

06-12-1998

Tab settings

*MRD 9-24-97*



To the Honorable Commissioner of Patents a

100737257

Send original documents or copy thereof.

1. Name of conveying party(ies):

George M. Allen  
Harold R. O'Conner  
Seymour Saslow

Additional names(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies):

Name: ESPEY MFG. & ELECTRONICS CORP.

Internal Address: \_\_\_\_\_

Street Address: Congress & Ballston Avenues

City: Saratoga Springs State: NY ZIP: 12866

Additional name(s) & address(es) attached?  Yes  No

69055 U.S. PTO  
08/937127  
09/24/97

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: \_\_\_\_\_

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: May 6, 1996

A. Patent Application No.(s)

*08/937127*

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Edward R. Weingram, Esq.

Internal Address: Weingram & Zall

Street Address: 197 W. Spring Valley Avenue

P.O. Box 927

City: Maywood State: NJ ZIP: 07607

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

230186

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Edward R. Weingram

Name of Person Signing

*Edward R. Weingram* 5-8-96

Signature

Date

1

Total number of pages including cover sheet, attachments, and document:

A S S I G N M E N T

WHEREAS, we, GEORGE M. ALLEN, of 3201 Grenell Road, Middle Grove, NY 12850; HAROLD F. O'CONNOR, of P.O. Box 256 Greenfield Center, NY 12833 and SEYMOUR SASLOW, of 199 Caroline Street, Saratoga Springs, NY 12866, as assignors, have invented certain improvements in QUIET MAGNETIC STRUCTURES SUCH AS POWER TRANSFORMERS AND REACTORS for which we executed a patent application of even date herewith.

WHEREAS, ESPEY MFG. & ELECTRONICS CORP., a New York Corporation, having a principal place of business at Congress & Ballston Avenues, Saratoga Springs, NY 12866, as assignee, is desirous of acquiring all right, title and interest in and to said invention and any Letters Patent that may be granted therefor throughout the world.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we, as assignors, hereby sell, assign and set over to said assignee the entire right, title and interest for the United States and its dependencies and possessions and throughout the world, in and to said invention and the aforesaid application for Letters Patent, all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States and its dependencies and possessions and throughout the world;

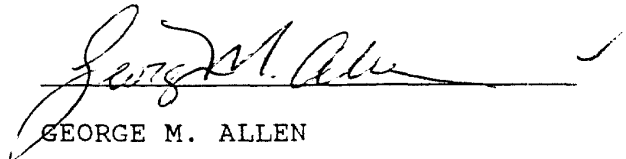
AND, the Commissioner of Patents and Trademarks is hereby

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authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein. Further, each of the undersigned, for himself and his legal representatives, heirs and assigns, does hereby agree and covenant without further remuneration, to execute and deliver all divisions, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, wherever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid said assignee, its successors, assigns and nominees to obtain patent protection for said improvements in the United States and its dependencies and possessions and throughout the world, the expenses incident to said applications to be borne and paid by said assignee.

IN WITNESS WHEREOF, the undersigned has hereunto set their hands and seals this 6<sup>TH</sup> day of MAY, 1996.

  
GEORGE M. ALLEN

*Harold F. O'Connor*

HAROLD F. O'CONNOR

*Seymour Saslow*

SEYMOUR SASLOW

STATE OF NEW YORK

COUNTY OF SARATOGA

On this 6<sup>th</sup> day of May, 1996, before me personally appeared GEORGE M. ALLEN, HAROLD F. O'CONNOR, and SEYMOUR SASLOW, personally known to me as the individuals who executed the foregoing instrument, who acknowledged to me that the same was executed by them of their own free will for the purposes therein set forth.

*Peggy A. Murphy*  
NOTARY PUBLIC

rms/s

Asgnmnts\espey 3.0001

PEGGY A. MURPHY  
NOTARY PUBLIC, State of New York  
No. 5005173  
Qualified in Saratoga County  
Commission Expires 11/30/96

<b>VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (b)) - INDEPENDENT INVENTOR</b>	Docket No. <b>Espey 3.0-001</b>
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Serial No.	Filing Date	Patent No.	Issue Date
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Applicant/ Patentee: **George M. Allen, Harold F. O'Connor and Seymour Saslow**

Invention: **Quiet Magnetic Structures Such As Power Transformers and Reactors**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled above and described in:

- the specification to be filed herewith.
- the application identified above.
- the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- No such person, concern or organization exists.
- Each such person, concern or organization is listed below.

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

FULL NAME **Espey Mfg. & Electronics Corp.**  
 ADDRESS **Congress and Ballston Avenues, Saratoga Springs, NY 12866**

- Individual                       Small Business Concern                       Nonprofit Organization

FULL NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_

- Individual                       Small Business Concern                       Nonprofit Organization

FULL NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_

- Individual                       Small Business Concern                       Nonprofit Organization

FULL NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_

- Individual                       Small Business Concern                       Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR George M. Allen

SIGNATURE OF INVENTOR *George M. Allen*

DATE: Feb 6, 1996

NAME OF INVENTOR Harold F. O'Connor

SIGNATURE OF INVENTOR *Harold F. O'Connor*

DATE: May 6 1996

NAME OF INVENTOR Seymour Saslow

SIGNATURE OF INVENTOR *Seymour Saslow*

DATE: July 2, 1996

NAME OF INVENTOR \_\_\_\_\_

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE: \_\_\_\_\_

NAME OF INVENTOR \_\_\_\_\_

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE: \_\_\_\_\_

NAME OF INVENTOR \_\_\_\_\_

SIGNATURE OF INVENTOR \_\_\_\_\_

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DATE: \_\_\_\_\_

NAME OF INVENTOR \_\_\_\_\_

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE: \_\_\_\_\_