

06-15-1998

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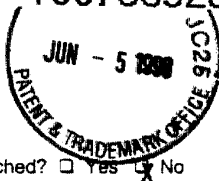
U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings ☐ ☐ ☐ ☐ ▼

To the Honorable Commiss



100738923



1. Name of conveying party(ies):

Masahiko Chino  
Kunihiko Matsuhashi  
Shunji Umemura

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: May 12, 1998

2. Name and address of receiving party(ies)

Name: Seiko Epson Corporation

Internal Address: \_\_\_\_\_

Street Address: 3-5, Owa 3-chome, Suwa-shi,  
Nagano-ken, Japan

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/004,796

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stroock & Stroock & Lavan LLP

Internal Address: \_\_\_\_\_

06/12/1998 DNGUYEN 00000115 194709 09004796

01 FC:581 40.00 CH

Street Address: 180 Maiden Lane

City: New York State: NY ZIP: 10038

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

19-4709

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lawrence Rosenthal, Esq. Reg. No. 24,377

Name of Person Signing

Signature

# 32,941

Date

6-4-98

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

REEL: 9231 FRAME: 0292

ASSIGNMENT

WHEREAS, WE, Masahiko CHINO, Kunihiro MATSUHASHI, and Shunji UMEMURA, citizens of Japan, residing at c/o Seiko Epson Corporation, 3-5, Owa 3-chome, Suwa-shi, Nagano-ken, Japan, hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements in PRINthead UNIT AND INK CARTRIDGE for which we have executed an application for Letters Patents of the United States under Serial No. 09/004,796 filed on January 9, 1998;

WHEREAS, SEIKO EPSON CORPORATION, a Japanese corporation having offices at 4-1, Nishishinjuku 2-chome, Shinjuku-ku, Tokyo, Japan, hereinafter referred to as ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the improvements and the application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the improvements, and the application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for the improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for the improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for the improvements to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting the improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the improvements in all countries.

NYGEN1-40489-1

IN TESTIMONY WHEREOF, we have set our hand and seal to this Assignment.

May 12, 1998

Masahiko Chino  
(NAME) Masahiko CHINO

May 12, 1998

Kunihiko Matsuhashi  
(NAME) Kunihiko MATSUHASHI

May 12, 1998

Shunji Umemura  
(NAME) Shunji UMEMURA

\_\_\_\_\_, 199\_

\_\_\_\_\_  
(NAME)

\_\_\_\_\_, 199\_

\_\_\_\_\_  
(NAME)

\_\_\_\_\_, 199\_

\_\_\_\_\_  
(NAME)

Shoichi Hiraide

WITNESS

Shoichi HIRAIDE

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