

06-17-1998

HEET

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To the Honorable Commissioner of Pa

100741231

attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Robert P. LEMIEUX and  
Liviu DINESCU

JUN 11 1998

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: April 28, 1998

## 2. Name and address of receiving party(ies):

Name: Queen's University at Kingston

Internal Address: PARTEQ Innovations

Street Address: Queen's University

City: Kingston State: ONT ZIP: K7L 3N6

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s): 09/020,110

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/020,110

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Carol Miernicki Steeg

Internal Address: PARTEQ Innovations

Street Address: Queen's University

City: Kingston State: ONT ZIP: K7L 3N6

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

17-0110

(Attach duplicate copy of this page if paying by deposit account)

06/16/1998 INQUIRY 00000167 170110 09020110  
01 FC:581 40.00 CH

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Carol Miernicki Steeg  
Name of Person Signing

Signature

June 10, 1998

Date

Total number of pages comprising cover sheet:

1

REEL: 9239 FRAME: 0485

## ASSIGNMENT

We, **Robert P. LEMIEUX and Liviu DINESCU**, whose full post office addresses are 111 Campbell Crescent, Kingston, Ontario, K7M 1Z6, Canada; and 31 Bayswater Place, Apt. 116, Kingston, Ontario, K7M 2B8, Canada, respectively, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, do hereby sell and assign to **Queen's University at Kingston**, a non-profit educational corporation, whose full postal office address is Kingston, Ontario, K7L 3N6, Canada (hereinafter **Queen's**), all our rights, title and interest, including priority rights, in and to our invention relating to **COMPOUNDS AND METHODS FOR DOPING LIQUID CRYSTAL HOSTS**, as set forth in applications for Letters Patent of the United States of America Serial No. 60/037,497, filed February 7, 1997, Serial No. 60/059,901, filed September 24, 1997, and Serial No. 09/020,110, filed February 6, 1998, and in International Patent Application No. PCT/CA98/00083, filed February 9, 1998, in and for the United States of America, Canada, Europe, Japan, and all countries foreign thereto in its name or in any name at its election.

We hereby covenant and agree that we will execute or procure any further necessary documents of the title to said invention and Letters Patent; and that we will, at any time, upon the request and at the expense of Queen's, execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention, or any Letters Patent which may be granted therefor, to Queen's; we will, at any time, upon the request and at the expense of Queen's, testify in any legal proceedings, sign all lawful papers, execute any additional, divisional, substituting, continuing or reissue applications for patents for said invention, or any part or parts thereof; and we will make all rightful oaths and generally do all lawful acts to aid Queen's, its successors, assigns or other legal representatives to obtain and enforce patent protection in said invention in all countries, without further compensation, but at the expense of Queen's, its successors, assigns or other legal representatives.

And we hereby authorize and request the Commissioner of Patents or the Comptroller (as the case may be) to issue any and all Letters Patent for said invention or resulting from said application, or from any division, reissue or extension thereof, to Queen's as our sole assignee.

In testimony whereof I have hereunto set my hand:

this 28<sup>th</sup> day of April, 1998.

  
Robert P. Lemieux

In testimony whereof I have hereunto set my hand:

this 28<sup>th</sup> day of April, 1998.

Liviu Dinescu

**CERTIFICATE**

City of Kingston )  
Province of Ontario )

On this 28 day of April 1998, appeared before me in person the above Robert P. Lemieux and acknowledged the above to be his signature, and that he signed and delivered the above instrument as his voluntary act and deed for the purposes herein set forth.

S. Scribner

Stephen Joseph Scribner

Notary Public

Province of Ontario Board of Management, limited to the  
attestation of instruments and the taking of affidavits,  
for PARTEQ R & D Innovations.

Expires January 9, 2001.

**CERTIFICATE**

City of Kingston )  
Province of Ontario )

On this 28 day of April 1998, appeared before me in person the above Liviu Dinescu and acknowledged the above to be his signature, and that he signed and delivered the above instrument as his voluntary act and deed for the purposes herein set forth.

S. Scribner

Stephen Joseph Scribner

Notary Public

Province of Ontario Board of Management, limited to the  
attestation of instruments and the taking of affidavits,  
for PARTEQ R & D Innovations.

Expires January 9, 2001.

PATENT

RECORDED: 06/11/1998

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