
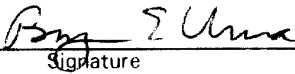


B/O FORM PTO-159 (Rev. 7/93)		06-17-1998  100742069	U.S. Department of Commerce Patent and Trademark Office										
RECORD/ PA													
To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copies thereof.													
1. Name of Conveying Party(ies): Timothy Edward CLARK and Donald W. DUDA  <input type="checkbox"/> Additional names of conveying parties attached.		2. Name and Address of Receiving Party(ies): Name: <b>Amphenol Corporation</b>  Internal Address: 358 Hall Avenue  Street Address:  City, State, Zip: Wallingford, Connecticut 06492  <input type="checkbox"/> Additional name(s) and address(es) attached.											
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other:  Execution Date: March 2 and 3, 1998		4. (A) Patent Application Number(s): 08/921,330  If this document is being filed together with a new application, the execution date of the application is:  <input type="checkbox"/> Additional Numbers Attached.											
5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed:  Name: <b>Benjamin E. Urcia</b>  Address: <b>Bacon &amp; Thomas, PLLC</b> 625 Slaters Lane Alexandria, VA 22314		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">           Total Number of Applications and Patents Involved:         </td> <td style="width: 40%; text-align: center;">1</td> </tr> <tr> <td>           7. Total Fee:            (37 CFR 3.41)         </td> <td style="text-align: center; font-weight: bold;">\$40.00</td> </tr> <tr> <td colspan="2"> <input checked="" type="checkbox"/> Enclosed  <input type="checkbox"/> Authorized to be charged to deposit account         </td> </tr> <tr> <td>           8. Deposit Account Number:         </td> <td style="text-align: center;">02-0200</td> </tr> <tr> <td colspan="2" style="font-size: small;">           ATTACH DUPLICATE COPY OF THIS PAGE IF PAYING BY DEPOSIT ACCOUNT         </td> </tr> </table>		Total Number of Applications and Patents Involved:	1	7. Total Fee: (37 CFR 3.41)	\$40.00	<input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account		8. Deposit Account Number:	02-0200	ATTACH DUPLICATE COPY OF THIS PAGE IF PAYING BY DEPOSIT ACCOUNT	
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DO NOT USE THIS SPACE													
9. Statement and Signature: <p style="text-align: center;"><i>To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.</i></p> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 40%;">           Benjamin E. Urcia            Name of Person Signing         </div> <div style="width: 30%; text-align: center;">             Signature         </div> <div style="width: 30%; text-align: right;">           June 9, 1998            Date         </div> </div>													
Total number of pages including cover sheet, attachments and document: 3													

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**ASSIGNMENT**

WHEREAS, I (we), **Timothy Edward CLARK and Donald W. DUDA**

whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, is(are) the owner of certain new and useful improvements in **MODULAR CASCADED MACH-ZEHNDER DWDM COMPONENTS**

(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

☐ executed on even date herewith

☐ executed on:

☒ filed on: **August 29, 1997**

Serial No.: **08/921,330**

☐ established by PCT International Patent Application No.:

filed:

WHEREAS, **AMPHENOL CORPORATION**

whose post office address is **358 Hall Avenue, Wallingford, Connecticut 06492**

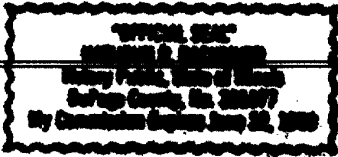
hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the same in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we), ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title, and interest in and to said INVENTION and application throughout the United States of America, including any and all United States Letters Patent granted on any division, continuation, continuation-in-part and reissue of said application; and the entire right, title and interest in and to the said INVENTION throughout the world, including the right to apply for patents and inventor certificates in respect thereof and to claim priority pursuant to rights accorded ASSIGNOR under the terms of the Paris International Convention and all other available international conventions and treaties; and the entire right, title and interest in and to any and all patents, patents of addition, utility models, patents of importation, revalidation patents and inventor certificates which may be granted throughout the world in respect of said INVENTION.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) or inventor certificate(s) in the United States and in foreign countries for said INVENTION, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE's expense, to identify and communicate to ASSIGNEE at ASSIGNEE's request documents and information concerning the INVENTION that are within ASSIGNOR's possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR's obligations under this instrument shall extend to ASSIGNOR's heirs, executors, administrators and other legal representatives.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE's sole use and behoof; and for the use and behoof of ASSIGNEE's legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR authorizes any member of the firm of **Bacon & Thomas** to insert or complete any information in this document needed to effect its recordal in the U.S. Patent and Trademark Office.

Assignor Name <b>Timothy Edward CLARK</b>		Address <b>5438 Burr Oak Road, Apt. 511, Lisle, Illinois 60532</b>
Where Signed <b>Lisle, Illinois</b>		
Date <b>3/2/98</b>	Signature	
_____, ss. (Notarization preferred but not required)		
Before me personally appeared said _____ and acknowledged this instrument to be his (her) free act and deed this _____ day of _____, 19_____.		
		Notary Public My Commission Expires: _____

☒ Continued on Next Page

**ASSIGNMENT**Page 2

Assignor Name Donald W. DUDA	Address P.O. Box 813 522 Wilmett Avenue Williams Bay, Wisconsin 53191
Where Signed <i>Lisle, Illinois</i>	
Date 3/3/98	Signature <i>[Signature]</i>
(Notarization preferred but not required)	
_____ SS.	
Before me personally appeared said _____ and acknowledged this instrument to be his (her) free act and deed this _____ day of _____, 19____.	
_____ Notary Public	
My Commission Expires: _____	

Assignor Name	Address
Where Signed	
Date	Signature
(Notarization preferred but not required)	
_____ SS.	
Before me personally appeared said _____ and acknowledged this instrument to be his (her) free act and deed this _____ day of _____, 19____.	
_____ Notary Public	
My Commission Expires: _____	

Assignor Name	Address
Where Signed	
Date	Signature
(Notarization preferred but not required)	
_____ SS.	
Before me personally appeared said <u>Donald W. DUDA</u> and acknowledged this instrument to be his (her) free act and deed this <u>3</u> day of <u>March</u> , 19 <u>98</u> .	
<i>[Signature]</i> Notary Public	
My Commission Expires: <u>6-22-98</u>	

