assignment

The Assignor hereby assigns to the Assignees as joint owners the entire right, title and interest of the Assignor in and to the Patent Applications and any patents or other similar forms of protection granted pursuant to the Patent Applications together with the right to recover and to take all such proceedings as may be necessary for the

recovery of damage and other forms or relief in respect of all infringements of the Patent Applications whether taking place before or after the date of this Assignment.

2. **Further Assurance**

Each party agrees generally to sign, seal, execute and deliver all documents, deeds, licences and agreements and to do all acts and things which may be required or may be deemed necessary that any party for giving effect to this Assignment including, without limitation, entry into instruments confirmatory of such rights for registration with appropriate authorities anywhere in the world.

3. **Governing Law**

This Agreement shall be governed by Scots Law and the parties agree to submit to the non exclusive jurisdiction of the Scottish Courts: IN WITNESS WHEREOF these presents consisting of this and the preceding page together with the Schedule annexed hereto are executed as follows:-

Subscribed for and on behalf of BIOTECHNOLOGY AND BIOLOGICAL SCIENCES RESEARCH COUNCIL by Scott McGregor Lawrie their duly authorised signatory at London on 26<sup>th</sup> March 1998 before the following witness:-

Witness:

Justine A. Strong

Full Name:

JUSTINE ANN STRONG

Address:

12. ST VINCENT PLACE

GLASGOW

Scott McGregor Lawrie  
Authorised Signatory

Occupation:

TRAINER SOLICITOR

Subscribed for and on behalf of THE MINISTER OF AGRICULTURE, FISHERIES AND FOOD by Dr Anthony Richard Burne, duly authorised signatory at London on 26<sup>th</sup> March 1998 before the following witness:-

Witness:

Marylyn Wicks

Full Name:

MRS. MARYLYN WICKS

Address:

ST. CHRISTOPHER HOUSE

SOUTHWARK ST, LONDON

Occupation:

CIVIL SERVANT.

Dr Anthony Richard Burne  
Authorised Signatory

PATENT

REEL: 9219 FRAME: 0949

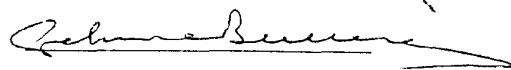
Subscribed for and on behalf of ROSLIN INSTITUTE (EDINBURGH) by Professor  
Grahame John Bulfield, Director and Chief Executive, at Roslin on 7<sup>th</sup> April  
1998 before the following witness:-

Witness: Clare A. Noh

Full Name: CLARE ANNE NELSON

Address: 12 ST VINCENT PLACE  
GLASGOW

Occupation: SOLICITOR



This is the Schedule referred to in the Assignment by (1) Roslin Institute (Edinburgh) in favour of (2) Roslin Institute (Edinburgh); The Minister of Agriculture, Fisheries and Food; and Biotechnology and Biological Sciences Research Council

**1. Inactivated Oocytes and Cytoplasm Recipients for Nuclear Transfer (MAGIC)**

Filing Date	Priority Date	Country	Application No
30 <sup>th</sup> August 1996	31 <sup>st</sup> August 1995	International	PCT/GB96/02098
30 <sup>th</sup> August 1996	31 <sup>st</sup> August 1995	South Africa	96/7383
30 <sup>th</sup> August 1996	31 <sup>st</sup> August 1995	USA	08/803,165

**International Patent Application** - proceeding in:

Czech Republic, Hungary, Norway, Poland, Russian Federation, Ukraine, Canada, Brazil, Mexico, Cuba, Australia, China, Israel, Japan, Republic of Korea, New Zealand, Singapore, Vietnam, United Kingdom, Hong Kong, Europe (Austria, Belgium, Switzerland/Liechtenstein, Germany, Denmark, Spain, Finland, France, United Kingdom, Greece, Ireland, Italy, Luxembourg, Monaco, Netherlands, Portugal, Sweden).

**2. Quiescent Cell Populations for Nuclear Transfer (Quiescence)**

Filing Date	Priority Date	Country	Application No
30 <sup>th</sup> August 1996	31 <sup>st</sup> August 1995	International	PCT/GB96/02099
30 <sup>th</sup> August 1996	31 <sup>st</sup> August 1995	South Africa	96/7390
30 <sup>th</sup> August 1996	31 <sup>st</sup> August 1995	USA	08/802,282

**International Patent Application** - proceeding in:

Czech Republic, Hungary, Norway, Poland, Russian Federation, Ukraine, Canada, Brazil, Mexico, Cuba, Australia, China, Israel, Japan, Republic of Korea, New Zealand, Singapore, Vietnam, United Kingdom, Hong Kong, Europe (Austria, Belgium, Switzerland/Liechtenstein, Germany, Denmark, Spain, Finland, France, United Kingdom, Greece, Ireland, Italy, Luxembourg, Monaco, Netherlands, Portugal, Sweden).

*Scott MacKenzie*

*Alma*

*John Bue*  
RECORDED: 05/29/1998

PATENT  
REEL: 9219 FRAME: 0951