

06-12-1998

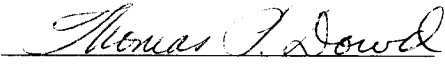
390P007675-US (PAR)

MRD 6-2-98 RI

IEET

Commissioner of Patents and Trade

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Please record the attached document.jc551 U.S. PRO  
09/089752  
06/02/98

<b>1. Name of conveying party(ies):</b>  Ruth Ann Hendrickson and Peter Van der Meulen  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<b>2. Name and address of receiving party(ies):</b>  Name: Brooks Automation Inc.  Address: 15 Elizabeth Drive  City: Chelmsford State: MA ZIP Code: 01824  Country:  Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>3. Nature of Conveyance:</b>  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other:  Execution Date: 6/1/98		<b>4. Application number(s) or patent number(s):</b> 09/089752 If this document is being filed together with a new application, the execution date of the application is: 6/1/98 A. Patent Application No.(s): B. Patent No.(s):  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>5. Name and address of party to whom correspondence concerning document should be mailed:</b>  Name: Thomas P. Dowd, Esq. Address: Perman & Green, LLP 425 Post Road  City: Fairfield  State: CT ZIP Code: 06430-6232		<b>6. Total number of applications and/or patents involved:</b> ..... 1 <b>7. Total fee (37 CFR 3.41):</b> .....\$40.00  <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Charge deposit account <input checked="" type="checkbox"/> Please charge any fee deficiency to deposit account  <b>8. Deposit account number:</b> 16-1350	
DO NOT USE THIS SPACE			
<b>9. Statement And Signature:</b> 06/10/1998 DNASH1 00000034 09089752 03 FC:581 04 FC:998 To the best of my knowledge and belief, the foregoing information is true and correct and, the attached document is either an original document or a true copy of the original document. <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div> <u>Thomas P. Dowd</u>            Name of Person Signing         </div> <div style="text-align: center;">             Signature         </div> <div style="text-align: right;"> <u>6/2/98</u>            Date         </div> </div> <div style="text-align: right; margin-top: 10px;">           Total number of pages including cover sheet(s): 5            Page 1 of 1.         </div>			

Attorney's Docket No. 390P007675-US (PAR)

**PATENT**

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or  
☐ U.S. Provisional Application  
For: ☐ U.S. Patent  
For: ☐ PCT Application  
By: Multiple Inventors

**ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS (Inventors):**

<u>Ruth Ann Hendrickson</u>	<u>253 Concord Road</u>	
<i>(type or print name of inventors)</i>	<u>Lincoln, MA 01773</u>	<u>USA</u>
	Address	Nationality
<u>Peter Van der Meulen</u>	<u>7 Pine Street</u>	
	<u>Newburyport, MA 01950</u>	<u>Netherlands</u>
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(Assignment of Invention—Multiple Inventors [16-3.2]—page 1 of 3)

PATENT

REEL: 9229 FRAME: 0524

hereby sells, assigns and transfers to

ASSIGNEE:

Brooks Automation Inc.

15 Elizabeth Drive  
Chelmsford, MA 01824

(type or print name of ASSIGNEE)

Address

USA

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority  
in and to any and all improvements which are disclosed in the invention entitled:

APPARATUS AND METHOD FOR TRANSPORTING SUBSTRATES

(check and complete (a), (b), (c), (d), (e), (f), or (g))

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention  
☐ Express mail label no.: \_\_\_\_\_  
Mailed: \_\_\_\_\_  
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.  
(d) ☐ U.S. application no. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_  
(e) ☐ International application no. PCT \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
filed on \_\_\_\_\_  
(f) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(check (g), if foreign application(s) is also being assigned)

- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

(Assignment of Invention—Multiple Inventors [16-3.2]—page 2 of 3)

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this June 1, 1998  
Date of signing

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Ruth Ann Hendrickson  
(type name of inventor)

Ruth Ann Hendrickson  
Signature of INVENTOR

Peter Van der Meulen

[Signature]

☒ Notarization or Legalization Page Added.

**NOTE:** No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

(Assignment of Invention—Multiple Inventors [16-3.2]—page 3 of 3)

**NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT**

NOTE: Executing this page is not required for assignment, and is only prima facie evidence of execution. 35 USC 261.

Details of Country \_\_\_\_\_

and place of signing of assignment \_\_\_\_\_

Before me this 1<sup>st</sup> day of June 1998, personally appeared the above named individual(s), to me known to be the person(s) who ☐ is ☒ are described in, and who executed the foregoing assignment instrument and acknowledged to me that

☐ he

☐ his

☐ she

executed the same of

☐ her

☒ they

☒ their

own free will for the purpose therein expressed.

Carol J. Collins  
Notary Public or Consular Officer of the  
United States of America

My Commission Expires 9/21/01

(Notarization or Legalization Accompanying Assignment [16-4])