

REC

06-16-1998



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Our Ref.: 1585-194

Commissioner of Patents and Trademarks  
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): Gary E. Dix James C. Shaug</p> <p>Additional name/s of conveying party/ies attached? <input type="checkbox"/></p> <p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment      <input type="checkbox"/> Merger <input type="checkbox"/> Security Assignment      <input type="checkbox"/> Change of Name <input type="checkbox"/> Other</p> <p>Execution Date: 5 June 1998</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: General Electric Company Internal Address: Street Address: 1 River Road</p> <p>City: Schenectady State/Country: New York Zip: 12345</p> <p>Additional name/s &amp; address/es attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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<p>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: 5 June 1998</p>	
<p>A. Patent Application No(s). (1) unknown (2) 09092980 (3)</p>	<p>B. Patent No(s). (1) (2) (3)</p>
Additional numbers attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: Michael J. Keenan</p> <p>Internal Address:</p> <p>Street Address: Nixon &amp; Vanderhye P.C. 1100 North Glebe Road 8<sup>th</sup> Floor</p> <p>City: Arlington State: VA Zip: 22201</p>	<p>6. Total number of applications &amp; patents involved: 1</p> <p>7. Total fee (37 CFR 3.41) \$ 40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account #14-1140</p> <p>8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.</p>
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DO NOT USE THIS SPACE

<p>9. Statements and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</p>	
<p>Michael J. Keenan Name of Person Signing Reg. No. 32,106</p>	<p> Signature June 8, 1998 Date</p>
Total number of pages including original cover sheet, attachments, and document: [4]	

ARI 00000002 09092980

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PATENT  
REEL: 9233 FRAME: 0141

280876

**ASSIGNMENT**

WHEREAS, Gary E. Dix and James C. Shaug, (hereinafter ASSIGNORS) of 15404 Madrone Hill Road, Saratoga, California, 95070; and 17484 Lakeview Drive, Morgan Hill, California, 95037; respectively, have invented a certain improvement in SIPHON WATER RODS for which a so-entitled application for Letters Patent of the United States is being concurrently executed herewith;

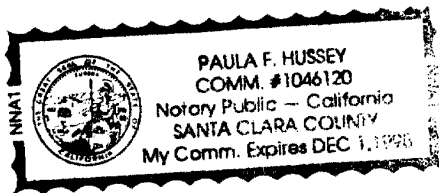
WHEREAS, **General Electric Company** (hereinafter ASSIGNEE), a corporation of the State of New York, having an office and place of business at 1 River Road, Building 37, Fifth Floor, Schenectady, New York. 12345 is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNORS by these presents hereby sell, assign, and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the full and exclusive right to the said invention in the United States and all foreign countries, as described in the aforesaid application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, for the sole use and behoof of ASSIGNEE, its successors, assigns, and legal representatives.

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California  
County of Santa Clara  
On June 5, 1998 before me, Paula F. Hussey, Notary Public  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")  
personally appeared Gary E. Dix and James C. Shaw  
Name(s) of Signer(s)

I personally known to me – OR – ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged to me that ~~he/she~~ they executed the same in ~~his/her~~ their authorized capacity(ies), and that by ~~his/her~~ their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Paula F. Hussey  
Signature of Notary Public

**OPTIONAL**

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

**Description of Attached Document**

Title or Type of Document: Patent Assignment # 1585-194 Docket # 04-NT-05838  
Document Date: June 5, 1998 Number of Pages: 2  
Signer(s) Other Than Named Above: None

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: Gary E. Dix

- ☒ Individual  
☐ Corporate Officer  
Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

Signer's Name: James C. Shaw

- ☒ Individual  
☐ Corporate Officer  
Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

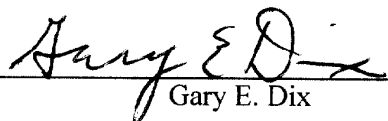
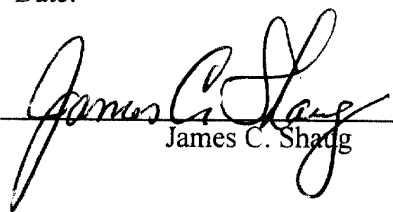
Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

And, ASSIGNORS hereby agree to transfer a like interest upon request of said ASSIGNEE, its successors, assigns, and legal representatives, and without further remuneration, in and to any improvements, and applications for patents based thereon, growing out of or related to the said invention; and to execute any papers by ASSIGNEE, its successors, assigns, and legal representatives, deemed essential to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

Agreeing, further, upon request of ASSIGNEE, and without further remuneration, to execute any and all papers desired by ASSIGNEE, for the filing and granting of foreign applications and the perfecting of title thereto in ASSIGNEE.

AGREED and executed as noted below:

_____	_____
Date	
Witnessed by:	Gary E. Dix
_____	_____
Name:	June 5, 1998
_____	_____
Date	
Witnessed by:	James C. Shaug
_____	_____
Name:	June 5, 1998
_____	_____
Date:	