

06-11-1998

SHEET

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Patent and Trademark Office



To the Honorable Commissioner of P

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hed original documents or copy thereof.

1. Name of conveying party(ies):

Douglas J. Bull and Qiang Fu

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 5/19/98 and 5/21/98, respectively

2. Name and address of receiving party(ies):

Name: **EXCEL/QUANTRONIX, INC.**

Internal Address:

Street Address: **41 Research Way**

City: **East Setauket State: New York Zip: 11733**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:
5/19/98 and 5/21/98, respectively

A. Patent Application No.(s)

B. Patent No.

Date: 5/27/98 Label No. EMA 45427863
I hereby certify that on the date indicated above I
deposited this paper or fee with the U.S. Postal Service
& that it was addressed for delivery to the Commissioner
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"Express Mail Post Office to Addressee" service.

G. Karasz
Name (Print) Signature

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address: Peter Schechter, Esq.

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anthony J. Natoli 6543/OD221
Name of Person Signing Docket No.

Anthony J. Natoli
Signature

May 27, 1998
Date

Total number of pages including cover sheet, attachments, and document:

4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

Docket No.: 6543/0D221

ASSIGNMENT

BRAZIL *Bull*
5/19/98

Douglas J. Bull, a citizen of the UNITED STATES OF AMERICA, residing at 3 Cranberry Drive, Franklin, MA 02038, USA; and

Qiang Fu, a citizen of the PEOPLE'S REPUBLIC OF CHINA, residing at ~~835 Nesconset Hwy., No. 17, Nesconset, NY, 11767, USA, and~~ *54-182A Piedmont Dr., Port Jefferson Sta., NY 11776, USA ; and* *QF/S-21-98*
hereinbelow called "Assignors", are co-inventors of a certain invention entitled

SCALABLE VERTICALLY DIODE-PUMPED SOLID-STATE LASERS

described in the specification executed by us on even date herewith;

WHEREAS, EXCEL/QUANTRONIX, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having offices and doing business at 41 Research Way, East Setauket, New York 11733 and elsewhere, hereinbelow called "Assignee", is desirous of securing the entire right, title and interest in and to said invention, application and Letters Patent, when granted, and in and to any divisions, continuations, improvements, reissues or extensions that may be made or granted thereon;

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar (\$1.00) to me in hand paid by the Assignee, and other good and valuable consideration, the receipt of all of which is hereby acknowledged, I, the Assignor have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over unto the Assignee, its successors and assigns, the entire right, title and interest throughout the world in and to the said invention, application and Letters Patent, when granted, and in and to any divisions, continuations, improvements, reissues or extensions that may be made or granted on any of them;

TO HAVE AND TO HOLD the same to the full end of the term or terms for which said Letters Patent may be granted, as fully and completely as the same might be held by me had this sale and assignment not been made.

For the consideration aforesaid, I hereby covenant and agree to and with the Assignee, its successors and assigns, that whenever its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with said application or invention, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of said Letters Patent is lawful and desirable, I will sign all papers

and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of valid Letters Patent for said invention, or for the reissue or continuation or extension of the same, and will do all acts necessary or required to secure to the Assignee, its successors, and assigns, the title to and full benefit of all rights hereby assigned, without charge to said Assignee or its successors or assigns, but at its or their expense;

AND the Commissioner of Patents and Trademarks is requested to issue said Letters Patent, when granted, in accordance with this sale and assignment.

For the consideration aforesaid, I have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto the said Assignee, its successors, and assigns, or the nominees of any of them, the entire right, title and interest in and to any and all Letters Patent for said invention, which may be granted in countries foreign to the United States, and in and to any applications for Letters Patent, which may be filed for said invention in countries foreign to the United States, and in and to the invention described in said applications; and I hereby authorize and empower said Assignee and its successors, assigns or nominees, to apply for Letters Patent or other form of protection on said invention in its own name or in the name of its successors, assigns or nominees, in any or all countries where it may desire to file such application, and where said application may be filed by another than the inventor; and I hereby covenant and agree to sign all papers and drawings, take all rightful oaths, execute all rightful affidavits, and do all acts necessary or required to be done for the procurement and maintenance of Letters Patent or other form of protection for said invention in countries foreign to the United States, and for further investing or confirming the right and title thereto in the Assignee, its successors, assigns or nominees, without charge to said Assignee, its successors, assigns or nominees, but at its or their expense.

I declare under penalty of perjury under the laws of the United States of America that I have signed this document as my own free act and that all of the foregoing is true and correct.

Douglas J. Bull
Douglas J. Bull, Inventor

United States of America)
State of: MA) S.S.:
County of: Norfolk

Sworn to and subscribed before me this 19th day of May, 1998

MY COMMISSION EXPIRES
MARCH 8, 2002

Patricia A. Skelane
Notary Public

Qiang Fu
Qiang Fu, Inventor

United States of America)
State of: New York) S.S.:
County of: Suffolk

Sworn to and subscribed before me this 21st day of May, 1998

Patricia A. Skelane
Notary Public

PATRICIA A. SKELANE
Notary Public, State of New York
No. 4824057

Qualified in Suffolk County
to Expire January 31, 1999

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RECORDED: 05/27/1998

PATENT
REEL: 9224 FRAME: 0157