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FORM PTO-1595  
(Rev. 6-93)



OMB No. 0651-0011 (exp. 4/94)

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ER SHEET

JUN 2 U.S. Department of Commerce

.Y

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

Paul S. Chomet, Monika Frey & Alfons Gierl

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 4-28-98, 5-16-98 & 5-14-98, respectively

2. Name and address of receiving party(ies):

Name: DEKALB Genetics Corporation

Internal Address:

Street Address: 3100 Sycamore Road

City: DeKalb State: IL Zip: 60115

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No. (s):

09/039,046

B. Patent No. (s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert E. Hanson, Patent Agent  
Arnold, White & Durkee  
P.O. Box 4433

City: Houston State: Texas Zip: 77210

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41)----- \$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account

if check insufficient or inadvertently omitted

8. Deposit account number: 01-2508/DEKM:131/HAO  
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark B. Wilson

5 29 98

Name of Person Signing, Reg. No. 37.259

Signature

Date

Total number of pages including cover sheet, attachments and documents: 7

Mail documents to be recorded with required cover sheet information to:

U.S. Patent and Trademark Office, Office of Public Records,  
Crystal Gateway 4, Room 335, Washington, D.C. 20231

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: U.S. Patent and Trademark Office, Office of Public Records, Crystal Gateway 4, Room 335, Washington, D.C. 20231, on the date below:

May 29, 1998  
Date

Mark B. Wilson

06/17/1998 TTOM11 00000029 09039046

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40.00 OP

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to DEKALB GENETICS CORPORATION (the "Assignee"), a corporation of the State of Illinois, having a place of business at 3100 Sycamore Road, DeKalb, Illinois 60115, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. **09/039,046** which has been filed on **March 13, 1998** and is entitled "**MAIZE DIMBOA BIOSYNTHESIS GENES**", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature:  Date: 4/28/98  
Name: Paul S. Chomet

STATE OF CONNECTICUT )  
 ) ss.  
COUNTY OF NEW LONDON )

BEFORE ME, the undersigned authority, on this 28<sup>th</sup> day of April, 1998, personally appeared Paul S. Chomet, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL] Patricia M. Lang  
Notary Public  
My Commission Expires April 30, 2002  
Patricia M. Lang  
Notary or Consular Officer

Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Name: Monika Frey

STATE OF \_\_\_\_\_ )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

BEFORE ME, the undersigned authority, on this \_\_\_\_ day of \_\_\_\_\_, 1998, personally appeared Monika Frey, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL] \_\_\_\_\_  
Notary or Consular Officer

Signature: \_\_\_\_\_  
Name: Alfons Gierl

Date: \_\_\_\_\_

STATE OF \_\_\_\_\_ )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

BEFORE ME, the undersigned authority, on this \_\_\_\_ day of \_\_\_\_\_, 1998, personally appeared Alfons Gierl, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

\_\_\_\_\_  
Notary or Consular Officer

[SEAL]

ARNOLD, WHITE & DURKEE	
P. O. Box 4433	2001 Jefferson Davis Hwy., Ste. 401
Houston, Texas 77210	Arlington, Virginia 22202

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to DEKALB GENETICS CORPORATION (the "Assignee"), a corporation of the State of Illinois, having a place of business at 3100 Sycamore Road, DeKalb, Illinois 60115, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. **09/039,046** which has been filed on **March 13, 1998** and is entitled "**MAIZE DIMBOA BIOSYNTHESIS GENES**", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Name: Paul S. Chomet

STATE OF \_\_\_\_\_ )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

BEFORE ME, the undersigned authority, on this \_\_\_\_ day of \_\_\_\_\_, 1998, personally appeared Paul S. Chomet, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

\_\_\_\_\_  
Notary or Consular Officer

[SEAL]

Signature: Monika Frey Date: 14 May 1998  
Name: Monika Frey

STATE OF VERMONT )  
 ) ss.  
COUNTY OF WINDHAM )

BEFORE ME, the undersigned authority, on this \_\_\_\_ day of \_\_\_\_\_, 1998, personally appeared Monika Frey, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

\_\_\_\_\_  
Notary or Consular Officer

[SEAL]

Signature: *Alfons Gierl*  
Name: Alfons Gierl

Date: 14 May 1998

STATE OF GERMANY  
COUNTY OF MUNICH ) ss.

BEFORE ME, the undersigned authority, on this \_\_\_\_ day of \_\_\_\_\_, 1998, personally appeared Alfons Gierl, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

\_\_\_\_\_  
Notary or Consular Officer

[SEAL]

ARNOLD, WHITE & DURKEE  
P. O. Box 4433                      2001 Jefferson Davis Hwy., Ste. 401  
Houston, Texas 77210            Arlington, Virginia 22202