

06-16-1998

Attorney Docket No. 018649-000100US



100738610

RECORDATION FORM COVER SHEET  
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Patents. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Fang-yu Liu

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

## 2. Name and address of receiving party(ies):

Name: Yamanouchi Shaklee Pharma, a division of Shaklee Corporation, a Delaware corporation wholly owned by Yamanouchi Group Holding Inc., a Delaware corporation wholly owned by Yamanouchi Pharmaceutical Co., Ltd.

Internal Address:

Street Address: 444 Market Street

City: San Francisco State: CA ZIP: 94111-5325

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: May 11, 1998, May 15, 1998 &amp; May 18, 1998

## 4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/044,302

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gregory P. Einhorn  
TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
(415) 576-0200

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41):..... \$ 40.00

- ☐ Enclosed ☒ Charge Fees to Deposit Account  
☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account

## 8. Deposit account number: 20-1430

06/16/1998 DNGUYEN 00000049 201430 09044302

DO NOT USE THIS SPACE

01 FCI581 40.00 CH

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Gregory P. Einhorn  
Name of Person Signing

Signature

May 28, 1998  
Date

Atty. Reg. No. 38,440

Total number of pages including cover sheet, attachments, and document: 9

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:  
 Do not detach this portion  
 Asst. Commissioner for Patents  
 Box Assignments  
 Washington, D.C. 20231

PATENT

REEL: 9236 FRAME: 0008

1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

Min Michael He  
Janaki Ram Nyshadham  
Kuldeepak Sharma  
James Shunnan Chu  
Joseph A. Fix

2. Additional name(s) and address(es) of receiving party(ies):  
(Continued from Page 1)

4. Additional application number(s) or patent number(s):  
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

GPE\YAMANDU\000100\ASSIGN.CVR

**ASSIGNMENT OF PATENT APPLICATION**

JOINT

WHEREAS, Fang-yu Liu of 39499 Gallaudet Drive, Fremont, California 94538, Min Michael He, of 4262 Central Avenue, Fremont, California 94536, Janaki Ram Nyshadham of 2092 Arbutus Court, Fremont, California 94539, Kuldeepak Sharma of 4856 Montague Avenue, Fremont, California 94555, James Shunnan Chu of 246 Edlee Avenue, Palo Alto, California 94306 and Joseph A. Fix of 722 Columbus Street, Half Moon Bay, California 94019, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **WATER SOLUBLE POLYMER-BASED RAPIDLY DISSOLVING TABLETS AND PRODUCTION PROCESSES THEREOF**

Filing date: March 18, 1998 Application No.: 09/044,302; and

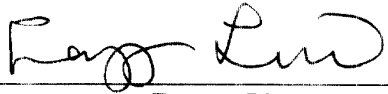
WHEREAS, Yamanouchi Shaklee Pharma, a division of Shaklee Corporation, a Delaware corporation wholly owned by Yamanouchi Group Holding Inc., a Delaware corporation wholly owned by Yamanouchi Pharmaceutical Co., Ltd., located at 444 Market Street, San Francisco, CA 94111-5325, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: 5/11/98

  
Fang-yu Liu

STATE OF California

COUNTY OF Alameda

On \_\_\_\_\_, before me, \_\_\_\_\_ (here insert name and title of the officer), personally appeared **Fang-yu Liu**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_ (Seal)

Date: 5/15/98

M. Michael He

Min Michael He

STATE OF CALIFORNIA

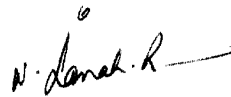
COUNTY OF ALAMEDA

On \_\_\_\_\_, before me, \_\_\_\_\_ (here insert name and title of the officer), personally appeared **Min Michael He**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_ (Seal)

Date: 5/15/98



**Janaki Ram Nyshadham**

STATE OF CALIFORNIA

COUNTY OF ALAMEDA

On \_\_\_\_\_, before me, \_\_\_\_\_ (here insert name and title of the officer), personally appeared **Janaki Ram Nyshadham**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_ (Seal)

Date: 5/15/98

Kuldeepak Sharma  
Kuldeepak Sharma

STATE OF California

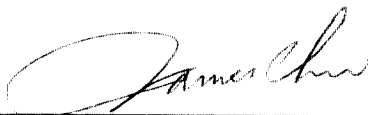
COUNTY OF Alameda

On \_\_\_\_\_, before me, \_\_\_\_\_ (here insert name and title of the officer), personally appeared **Kuldeepak Sharma**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_ (Seal)

Date: 5/15/98

  
**James Shunnan Chu**

STATE OF California  
COUNTY OF Palo Alto, Santa Clara

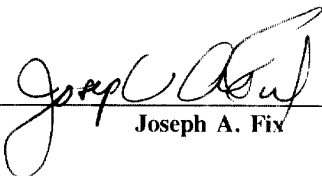
On \_\_\_\_\_, before me, \_\_\_\_\_ (here insert name and title of the officer), personally appeared **James Shunnan Chu**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_ (Seal)



Date: 18 MAY 98

  
\_\_\_\_\_  
Joseph A. Fix

STATE OF CALIFORNIA

COUNTY OF SAN MATEO

On \_\_\_\_\_, before me, \_\_\_\_\_ (here insert name and title of the officer), personally appeared **Joseph A. Fix**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_ (Seal)

H:\GPE\YAMANOU\000100\ASSIGN