

06-12-1998

FORM PTC-1.05(Modified)

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

MRD 6-3-98

100737243

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

## 1. Name of conveying party(ies):

Carey S. Nachenberg and William E. Sobel

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

## 3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other: \_\_\_\_\_Execution Date: June 2, 1998

## 2. Name and address of receiving party(ies):

Name: **Symantec Corporation**

Internal Address:

Street Address: **10201 Torre Avenue**City: **Cupertino**State: **CA** Zip: **95014**

Additional name(s) &amp; address(es) attached?

☐ Yes☒ Nojc549 U.S. PTO  
09/089930  
06/03/98

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 2, 1998

A. Patent Application No.(s):

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence

## 6. Total number of applications and patents involved: [1]

06/10/1998 MAIL ROOM 00000004 09009930  
04 FC:501  
40.00  
The foregoing document should be mailed:7. Total fee (37 CFR 3.41): **\$40.00**Name: **Steven D. Young, Esq.**☒ EnclosedInternal Address: **Fenwick & West LLP**☒ Fee Transmittal EnclosedStreet Address: **Two Palo Alto Square**City: **Palo Alto** State: **CA** Zip Code: **94306**8. Deposit Account No.: **19-2555****DO NOT USE THIS SPACE**

## 9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***Steven D. Young, Reg. No. P-43,300**

Attorney, Reg. No.

Signature

**June 3, 1998**

Date

Total number of pages including cover sheet, attachments, documents: [31]

Mail documents to be recorded with required cover sheet information to:

Box Assignment, Commissioner For Patents and Trademarks  
Washington, D.C. 20231Case Docket No.: 3318PATENT  
REEL: 9226 FRAME: 0799

20423-03318/748049

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, the person(s) named below (referred to as "INVENTOR" whether singular or plural) has sold, assigned, and transferred and does hereby sell, assign, and transfer to Symantec Corporation, a Delaware corporation, having a place of business at 10201 Torre Avenue, Cupertino, California 95014 ("ASSIGNEE"), for itself and its successors, transferees, and assignees, the following:

1. The entire worldwide right, title, and interest in all inventions and improvements ("SUBJECT MATTER") that are disclosed in the application for United States Letters Patent entitled: **BACKTRACKED INCREMENTAL UPDATING ("APPLICATION")**, which:

☒ is to be filed herewith

☐ was filed on \_\_\_\_\_, 199\_, now bearing U.S. serial number 09/\_\_\_\_\_  
and for which a Declaration was executed by INVENTOR on the date(s) below; and

2. The entire worldwide right, title, and interest in and to:

(a) the APPLICATION, including any right of priority; (b) any divisional, continuation, substitute, renewal, reissue, and other related applications which have been or may be filed in the United States or elsewhere in the world; and (c) any patents which may be granted on the applications set forth in (a) and (b) above.

INVENTOR agrees that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTOR agrees to do the following, when requested, and without further consideration, in order to carry out the intent of this Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTOR represents that INVENTOR has the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has made or will make hereafter no assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

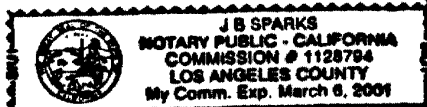
This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
<u>Carey S. Nachenberg</u> Carey S. Nachenberg	<u>June 2, 1998</u>	<u>June 2, 1998</u>
<u>William E. Sobel</u> William E. Sobel	<u>June 2, 1998</u>	<u>June 2, 1998</u>

State of CALIFORNIA  
County of LOS ANGELES

On 02 JUNE 1998 before me, JB SPARKS, Notary Public, personally appeared Carey S. Nachenberg and William E. Sobel ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the persons whose names are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity, and that by their signatures on the instrument the persons, or the entity upon behalf of which the persons acted, executed the instrument.

Notary Seal



JB Sparks  
Notary

PATENT

20423-03318/747519

RECORDED: 06/03/1998

REEL: 9226 FRAME: 0800