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Attorney's Docket No. U 011557-0

CHAPTER II

06-16-1998



100737946

N THE UNITED STATES ELECTED OFFICE (EO/US)

PCT/US96/10319	14 June 1996	16 June 1995
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
HIGH SPEED ROLL CASTING PROCESS AND PRODUCT		
TITLE		
WILTON D. GODINHO		
APPLICANT(S)		

MCD 4-14-98

Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231
ATTENTION: EO/US

**COVER SHEET FOR ASSIGNMENT
IN THE UNITED STATES ELECTED OFFICE (EO/US)
FOR INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE
IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. 371**

IDENTIFICATION OF APPLICATION
(37 CFR 3.21 and 37 CFR 3.31(a)(4))

1. The patent application filed herewith, and to which the attached assignment (document) refers, is identified as follows:

- a. Date of execution: March 25, 1998
- b. Name of each inventor:
 - 1: Wilton D. Godinho
 - 2:
 - 3:
- c. Title of invention: HIGH SPEED ROLL CASTING PROCESS AND PRODUCT

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying International Application Entering National Phase", along with any document referred to, is being deposited with the United States Postal Service on this date April 14, 1998 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EE96383074US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Loretta Dale

(type or print name of person mailing paper)

Loretta Dale

Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

(Cover Sheet for Assignment In the United States Elected Office (EO/US) for International Application Entering National Stage under 35 USC 371 [13-21]—page 1 of 4)

04/27/1998 FVQLPE 00000036 08981623
02 FC:581 40.00 OP

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PATENT
REEL: 9237 FRAME: 0832

NOTE: "If an assignment is executed concurrently with, or subsequent to the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended." 37 CFR 3.21.

NAME OF PARTY(IES) CONVEYING INTEREST

(37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: Wilton D. Godinho

Name 2: _____

Name 3: _____

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

(37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Name: ALCOA ALUMINIO DO NORDESTE S.A.

Address: Estrada PE 35 KM 3

Itapissuma C.E.P. 53700

Itapissuma, Brazil

Telephone No.: ()

DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED

(37 CFR 3.31(a)(3))

4. The accompanying document intends to accomplish:

- an assignment.
- a security agreement.
- a license.
- a merger.
- a change of name.
- a change of address.
- other.

(Cover Sheet for Assignment in the United States Elected Office (EO/US) for International Application
Entering National Stage under 35 USC 371 [13-21]—page 2 of 4)

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE
SHOULD BE MAILED (37 CFR 3.31(a)(5))**

5. Please address correspondence to:
Name: Gary P. Topolsky, Esq.
Address: Aluminum Company of America
Alcoa Technical Center
~~100 Technical Drive~~
Alcoa Center, PA 15069-0001
Telephone No.: (724) 337-2772

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on March 25, 1998
Date

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: *The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation. 37 CFR 3.26.*

The attached document:

- is in the English language.
 is not in the English language. A verified English translation signed by the individual making the translation is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: *"Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8 1/2 x 14 inches) with a 2.5 cm. (one inch) margin on all sides." 37 CFR 3.24.*

7. Submitted herewith is:
 the original document.
 a true copy of the original document.

NOTE: *"If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.*

**NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET
AND THE FEE (37 CFR 3.31(a)(6))**

8. A. This cover sheet identifies only one application:
B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.
 Attached is a check for \$40.00.
 Please charge Account _____ \$40.00.
A duplicate of this cover sheet is attached.

(Cover Sheet for Assignment in the United States Elected Office (EO/US) for International Application
Entering National Stage under 35 USC 371 [13-21]—page 3 of 4)

STATEMENT (37 CFR 3.31(a)(9)) AND SIGNATURE (37 CFR 3.31(a)(10))

9. To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65.

Date: April 14, 1998

Name of party submitting document

Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)

Joseph H. Handelman
SIGNATURE OF ATTORNEY

Reg. No.:

JOSEPH H. HANDELMAN

(type or print name of attorney) C/O LADAS & PARRY
26 WEST 61st STREET
NEW YORK, N.Y. 10023

Tel. No. ()

Reg. No. 28179 (212) 708-1880
P.O. Address

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

6

Total number of pages submitted

ASSIGNMENT


In consideration of One Dollar (\$1.00), and other good, and valuable considerations, the receipt of which is hereby acknowledged, and intending to be legally bound hereby, I, **Wilton D. Godinho**, of Gubei New Area, Shanghai - 201 103 - China,

Hereby sell, assign and transfer to Alcoa Aluminio Do Nordeste S.A., of Estrada PE 35 KM 3, Itapissuma C.E.P. 53700, Itapissuma, Brazil, its successors, assigns, and legal representatives, my entire right, title and interest, for all countries, in and to any and all improvements which are disclosed and claimed, and any and all improvements which are disclosed but not claimed, in the application for United States Patent, **Serial No. 08/981,623, Filed December 15, 1997, entitled "High Speed Roll Casting Process and Product"** (Atty. Docket No. 94-0967) and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed on any of said improvements disclosed in said application; and in and to all original and reissued patents which have been or shall be issued on said improvements;

Authorize and request the Commissioner of Patents and Trademarks to issue to said Assignee, Alcoa Aluminio Do Nordeste S.A., its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said improvements, or any of them disclosed in said application;

Agree that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, I will, for all countries execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to me relating to said improvements and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said improvements, and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives.

Covenant with said Assignee, its successors, assigns and legal representatives that I have made to others no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed, and that I have full right to convey the same as herein expressed.


Wilton D. Godinho MARCH 25, 98
Date